

# Spin-Orbit Interactions of Light

Konstantin Y. Bliokh

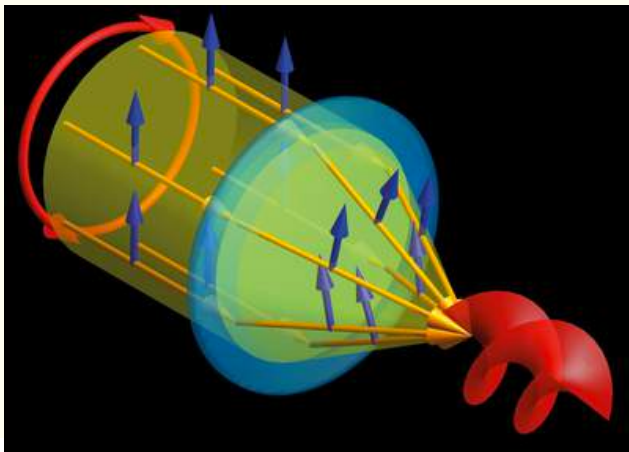
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# Spin-orbit interactions of light

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Light carries both spin and orbital angular momentum. These dynamical properties are determined by the polarization and spatial degrees of freedom of light. Nano-optics, photonics and plasmonics tend to explore subwavelength scales and additional degrees of freedom of structured — that is, spatially inhomogeneous — optical fields. In such fields, spin and orbital properties become strongly coupled with each other. In this Review we cover the fundamental origins and important applications of the main spin-orbit interaction phenomena in optics. These include: spin-Hall effects in inhomogeneous media and at optical interfaces, spin-dependent effects in nonparaxial (focused or scattered) fields, spin-controlled shaping of light using anisotropic structured interfaces (metasurfaces) and robust spin-directional coupling via evanescent near fields. We show that spin-orbit interactions are inherent in all basic optical processes, and that they play a crucial role in modern optics.

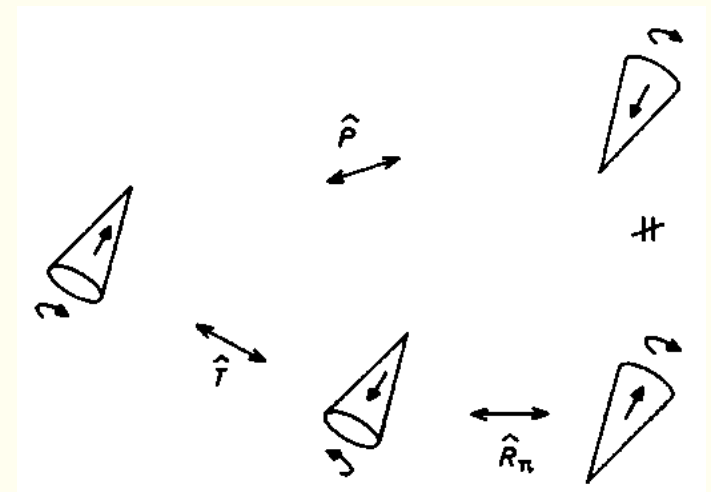
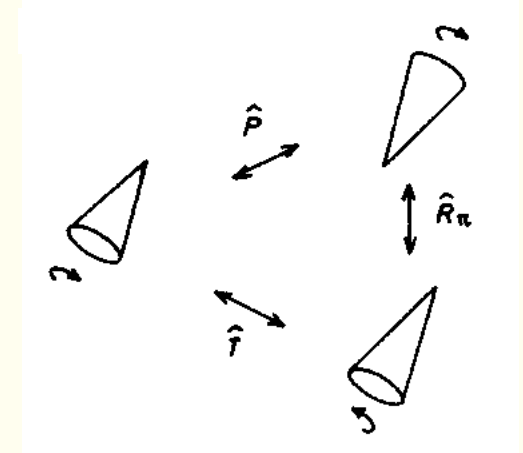


# Angular momentum, helicity, chirality

Chirality is a P-odd but T-even property.

Angular momentum is P-even but T-odd:

Only helicity, i.e., projection of the angular momentum onto the momentum is P-odd, T-even, and therefore chiral:



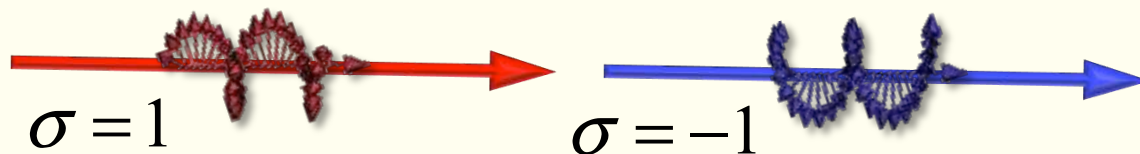
# Outline

- ❑ **Spin-orbit interaction in paraxial beams**
  - Propagation in gradient-index media
  - Reflection/transmission at an interface
- ❑ **Spin-orbit coupling in nonparaxial fields**
  - Spin and orbital AM in free space
  - Focusing, scattering, imaging
- ❑ **Spin-orbit coupling in inhomogeneous anisotropic structures (metasurfaces)**
- ❑ **Transverse spin-momentum locking**

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- ❑ Transverse spin-momentum locking

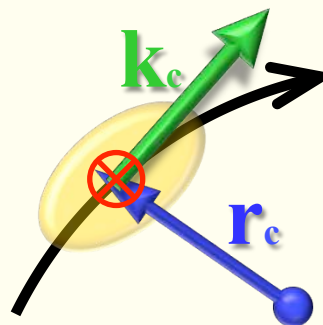
# Angular momentum of paraxial light

## 1. Intrinsic spin AM (polarization)



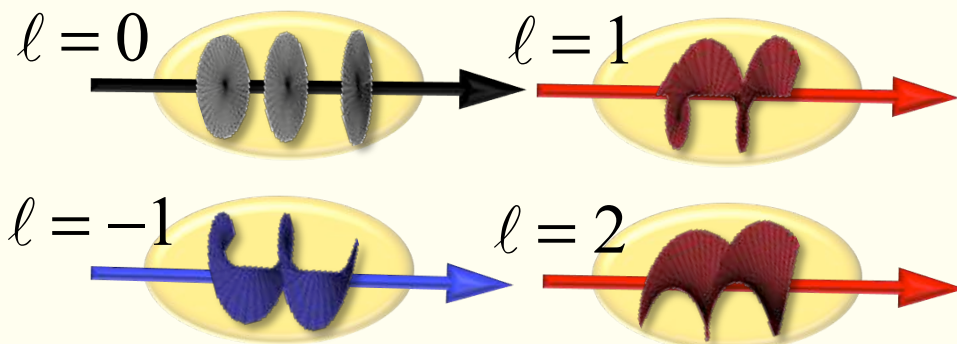
$$\mathbf{S} = \sigma \langle \mathbf{k} \rangle / k$$

## 2. Extrinsic orbital AM (trajectory)



$$\mathbf{L}_{\text{ext}} = \langle \mathbf{r} \rangle \times \langle \mathbf{k} \rangle$$

## 3. Intrinsic orbital AM (vortex)



$$\begin{aligned} \mathbf{L}_{\text{int}} &= \left\langle \left( \mathbf{r} - \langle \mathbf{r} \rangle \right) \times \mathbf{k} \right\rangle \\ &= \ell \langle \mathbf{k} \rangle / k \end{aligned}$$

## Geometric phase

**Angular momentum** is intimately related to rotations.

There is a natural **coupling** between the AM and rotations of the system.

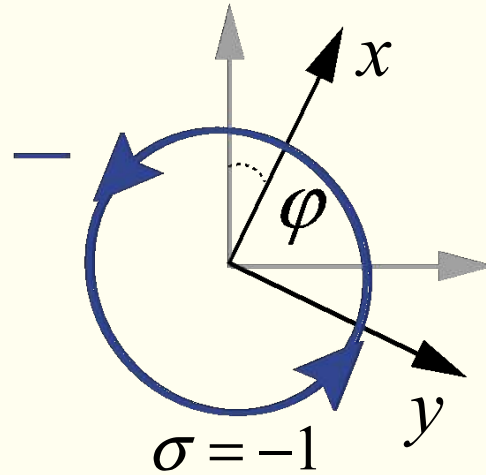
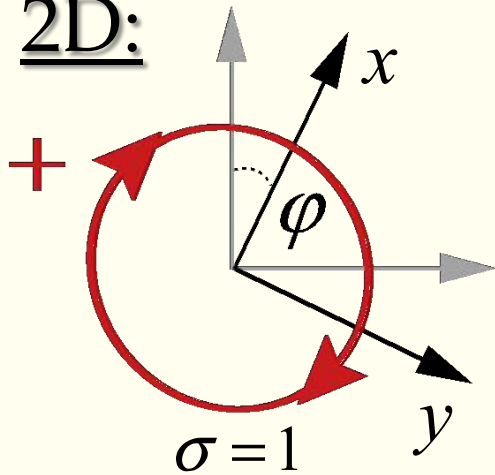
It can be described by **geometric (Berry) phases**.

Remarkably, these can be introduced via both geometric and dynamical approaches seemingly unrelated to each other.

However, geometric and dynamical aspects become unified on a deeper level of understanding revealing the **geometro-dynamical** nature of the AM physics (cf. general relativity).

# Geometric phase

2D:

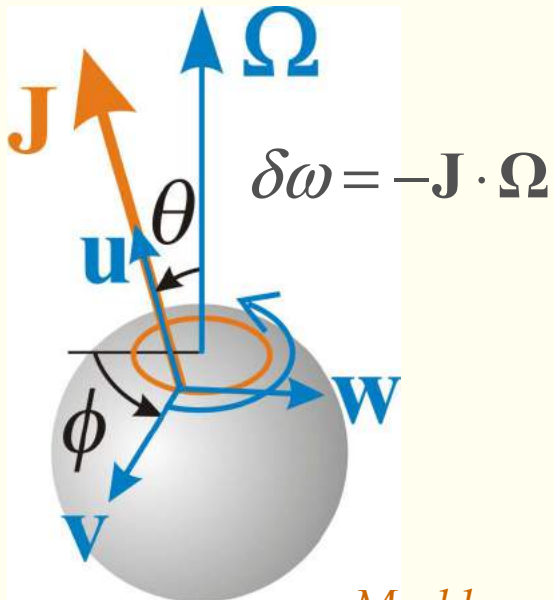


$$\mathbf{e}^\sigma = (\bar{x} + i\sigma\bar{y})$$

$$\mathbf{e}^\sigma \rightarrow e^{i\Phi} \mathbf{e}^\sigma, E^\sigma \rightarrow e^{-i\Phi} E^\sigma$$

$$\Phi = -\sigma\phi$$

3D:

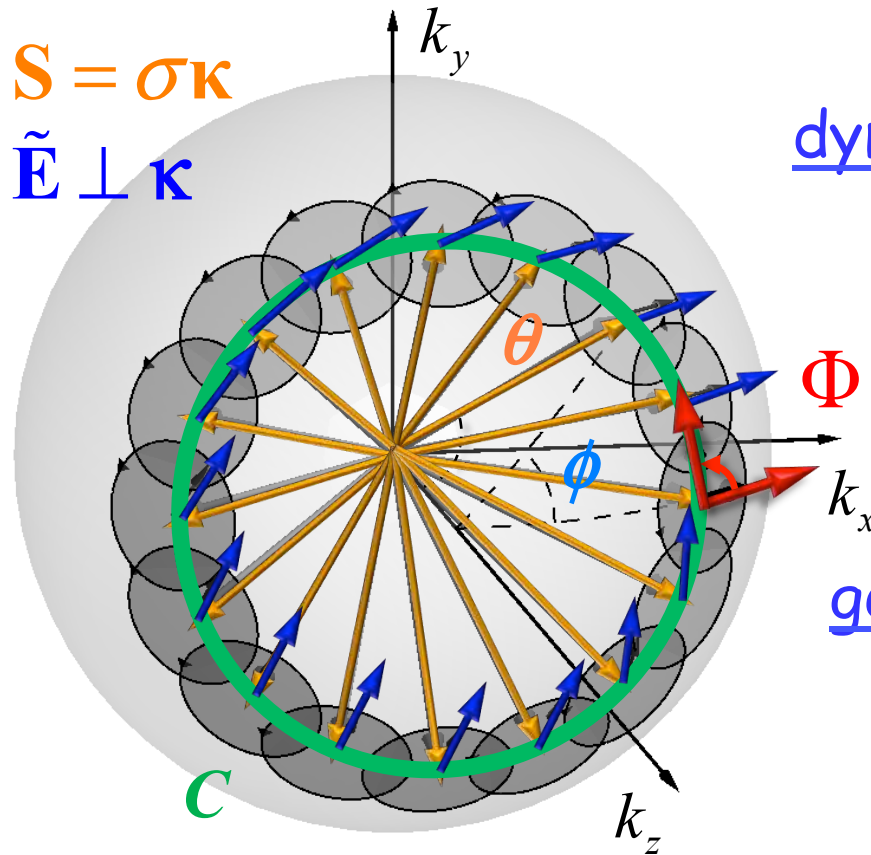


$$\Phi = -\int \mathbf{J} \cdot \boldsymbol{\Omega}_\zeta d\zeta$$

**AM-rotation coupling:  
Coriolis / angular-Doppler effect**



# Geometric phase



$$e^\sigma(\mathbf{k}) = (\bar{\theta} + i\sigma\bar{\phi})e^{i\sigma\phi}$$

dynamics, S:

$$\begin{aligned} \Phi &= 2\pi\sigma - \int d\phi S_z \\ &= 2\pi\sigma(1 - \cos\theta) \end{aligned}$$

geometry, E:

$$\Phi = \sigma \int_C \mathcal{A}(\mathbf{k}) \cdot d\mathbf{k}$$

$$\mathcal{A} = \frac{1 - \cos\theta}{k \sin\theta} \bar{\phi}, \quad \mathcal{F} = \partial_{\mathbf{k}} \times \mathcal{A} = \frac{\mathbf{k}}{k^3}$$

- Berry connection, curvature  
(parallel transport)

*M. V. Berry, 1984*

## Geometric phase: Gauge fields

The geometric phase appears in all situations with variations  $\mathbf{k}$ -vectors (directions of propagation) of light.

In this manner, the Berry connection plays the role of an effective gauge field (vector-potential) in the  $\mathbf{k}$ -space.

$\mathcal{A}$  - "vector-potential"

$$\mathcal{F} = \partial_{\mathbf{k}} \times \mathcal{A} = \frac{\mathbf{k}}{k^3} \quad \text{- "magnetic field"}$$

$$\Phi = \sigma \int_C \mathcal{A}(\mathbf{k}) \cdot d\mathbf{k} \quad \text{- "Aharonov-Bohm (Dirac) phase"}$$

# Spin-orbit Lagrangian and phase

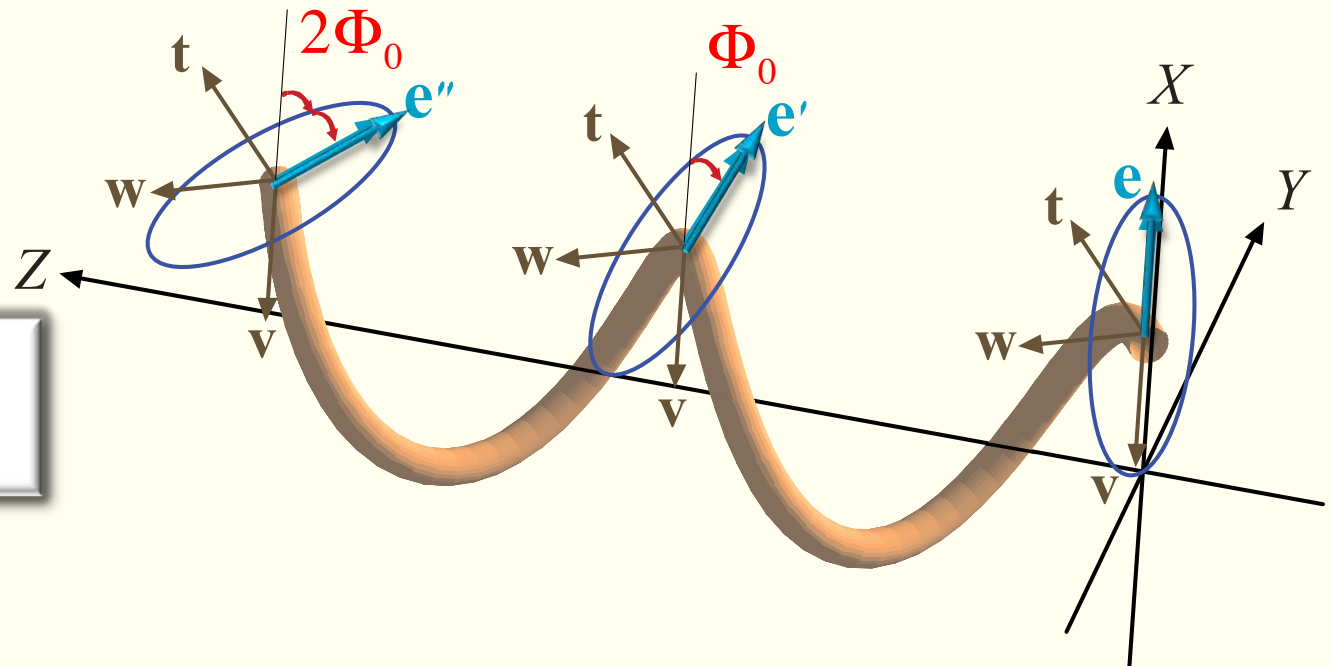
$$\mathcal{L}_{\text{SOI}} = \sigma \mathcal{A} \cdot \dot{\mathbf{k}} = \mathbf{S} \cdot \boldsymbol{\Omega}$$

– SOI Lagrangian from Maxwell equations

*Bliokh, 2008*

Berry phase:

$$\Phi = \int \mathcal{L}_{\text{SOI}} d\tau$$



*Rytov, 1938; Vladimirskiy, 1941; Ross, 1984; Tomita, Chiao, Wu, PRL 1986*

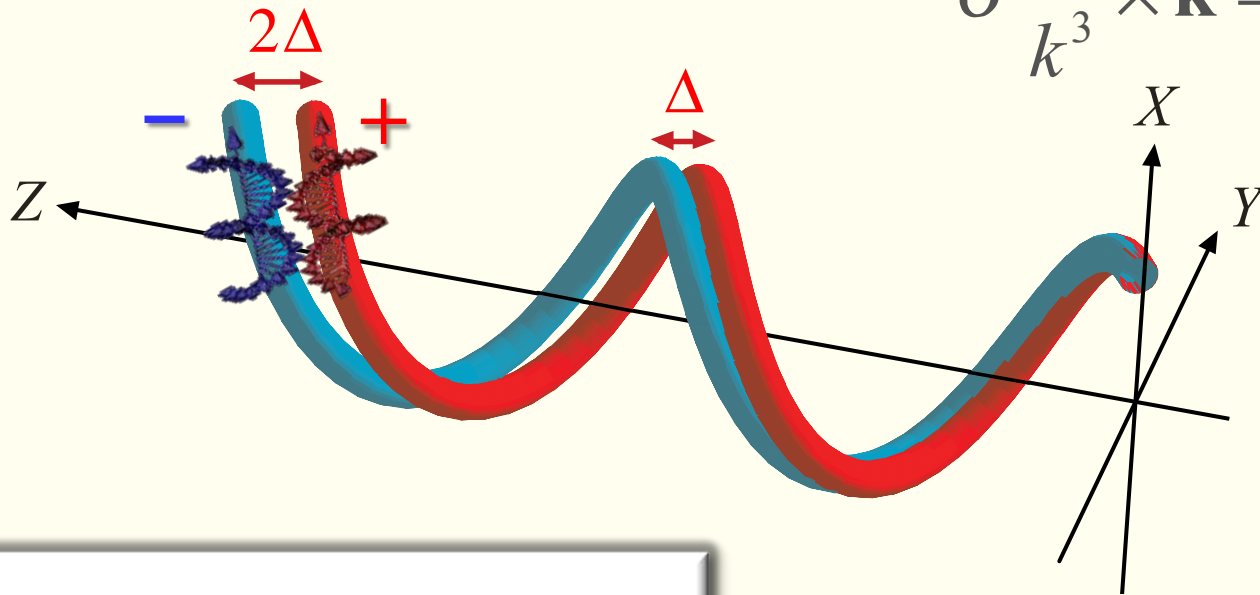
$$\hat{\mathbf{A}} = \frac{\hat{\mathbf{S}} \times \mathbf{p}}{2p^2} \left( 1 - \frac{m}{E} \right), \quad \hat{\mathcal{L}}_{\text{SOI}} = \hat{\mathbf{A}} \cdot \dot{\mathbf{p}} \approx \frac{e}{4m^2} \hat{\boldsymbol{\sigma}} (\boldsymbol{\mathcal{E}} \times \mathbf{p}) \quad \text{– for Dirac equation}$$

# Spin-Hall effect of light

$$\dot{\mathbf{k}}_c = k \nabla \ln n, \quad \dot{\mathbf{r}}_c = \boldsymbol{\kappa}_c - \sigma \mathcal{F} \times \dot{\mathbf{k}}_c$$

- ray equations  
(equations of motion)

$$\sigma \frac{\mathbf{k}}{k^3} \times \dot{\mathbf{k}} = \lambda \mathbf{S} \times \dot{\mathbf{k}}$$

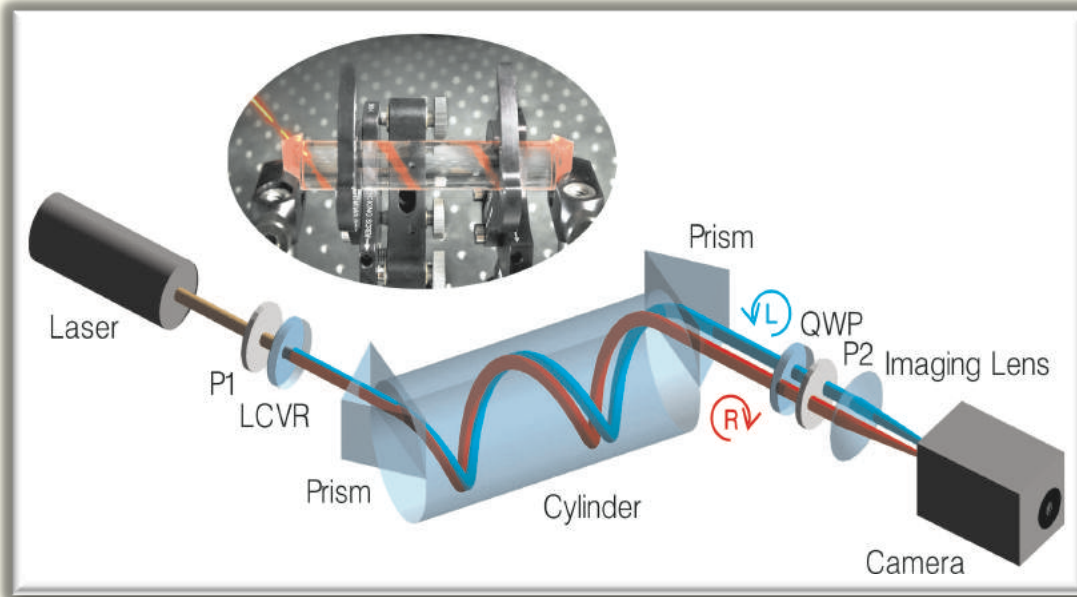


$$\mathbf{J} = \mathbf{r}_c \times \mathbf{k}_c + \sigma \boldsymbol{\kappa}_c = \text{const}$$

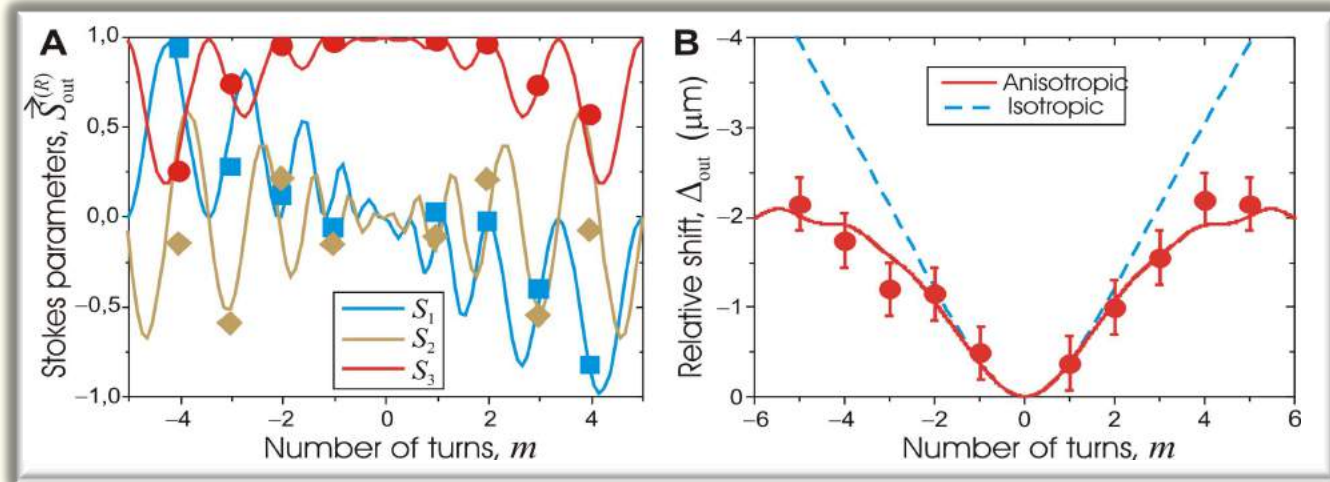
- AM integral

*Liberman & Zeldovich, PRA 1992;  
Bliokh & Bliokh, PLA 2004, PRE 2004; Onoda et al., PRL 2004*

# Spin-Hall effect of light



- Berry phase
- Spin-Hall effect
- Anisotropy



## Origin of the spin-Hall shift

The spin-Hall-effect equations of motion can be derived *ab initio* from Maxwell equations.

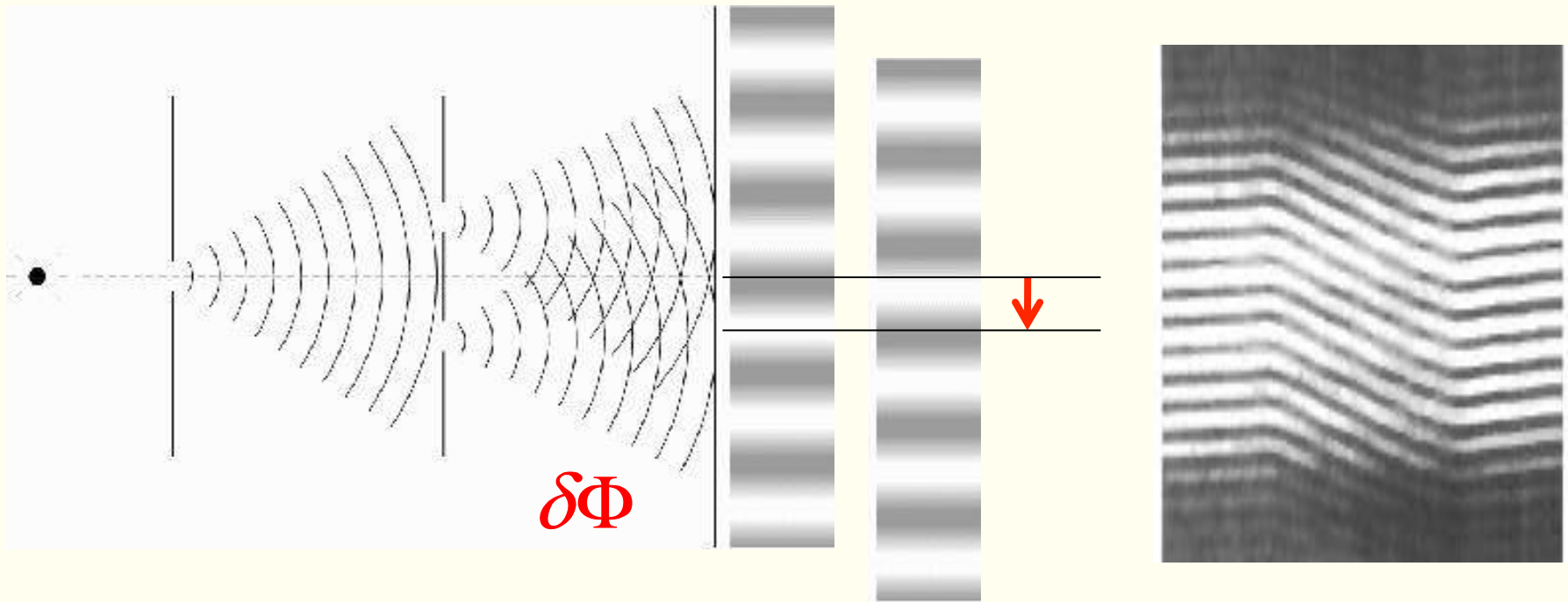
Remarkably, the geometric Berry curvature acts as a real physical field and provides real "force". This is the essence of **geometroynamics** in the **particle** description.

But what causes the Hall-effect shift in the **wave** description? It turns out that the shift is caused by the **transverse gradient of the Berry phase for different plane waves forming a transversely confined beam.**

Indeed, in wave physics, any shift can be attributed to the relative phase between the interfering waves:

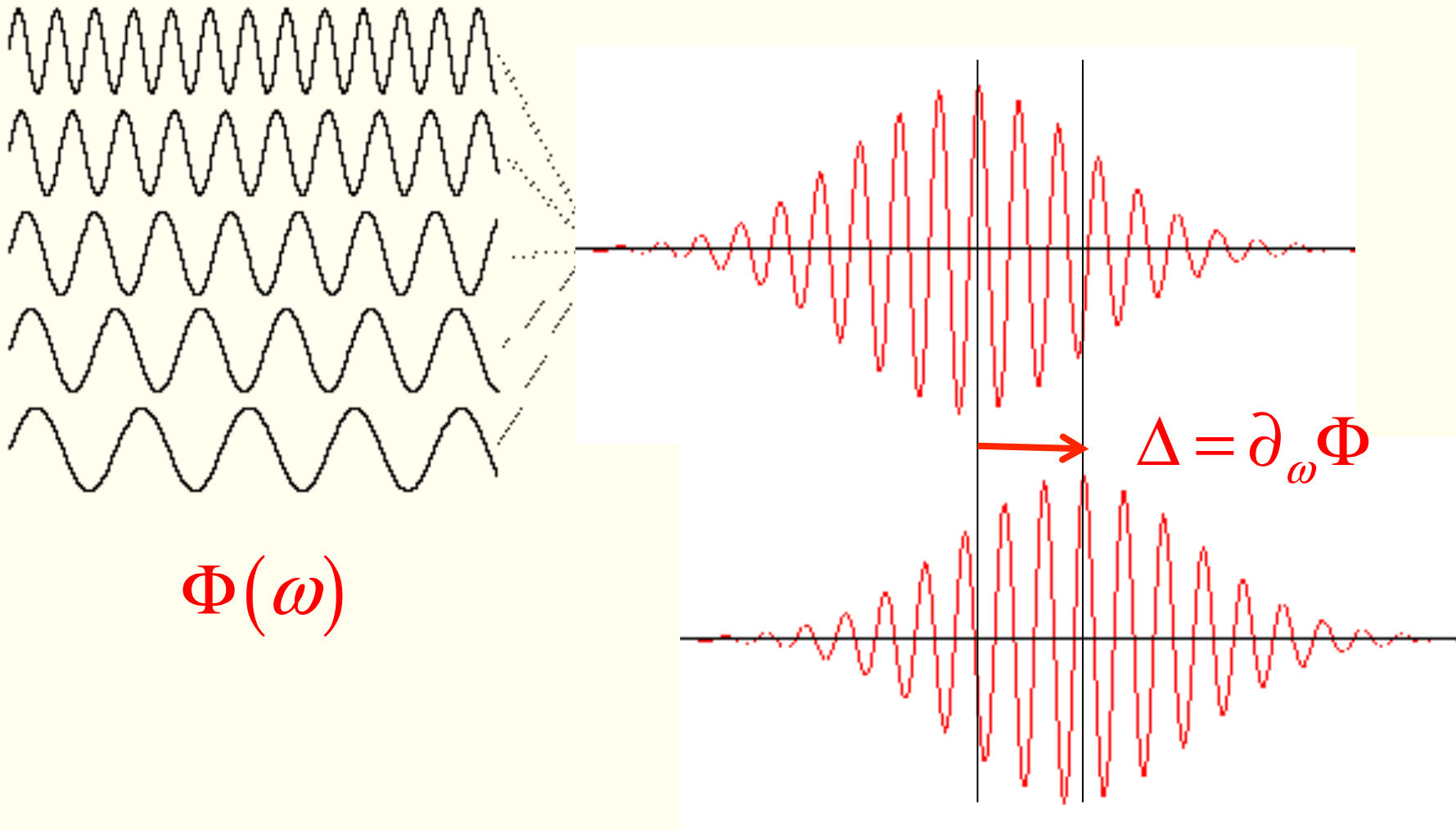
# Origin of the Hall-effect shift

Relative phase between two waves induces shift of the interference pattern (e.g., Aharonov-Bohm effect):



# Origin of the Hall-effect shift

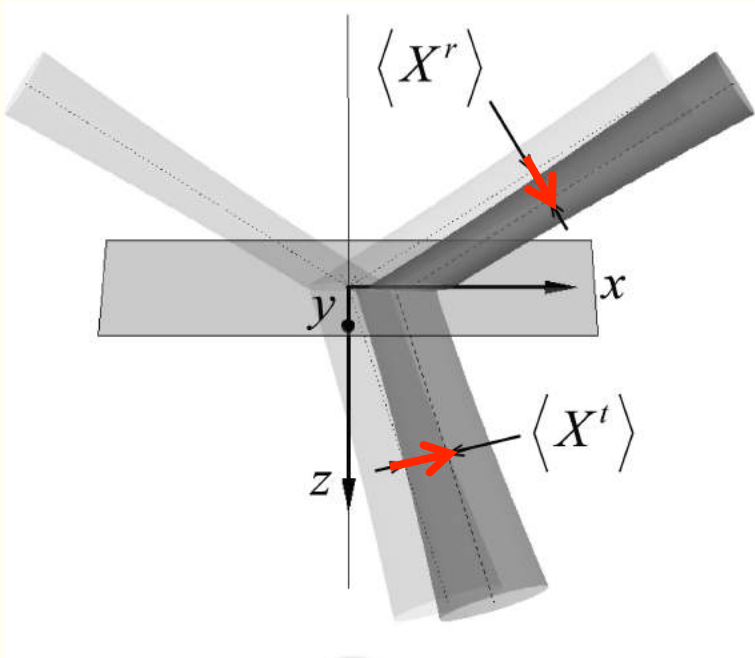
Relative phase gradient between many waves induces shift of the wave packet (e.g., Wigner time delay):



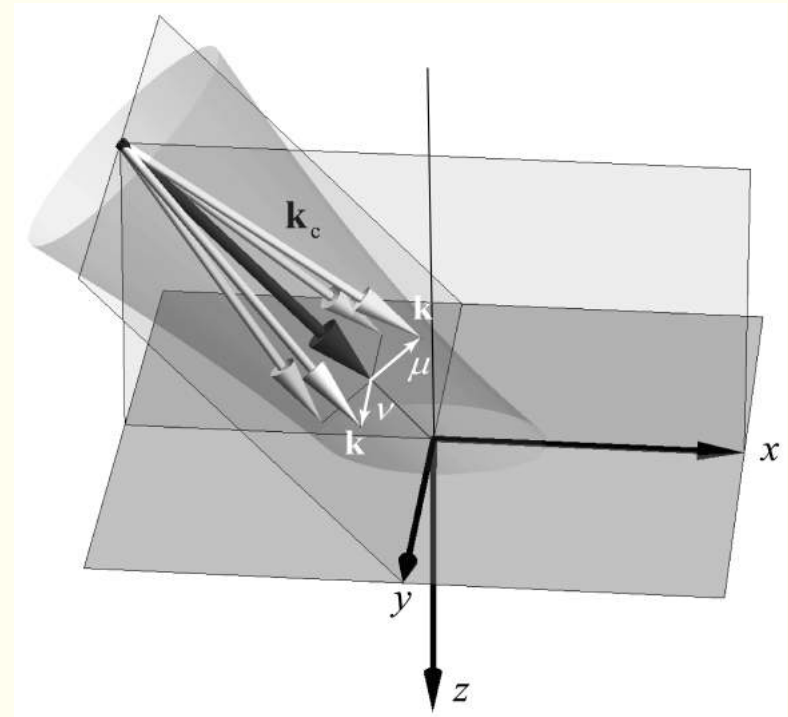


# Origin of the Hall-effect shift

Phase gradient from spatial dispersion induces  
The real-space shift (e.g., **Goos-Hänchen effect**):



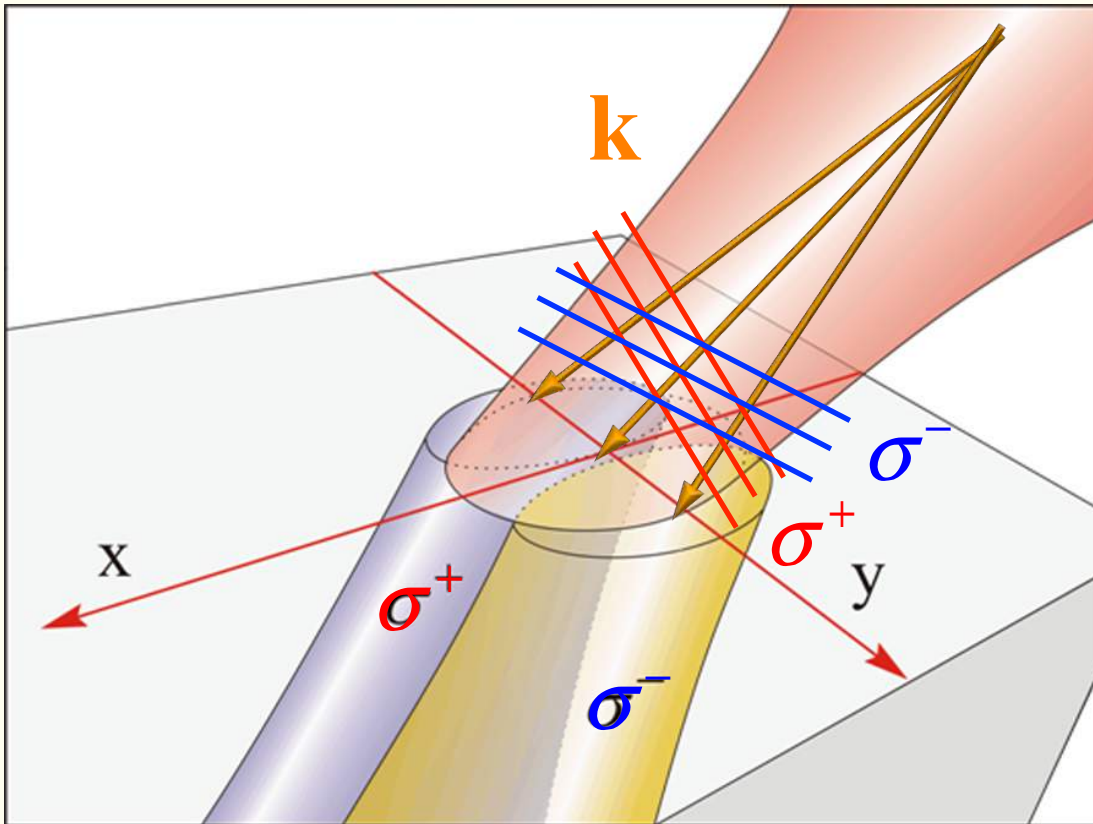
$$X = \partial_{k_X} \Phi$$



$$\Phi(\theta) = \Phi(k_X / k)$$

# Origin of the spin-Hall shift

In a similar way, transverse Berry-phase gradient induces the spin-Hall-effect shift:

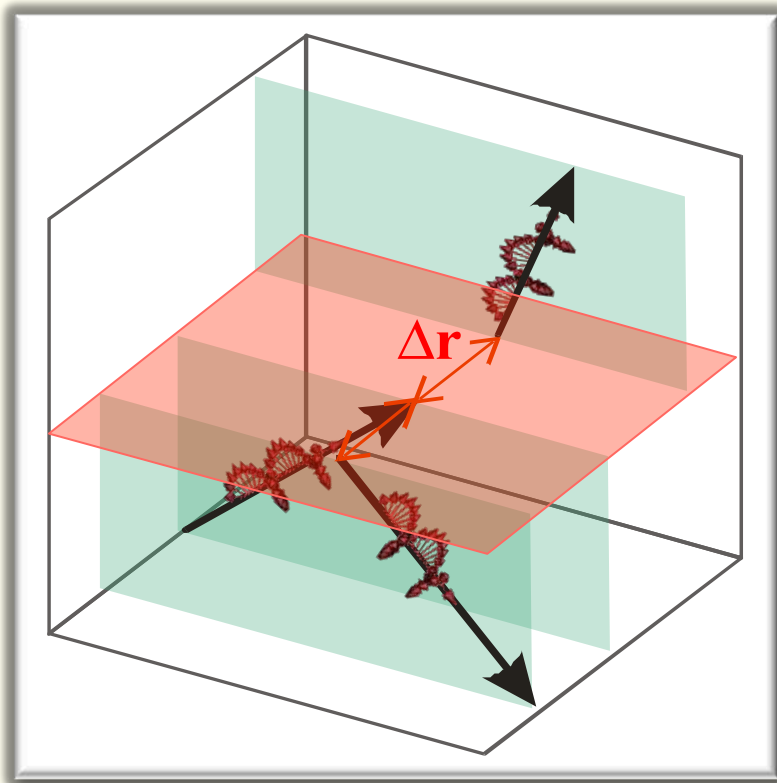


$$\Phi(\varphi) \propto \sigma k_y / k$$

$$Y = -\partial_{k_y} \Phi$$

# Reflection/refraction at an interface

This can be illustrated by the simplest example of the spin Hall effect of light that arises upon the beam reflection/refraction at a plane interface:



$$\Delta \mathbf{r} \propto \sigma \hat{\lambda}$$

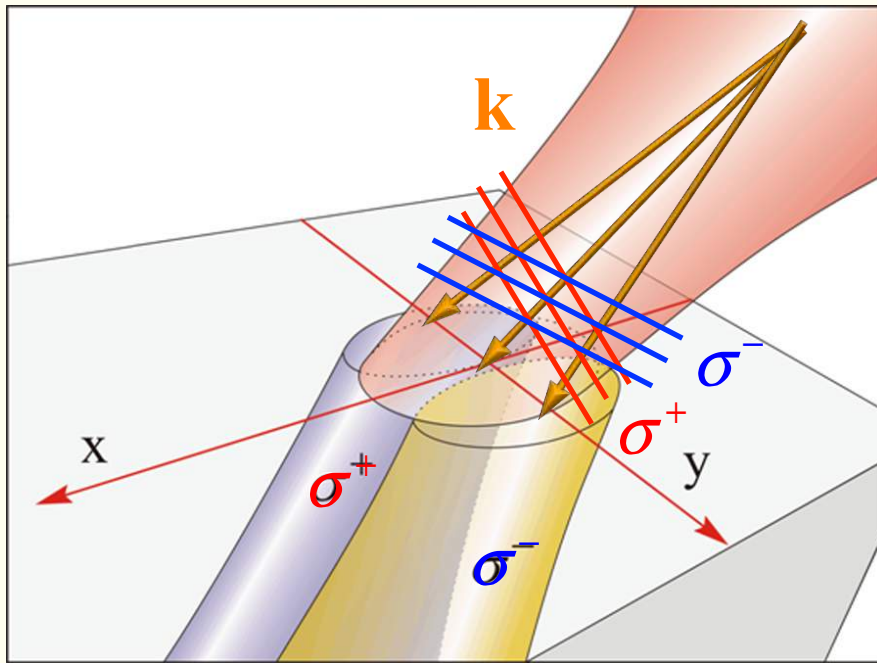
Imbert–Fedorov  
transverse shift

*Fedorov, 1955; Schilling, 1965;  
Imbert, 1972;*

.....

*Onoda et al., PRL 2004;  
Bliokh & Bliokh, PRL 2006;  
Hosten & Kwiat, Science 2008*

# Spin-Hall effect of light



geometry, phase:

$$\mathbf{k}_c \rightarrow \mathbf{k}_c + k_y \bar{\mathbf{y}}:$$

$$\hat{R}_z(\kappa_y / \sin \theta), \quad S_z = \sigma \cos \theta$$

$\Rightarrow$

$$\Phi = -\sigma \kappa_y \cot \theta$$

$\Rightarrow$

$$Y = -\partial_{k_y} \Phi = \lambda \sigma \cot \theta$$

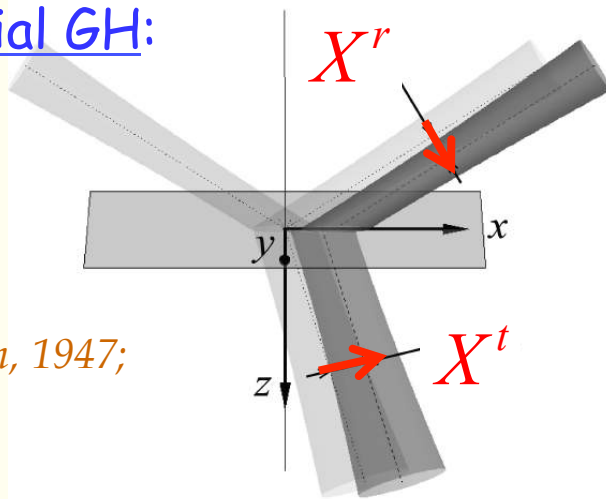
dynamics, AM:

$$\mathbf{J} = \mathbf{L}_{\text{ext}} + \mathbf{S}: \quad \delta J_z = -k Y \sin \theta + \sigma \cos \theta \Rightarrow$$

$$\delta J_z = 0$$

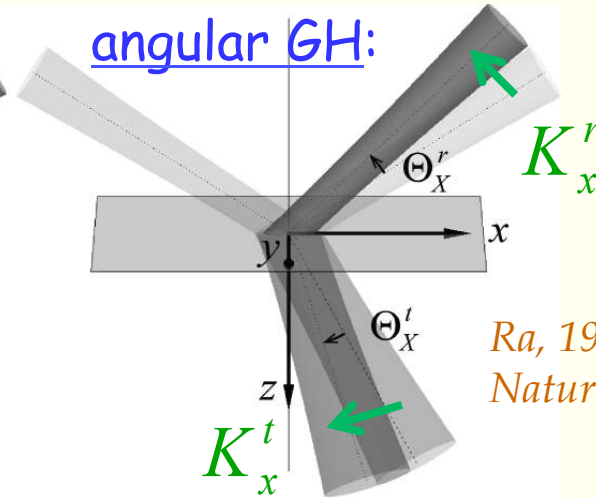
# Goos-Hänchen and Imbert-Fedorov shifts

spatial GH:



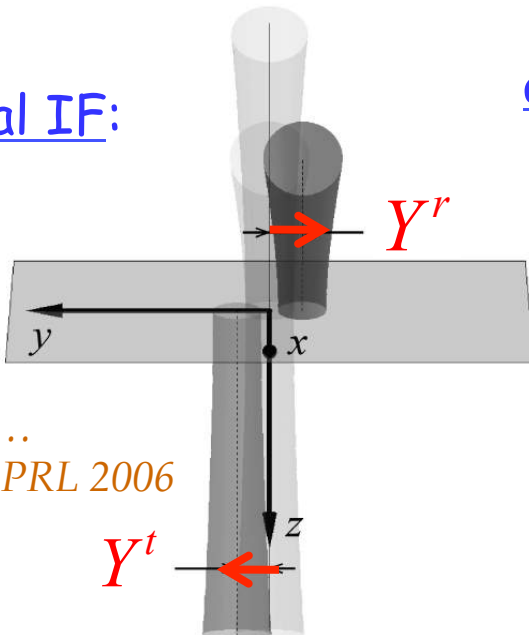
*Goos, Hänchen, 1947;  
Artman, 1948*

angular GH:



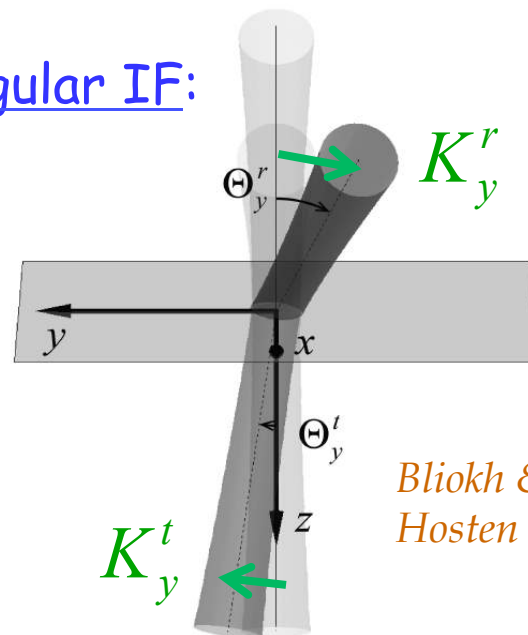
*Ra, 1973; ...; Merano et al.,  
Nature Photon. 2009*

spatial IF:



*Schilling, 1965; ...  
Bliokh & Bliokh, PRL 2006*

angular IF:



*Bliokh & Bliokh, PRE 2007;  
Hosten & Kwiat, Science 2008*

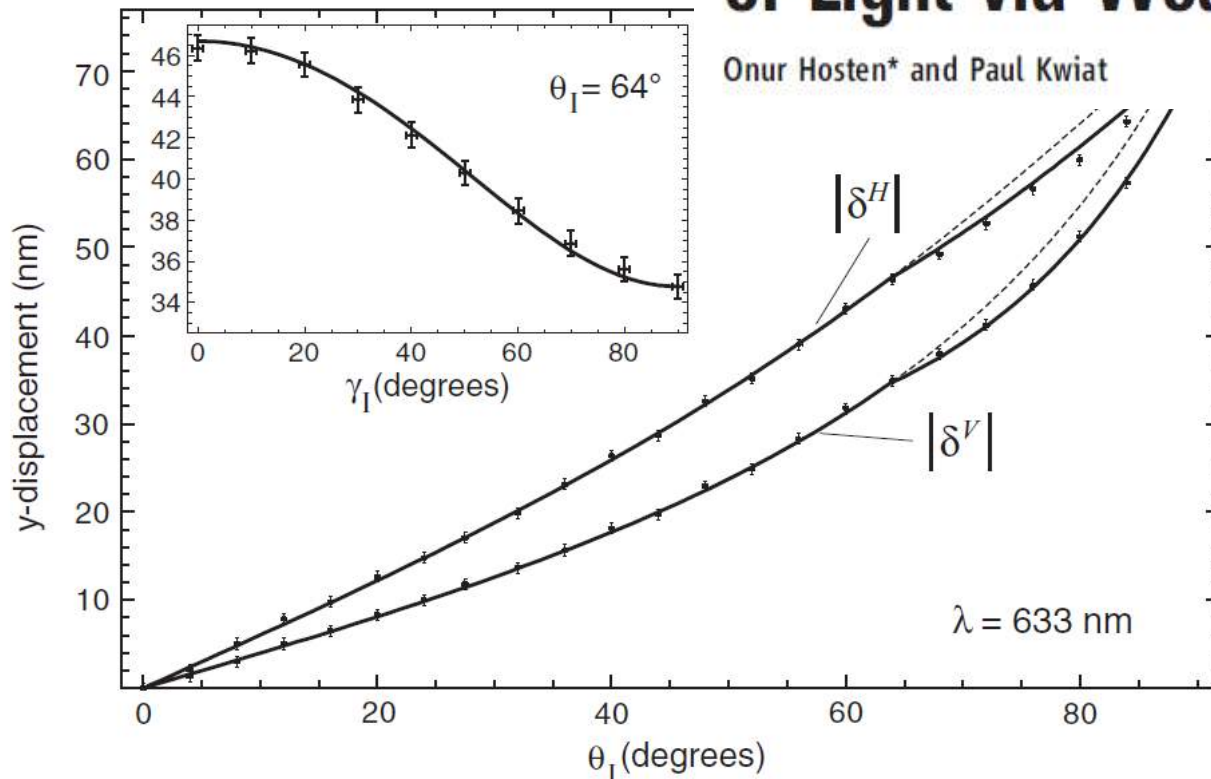
# Reflection/refraction at an interface

Remarkably, the beam shift and accuracy of measurements can be enormously increased using the method of **quantum weak measurements**:

www.sciencemag.org **SCIENCE** VOL 319 8 FEBRUARY 2008

## Observation of the Spin Hall Effect of Light via Weak Measurements

Onur Hosten\* and Paul Kwiat

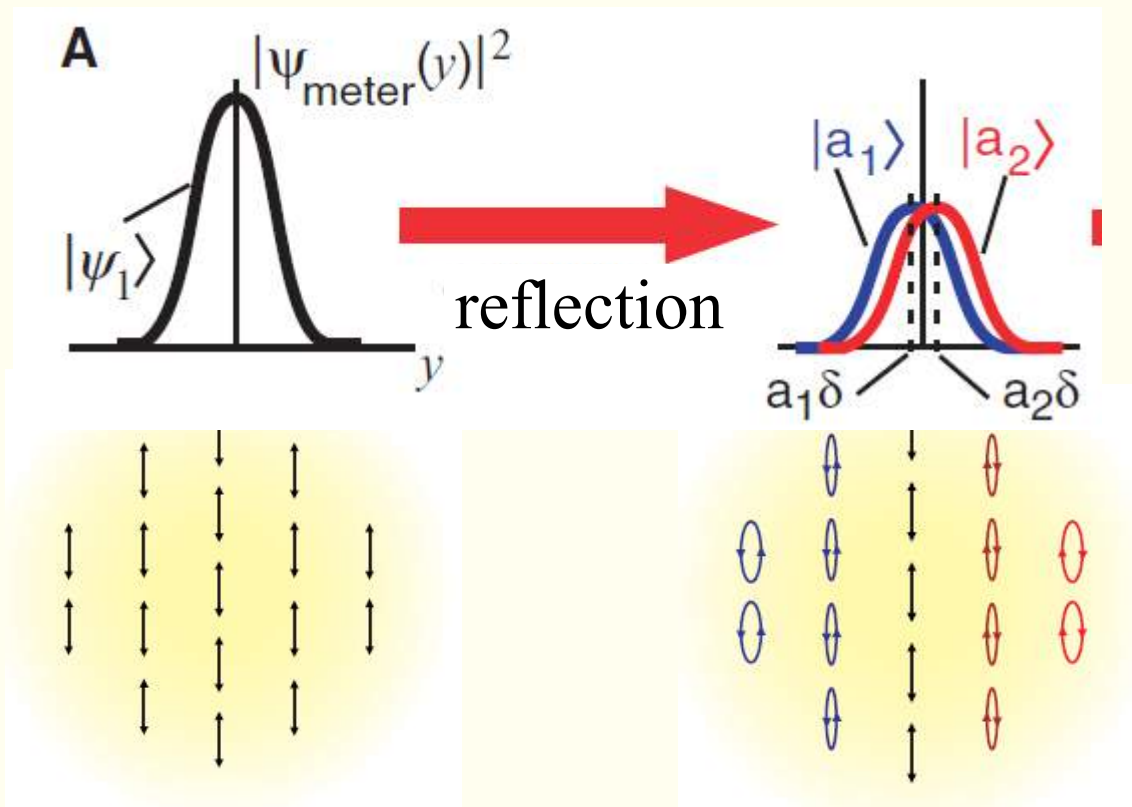


Angstrom  
accuracy!

# Reflection/refraction at an interface

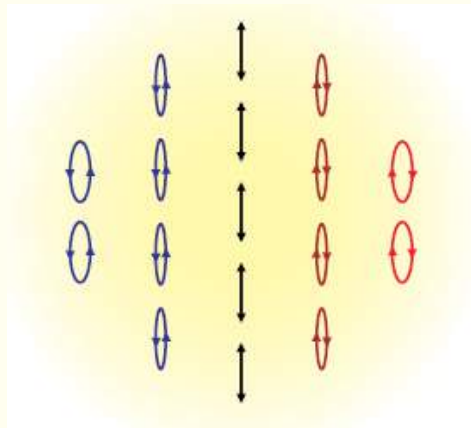
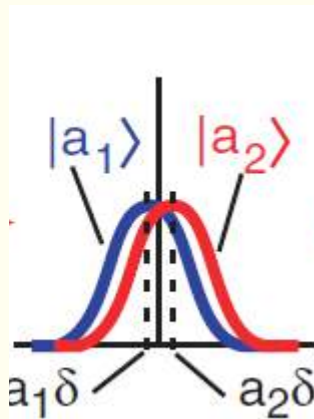
The incident beam is **linearly** polarized, i.e., in the superposition of  $\sigma^+$  and  $\sigma^-$  states.

Reflection/refraction shifts these states in the opposite direction on a subwavelength distance:

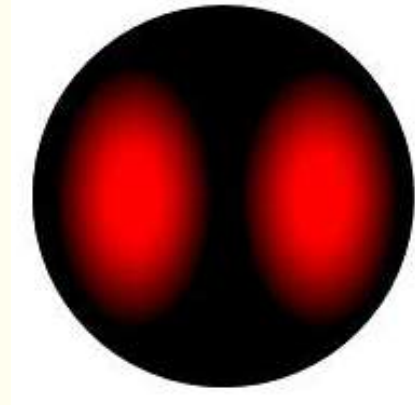
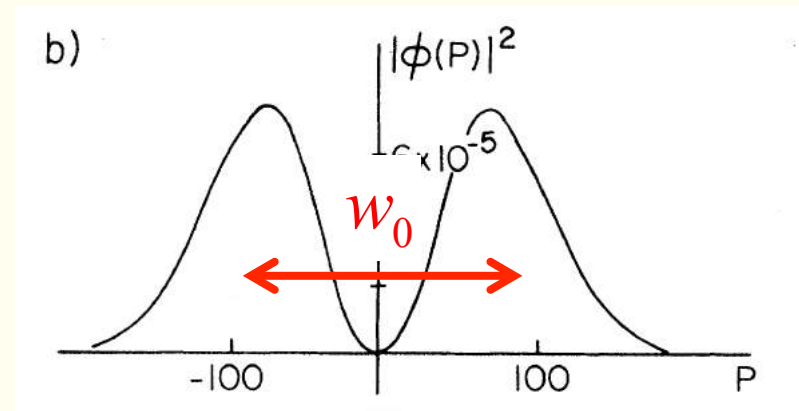


# Reflection/refraction at an interface

Placing an **orthogonal** linear polarizer at the output (post-selection), we will see double-hump profile with the **beam-width** (instead of wavelength!) **splitting** of maxima:



←→  
polarizer

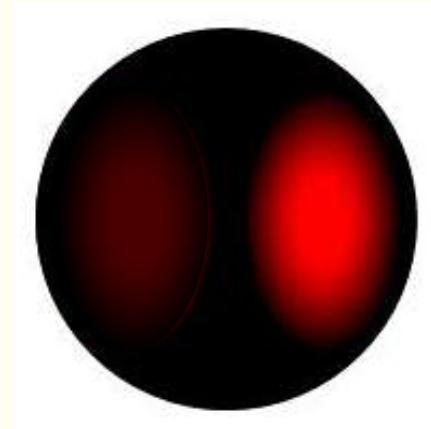
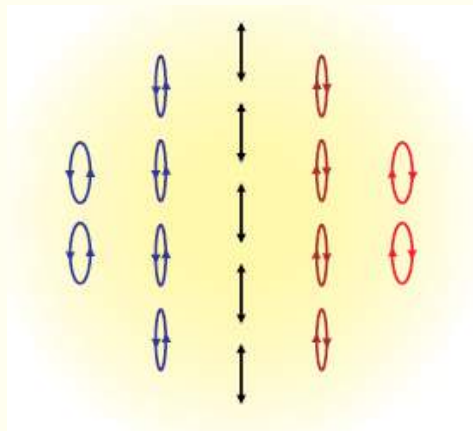
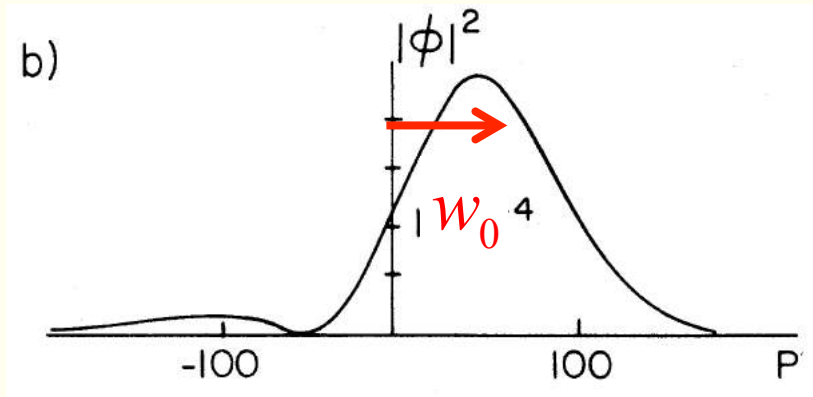
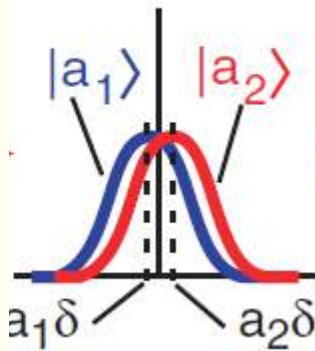


But the shift of the beam centroid is zero.



# Reflection/refraction at an interface

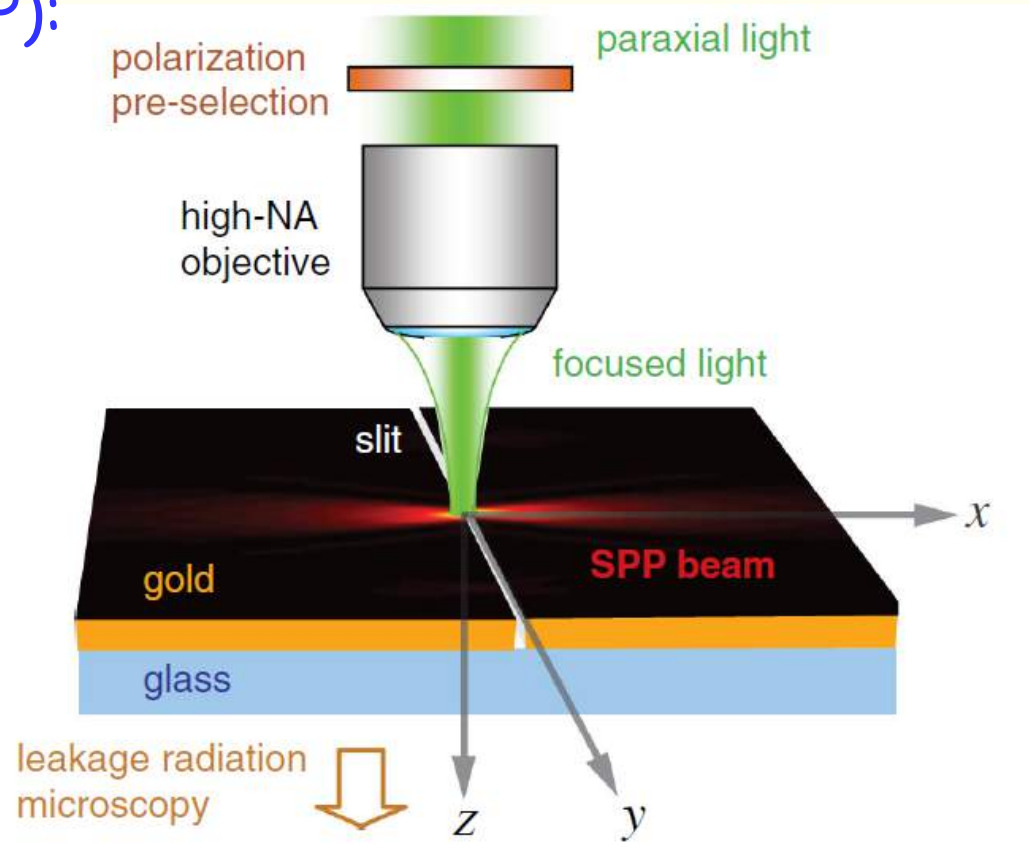
However slight non-orthogonality of the output polarizer (slightly elliptical or linear tilted) drastically deforms the output intensity profile:



This results in the beam-width centroid shift, which is proportional to the original Hall-effect shift !

# Reflection/refraction at an interface

Recently we showed that similar weak measurements of the beam shifts can be realized using **surface plasmon polaritons (SPP)**:



An incident optical beam scattered by a single slit produces output SPP beams, akin to refraction at interface.

## Reflection/refraction at an interface

But the SPPs are **linearly p-polarized**. This provides the build-in polarization postselection for weak measurements. One has only to take almost orthogonal s-polarization (i.e., along the slit) in the incident light:

$$\Phi_{in} \propto \exp\left[-y^2/w_0^2\right] \quad \text{- input spatial profile}$$

$$|\Psi_{in}\rangle \approx |Y\rangle - \varepsilon|X\rangle = \frac{(-i - \varepsilon)|R\rangle + (i - \varepsilon)|L\rangle}{\sqrt{2}} \quad \varepsilon \ll 1$$

- input polarization

$$|\Psi_{out}\rangle = |X\rangle = \frac{|L\rangle + |R\rangle}{\sqrt{2}} \quad \text{- output polarization}$$

$$\sigma_w = \frac{\langle \Psi_{out} | \hat{\sigma}_3 | \Psi_{in} \rangle}{\langle \Psi_{out} | \Psi_{in} \rangle} = \frac{i}{\varepsilon} \quad \text{- weak value of helicity}$$

## Reflection/refraction at an interface

As a result of the spin-orbit interaction, the output SPP beam profile becomes shifted:

$$\Phi_{out} \propto \exp\left[-(y - \Delta)^2 / w_0^2\right] \quad \text{- output spatial profile}$$

$$\Delta \simeq -\hat{\lambda} \sigma_w = -\hat{\lambda} \frac{i}{\varepsilon} \quad \text{- complex beam shift}$$

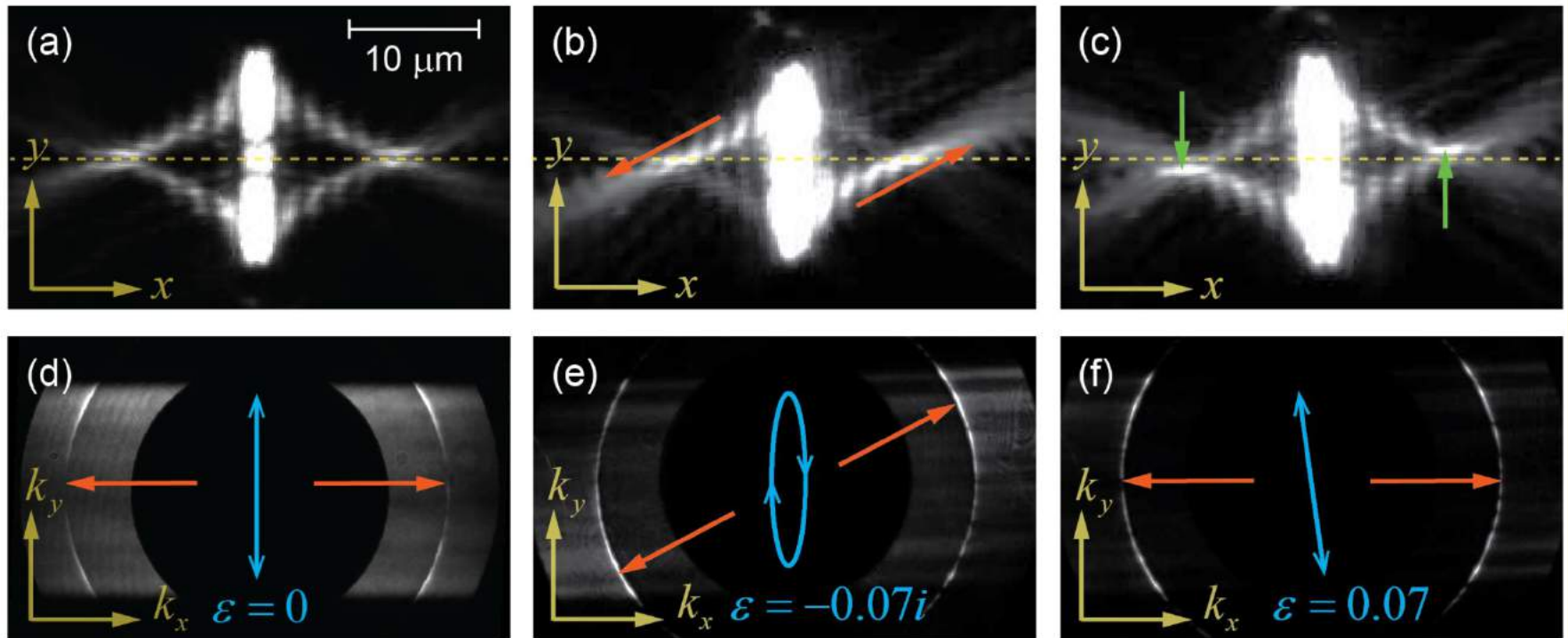
The real and imaginary part of the complex shift yield the coordinate and momentum spin-Hall shifts:

$$\langle y \rangle = \text{Re} \Delta$$

$$\langle k_y \rangle = 2w_0^{-2} \text{Im} \Delta$$

# Reflection/refraction at an interface

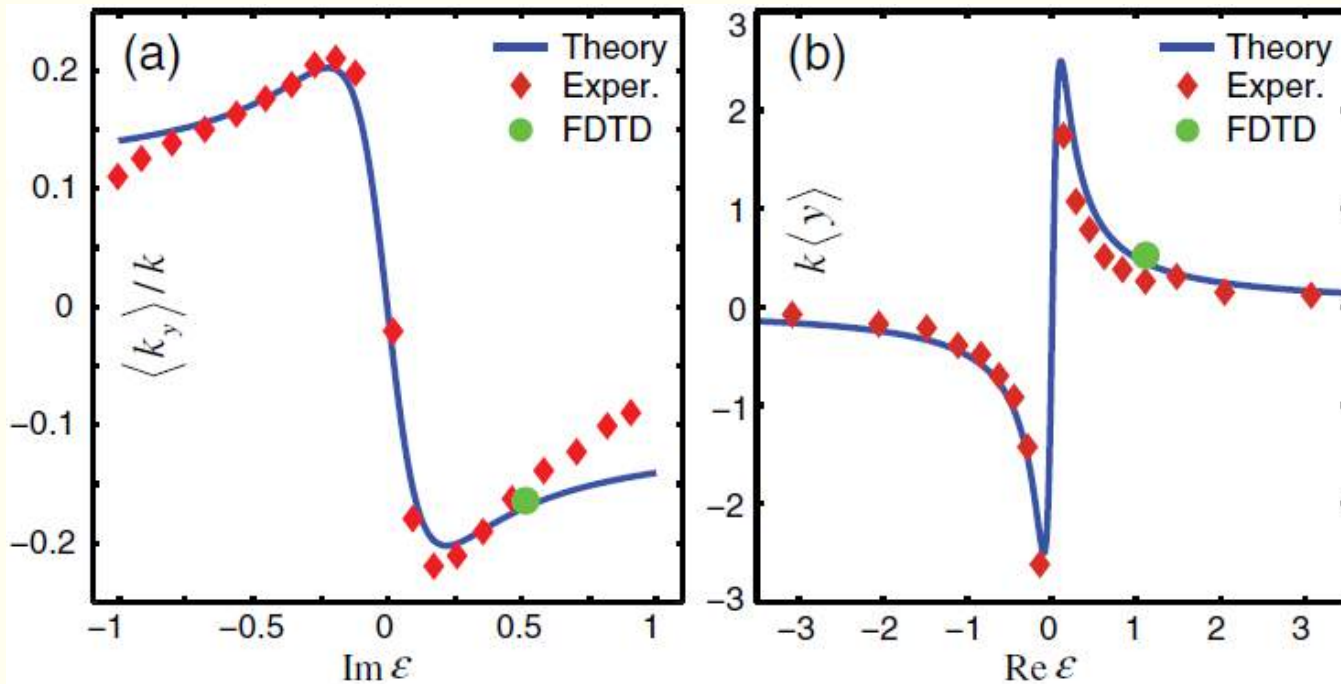
The results SPP beam fields in the real and momentum (Fourier) spaces at different input polarizations:



Small real and imaginary  $\epsilon$  induces strong deformations and coordinate and momentum spin-Hall shifts in the SPP beams.

# Reflection/refraction at an interface

The results are in perfect agreement with the FDTD and analytical calculations:



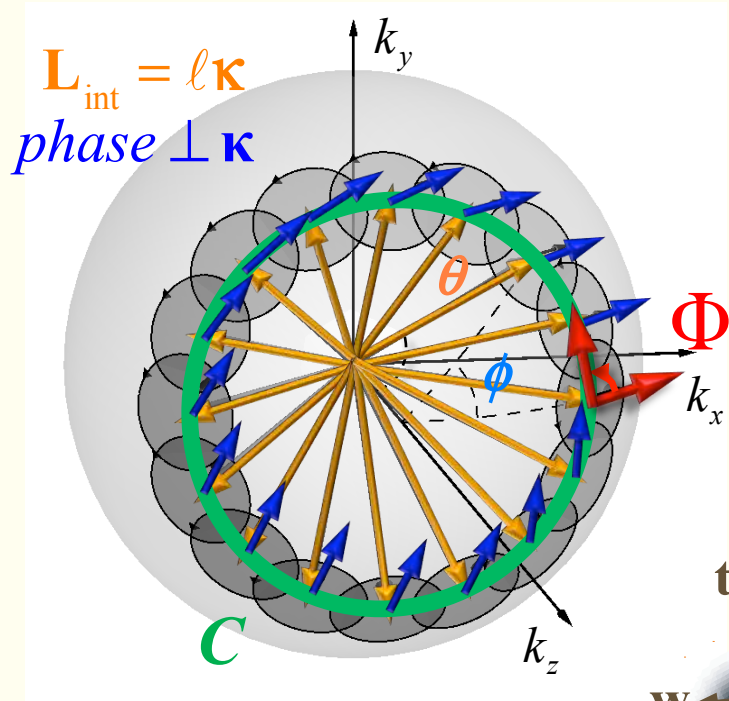
$$\langle y \rangle = \frac{1}{k\theta_c} \frac{2\chi\theta_c \text{Re } \epsilon}{2\chi^2 |\epsilon|^2 + \theta_c^2 / 2}$$

$$\langle k_y \rangle = -k\theta_c \frac{\chi\theta_c \text{Im } \epsilon}{2\chi^2 |\epsilon|^2 + \theta_c^2 / 2}$$

## Summary of the SOI in paraxial beams

- Geometrodynamics of light carrying intrinsic AM; Geometric force from Berry curvature
- Spin and orbital Hall effects; Total AM conservation
- Hall shifts arise from the transverse gradient of the Berry phase
- Beam refraction/reflection at a plane interface
- Weak measurements of the spin Hall effect; Plasmonic spin Hall effect

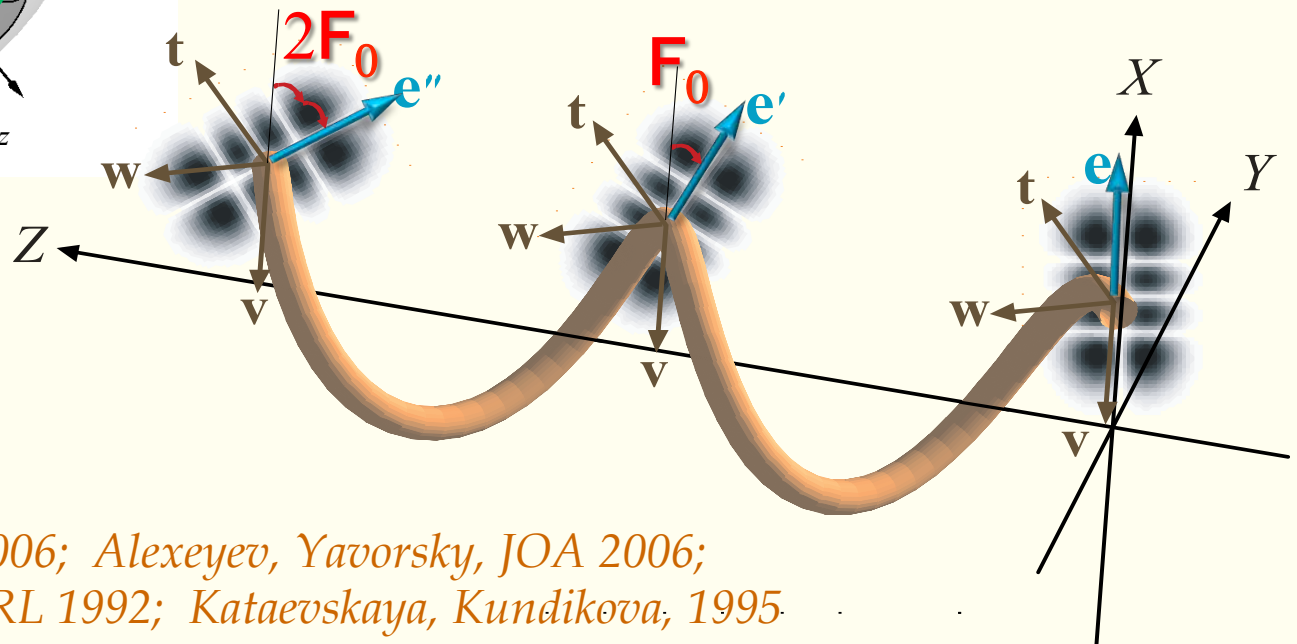
# Orbit-orbit interaction and phase



$$\mathcal{L}_{\text{OOI}} = l\mathcal{A} \cdot \dot{\mathbf{k}} = \mathbf{L}_{\text{int}} \cdot \boldsymbol{\Omega}$$

– OOI Lagrangian

$$\Phi = \int \mathcal{L}_{\text{SOI}} d\tau \quad \text{– Berry phase}$$

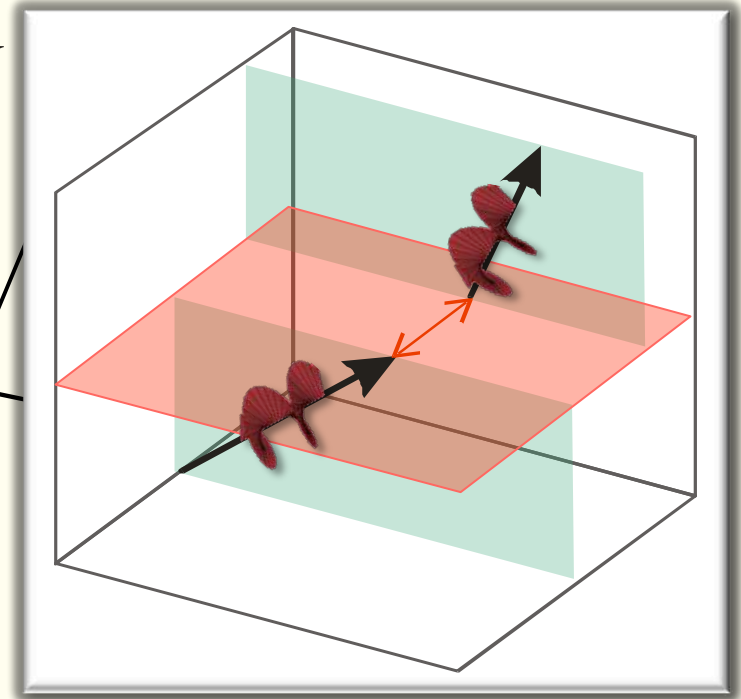
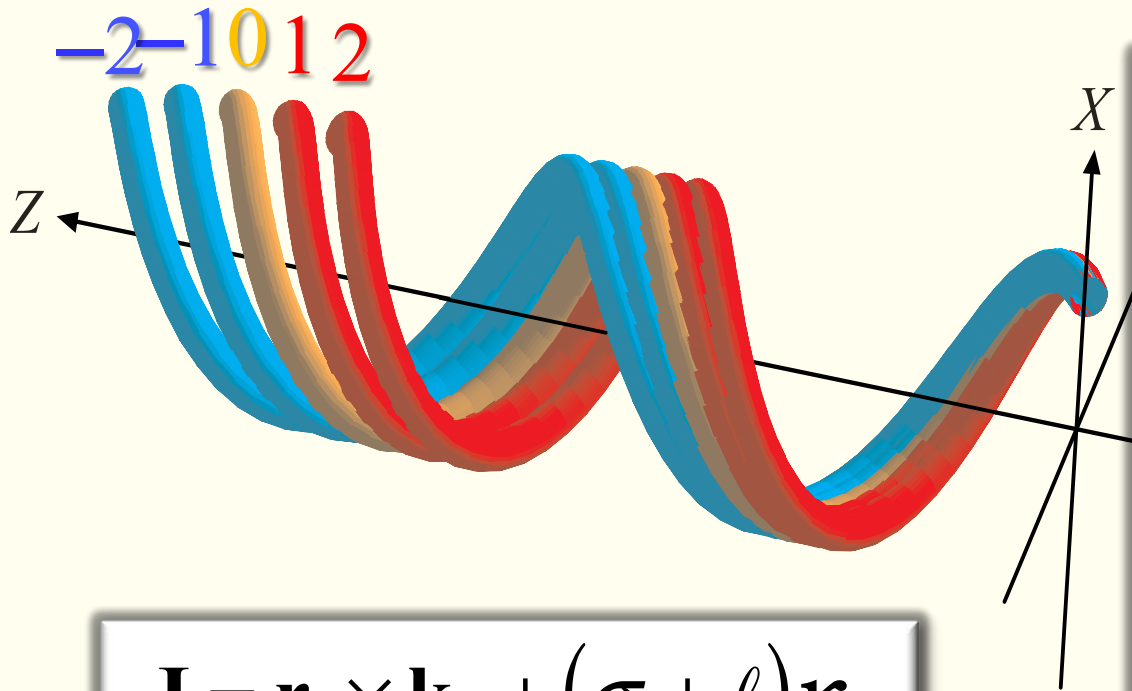


*Bliokh, PRL 2006; Alexeyev, Yavorsky, JOA 2006;  
 Segev et al., PRL 1992; Kataevskaya, Kundikova, 1995.*



# Orbital-Hall effect of light

$\sigma \rightarrow \sigma + \ell$  – vortex-dependent shifts



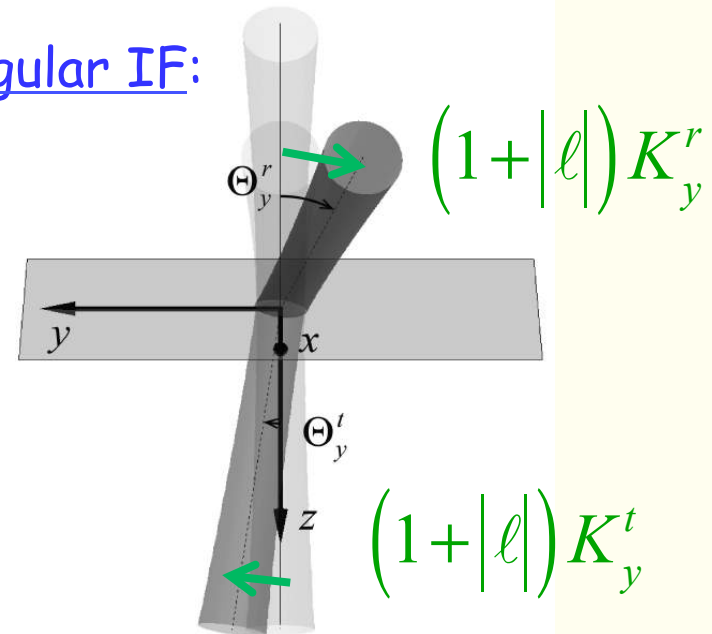
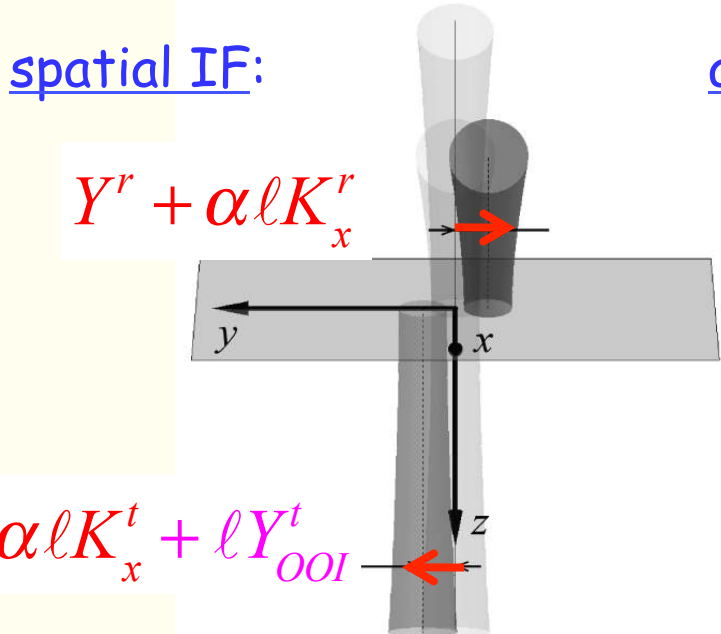
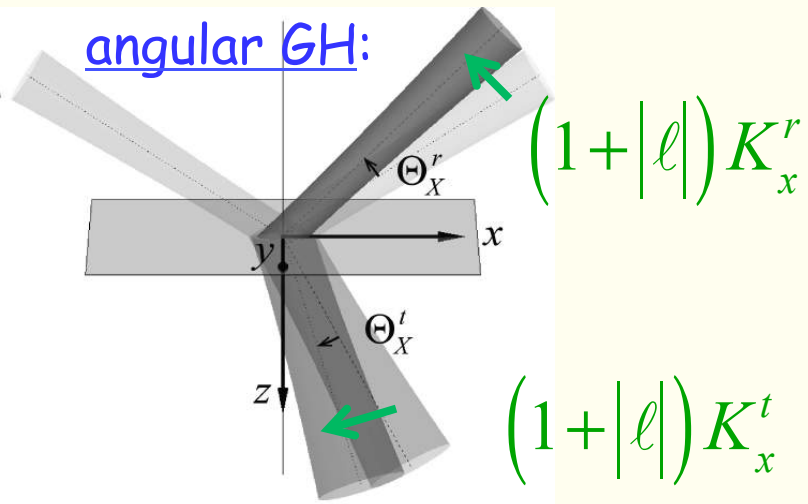
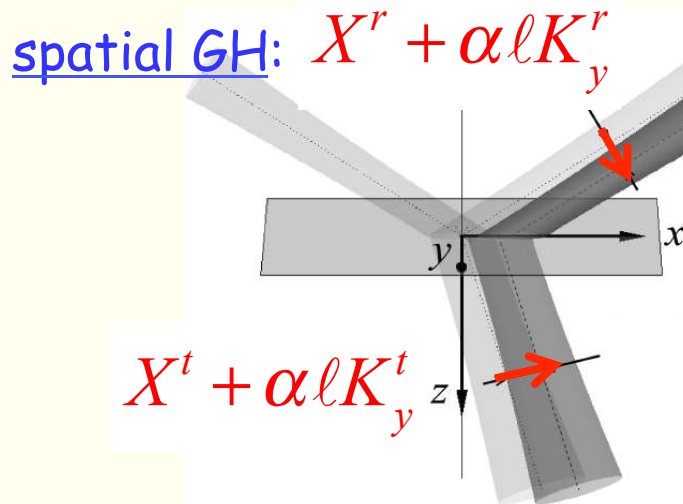
$$\mathbf{J} = \mathbf{r}_c \times \mathbf{k}_c + (\sigma + \ell) \mathbf{k}_c$$

– AM conservation

*Bliokh, PRL 2006*

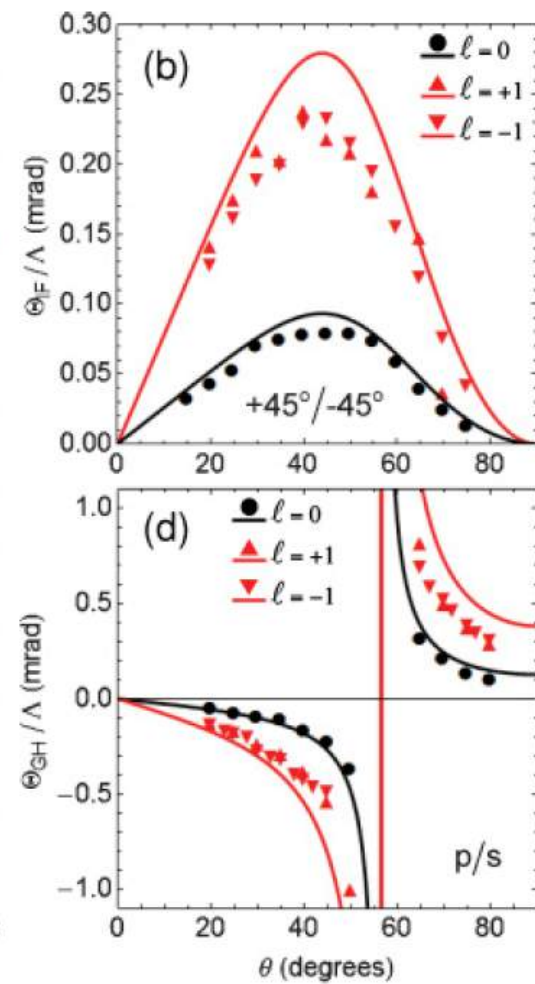
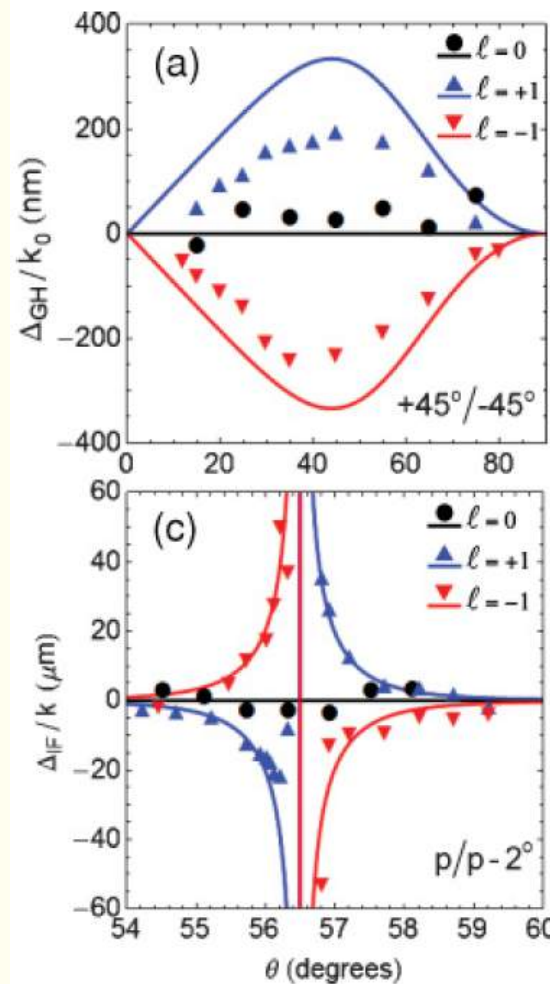
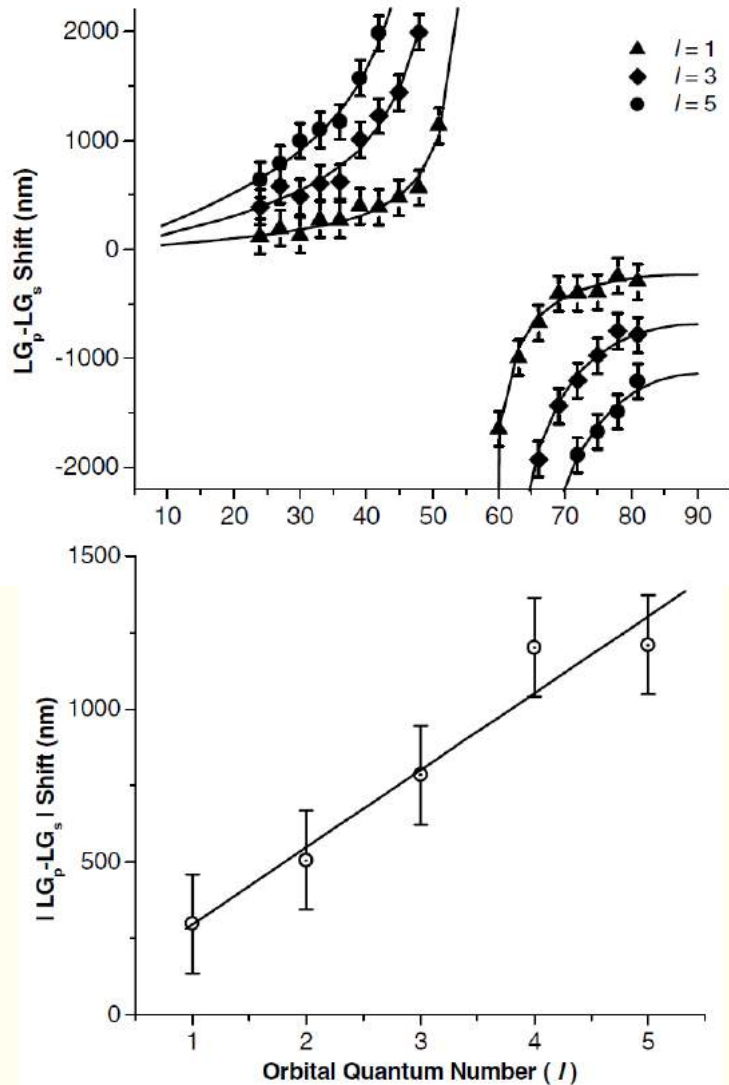
*Fedoseyev, Opt. Commun. 2001;  
Dasgupta & Gupta, ibid. 2006*

# GH and IF shifts for vortex beams



# Reflection/refraction at an interface

Experimental measurements of the vortex beam shifts:



Fedoseyev, 2001; Dasgupta & Gupta, 2006

Bliokh et al. 2009; Merano et al., 2010

- Spin-orbit interaction in paraxial beams
  - Propagation in gradient-index media
  - Reflection/transmission at an interface
- Spin-orbit coupling in nonparaxial fields
  - Spin and orbital AM in free space
  - Focusing, scattering, imaging
- Spin-orbit coupling in inhomogeneous anisotropic structures (metasurfaces)
- Transverse spin-momentum locking

## Spin and orbital AM in free space

We have shown that the spin Hall effect is related to the transverse Berry-phase gradient of the plane waves forming the beam.

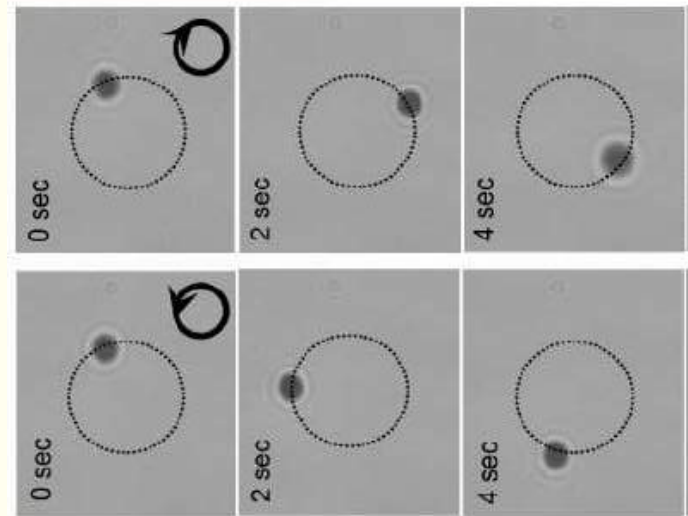
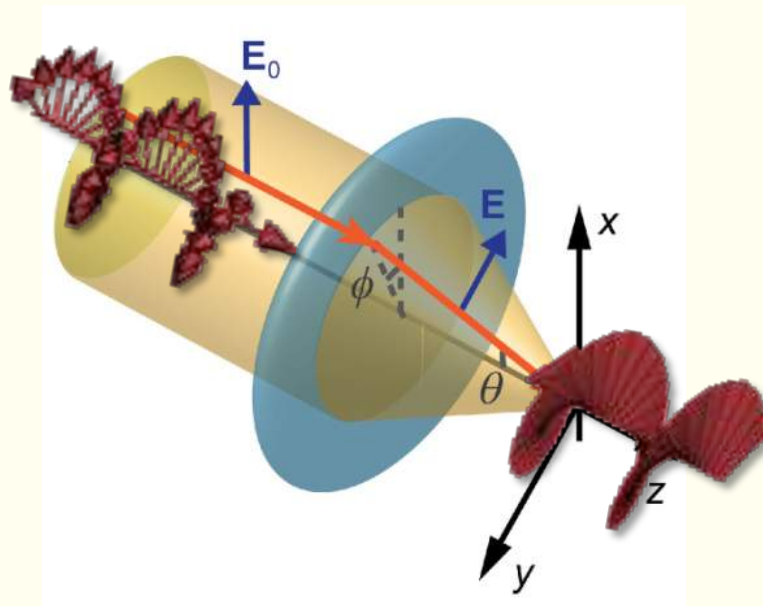
Furthermore, the main scale of the effect is the wavelength.

Therefore, it is natural to expect that the SOI phenomena will become more significant in **nonparaxial** (e.g., tightly focused) fields.

And, indeed, some strong spin-dependent AM effect occurs in tightly focused light:

# Spin and orbital AM in free space

Focusing of a circularly polarized light with SAM results in vortex component in the 3D nonparaxial field and nonzero OAM proportional to  $\sigma$ . This is **spin-to-orbital AM conversion**:



*Y. Zhao et al., PRL 2007*

It is related to fundamental peculiarities of the photon AM operators.

*Bliokh et al., PRA 2010*

# Spin and orbital AM in free space

The total AM operator for photon is a sum of the OAM and SAM operators:

$$\hat{\mathbf{J}} = \hat{\mathbf{r}} \times \hat{\mathbf{p}} + \hat{\mathbf{S}} \equiv \hat{\mathbf{L}} + \hat{\mathbf{S}}$$

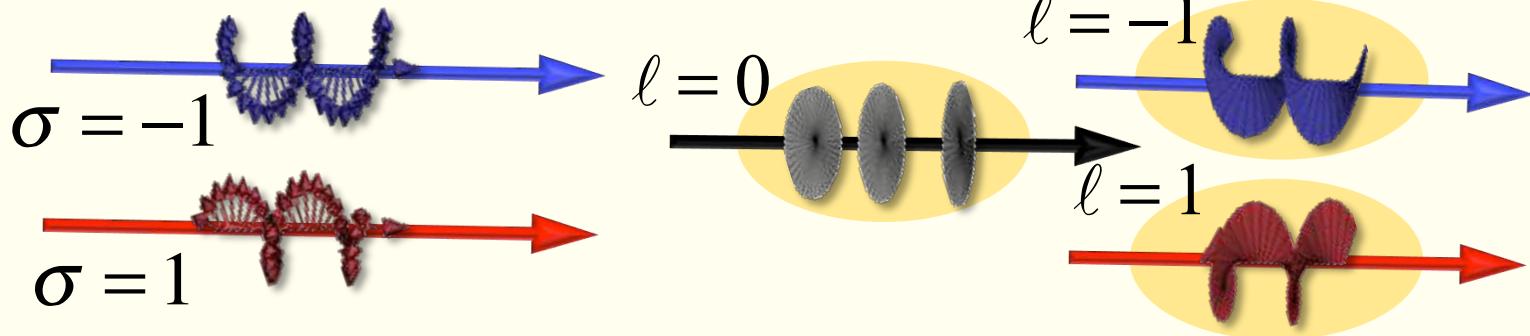
$$\hat{\mathbf{r}} = i\partial_{\mathbf{k}}, \quad \hat{\mathbf{p}} = \mathbf{k},$$

$$\left(\hat{S}_a\right)_{ij} = -i\epsilon_{aij}$$

$$\hat{L}_z = -i\partial_\phi, \quad \left(\hat{S}_z\right)_{ij} = -i\epsilon_{zij}$$

$$\mathbf{E}_{l\sigma} \propto (\bar{\mathbf{x}} + i\sigma\bar{\mathbf{y}})e^{il\phi}$$

- z-components and paraxial eigenmodes:  
s - polarization,  
 $l$  - vortex



# Spin and orbital AM in free space

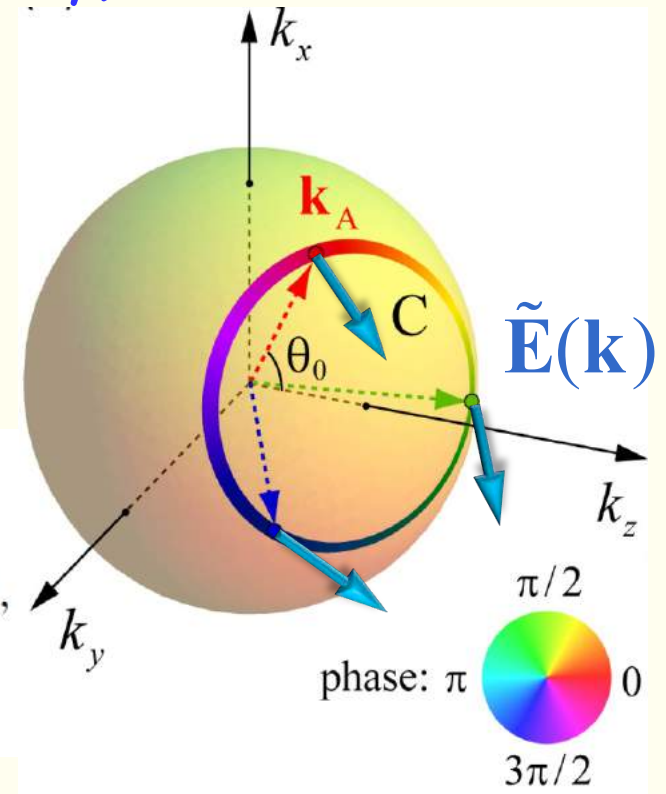
$$\tilde{\mathbf{E}}_{l\sigma} \propto (\bar{\boldsymbol{\theta}} + i\sigma\bar{\boldsymbol{\phi}}) e^{i\sigma\phi} e^{il\phi} \equiv \mathbf{e}^\sigma(\mathbf{k}) e^{il\phi} \quad \text{– nonparaxial vortex beam}$$

Bessel-beams spectrum - a circle in  $\mathbf{k}$ -space (we assume that all the waves have the same helicity):

$$\tilde{E}_l^\sigma = A^\sigma \delta(\theta - \theta_0) e^{il\phi}$$

real space field:

$$\mathbf{E}_l^\sigma \propto A^\sigma \begin{pmatrix} \frac{1+\sigma}{2} J_l(\xi) - \sigma b e^{i(\sigma-1)\varphi} J_{l+\sigma-1}(\xi) \\ \frac{1-\sigma}{2} J_l(\xi) + \sigma b e^{i(\sigma+1)\varphi} J_{l+\sigma+1}(\xi) \\ -i\sigma\sqrt{2abe}^{i\sigma\varphi} J_{l+\sigma}(\xi) \end{pmatrix} e^{ik_{\parallel}z + il\varphi},$$





# Spin and orbital AM in free space

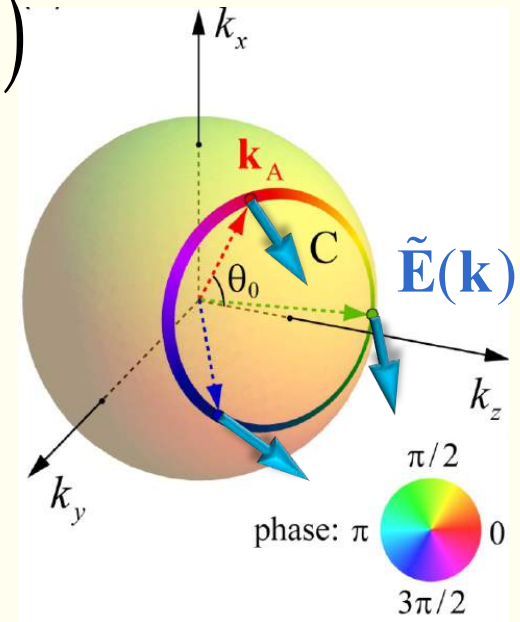
Calculating the SAM and OAM expectation values in the Bessel beam,  $\langle \mathbf{O} \rangle = \langle \tilde{E}^\sigma | \hat{\mathbf{O}} | \tilde{E}^\sigma \rangle$ , we arrive at:

$$\langle S_z \rangle = \sigma(1 - \Phi_B), \quad \langle L_z \rangle = \ell + \sigma \Phi_B$$

$$\Phi_B \equiv 2\pi \Phi_B = \oint_C \mathbf{A}_B \cdot d\mathbf{k} = 2\pi(1 - \cos\theta_0)$$

– Berry phase !

Thus, the **spin-to-orbit AM conversion** in nonparaxial fields originates from the **Berry phase** associated with the azimuthal distribution of partial waves.

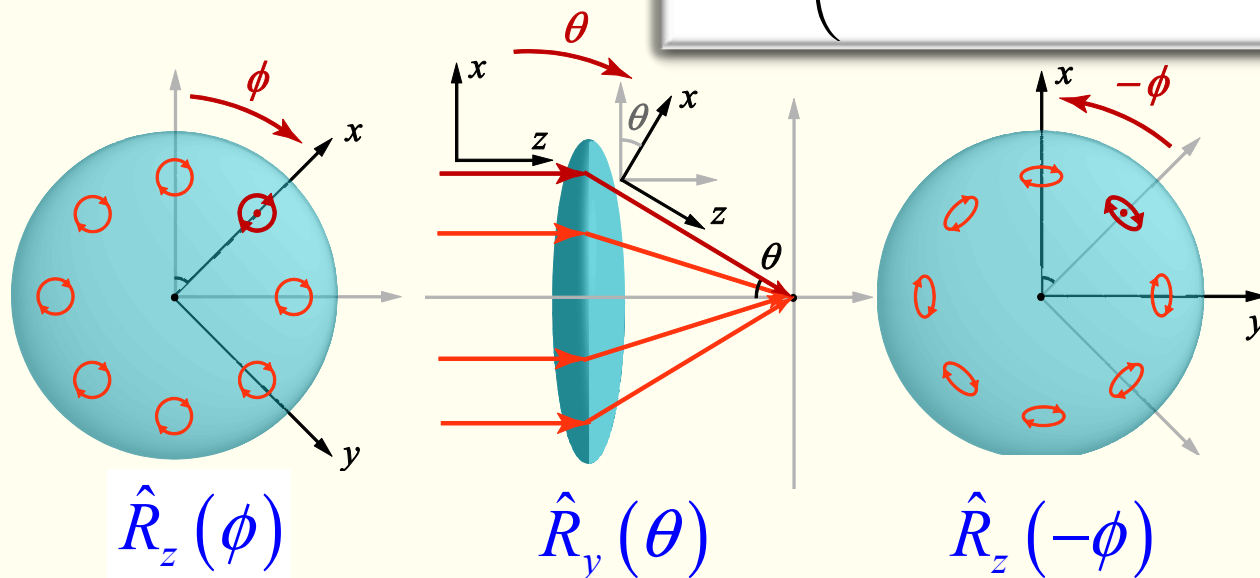


# Spin-to-orbit conversion upon focusing

$$\tilde{\mathbf{E}}(\theta, \phi) \propto \sqrt{\cos \theta} \hat{U}(\theta, \phi) \mathbf{E}_0$$

– Richards-Wolf theory (1959) = *parallel transport*

$$\hat{U} = \begin{pmatrix} a & -be^{-2i\phi} & \sqrt{2abe^{-i\phi}} \\ -be^{2i\phi} & a & \sqrt{2abe^{i\phi}} \\ -\sqrt{2abe^{i\phi}} & -\sqrt{2abe^{-i\phi}} & a-b \end{pmatrix}$$



$$b = \Phi_B(\theta) / 2$$

– Berry phase

## Spin and orbital AM in free space

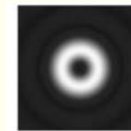
All basic manifestations of the SOI of light can be immediately seen in the spin-dependent real-space intensity distribution of the field.

The intensity of the circularly-polarized vector Bessel beam reflects the spin-to-orbital AM conversion:

$$I_{\ell}^{\sigma}(\rho) \propto |A^{\sigma}|^2 \left[ a^2 J_{\ell}^2(\tilde{\rho}) + b^2 J_{\ell+2\sigma}^2(\tilde{\rho}) + 2ab J_{\ell+\sigma}^2(\tilde{\rho}) \right]$$

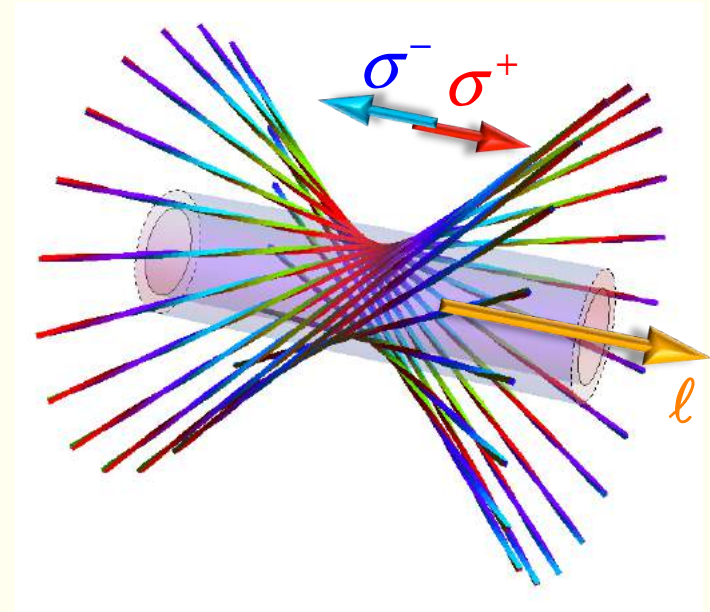
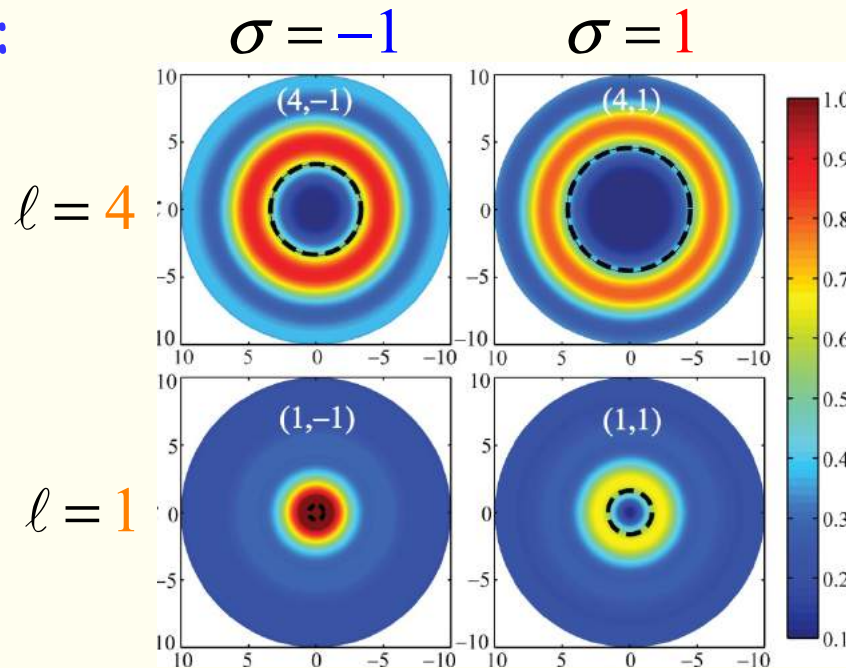
$$(b = \Phi/2, a = 1 - \Phi/2)$$

$$|\ell, \sigma\rangle \rightarrow \left[ a|\ell, \sigma\rangle - b|\ell + 2\sigma, -\sigma\rangle - \sqrt{2ab}|\ell + \sigma, 0\rangle \right]$$



# Spin and orbital AM in free space

The transverse intensity distributions show  $\sigma$ -dependent radii:



The beam radius can be obtained from the quantization (with Berry phase) and fine SOI splitting of the caustic:

$$k_{\perp} R_{\ell}^{\sigma} = \left| \ell + \sigma \Phi \right| = \left| \langle L_z \rangle \right|$$

# Spin and orbital AM in free space

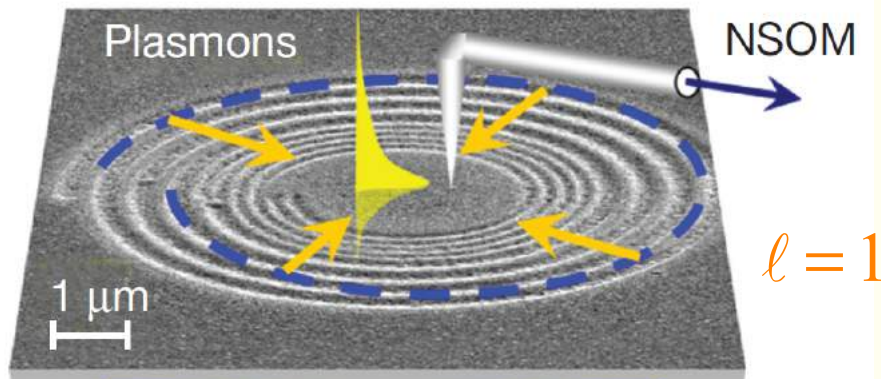
The spin-dependent radius can be demonstrated in a circular plasmonic cavity generating Bessel modes:

$$\theta_0 = \pi/2, \quad \Phi_B = 2\pi$$

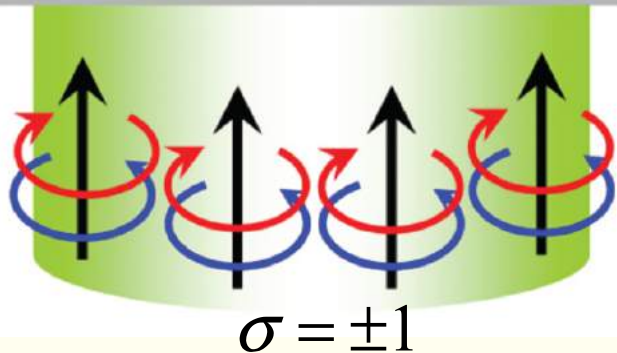
$$\ell + \sigma \Phi_B = \ell + \sigma$$

$$\sigma = -1$$

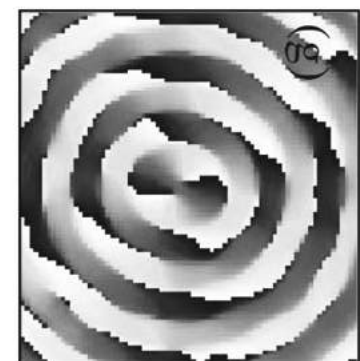
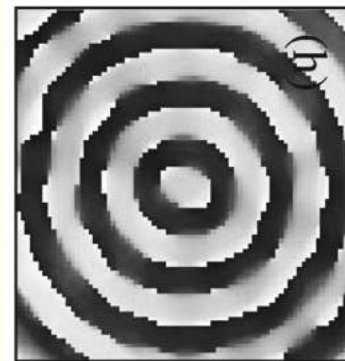
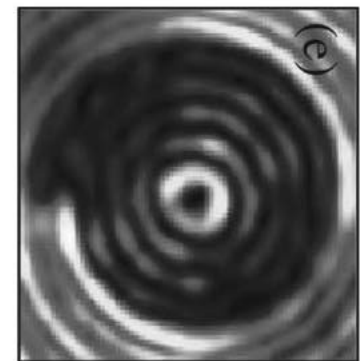
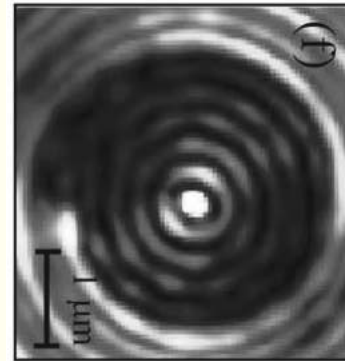
$$\sigma = 1$$



$$\ell = 1$$



$$\sigma = \pm 1$$

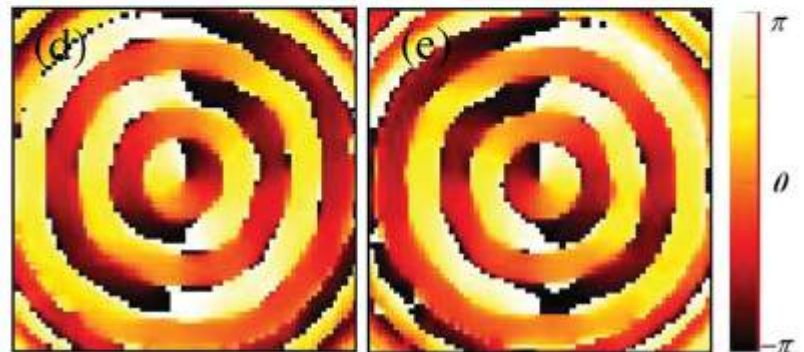
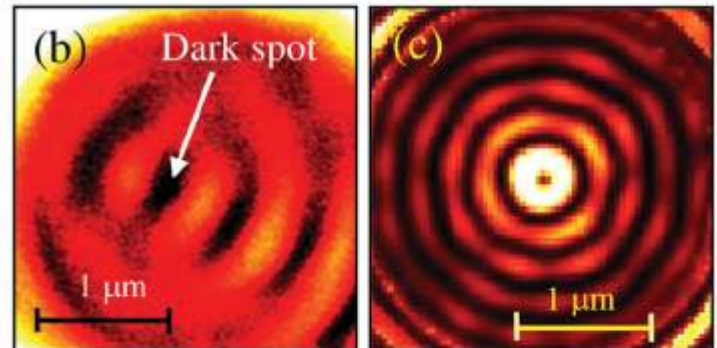
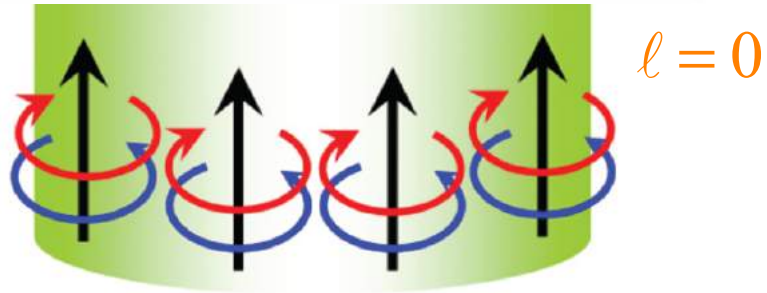
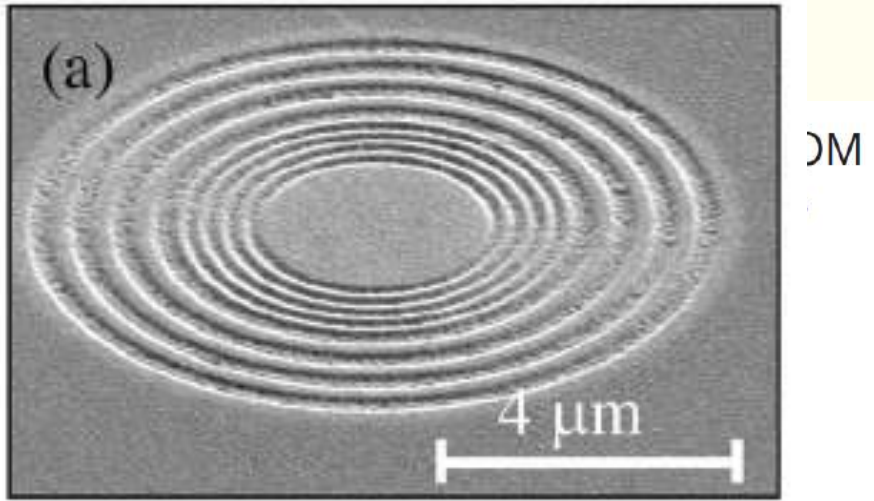


# Spin and orbital AM in free space

$$l + \sigma \Phi_B = l + \sigma$$

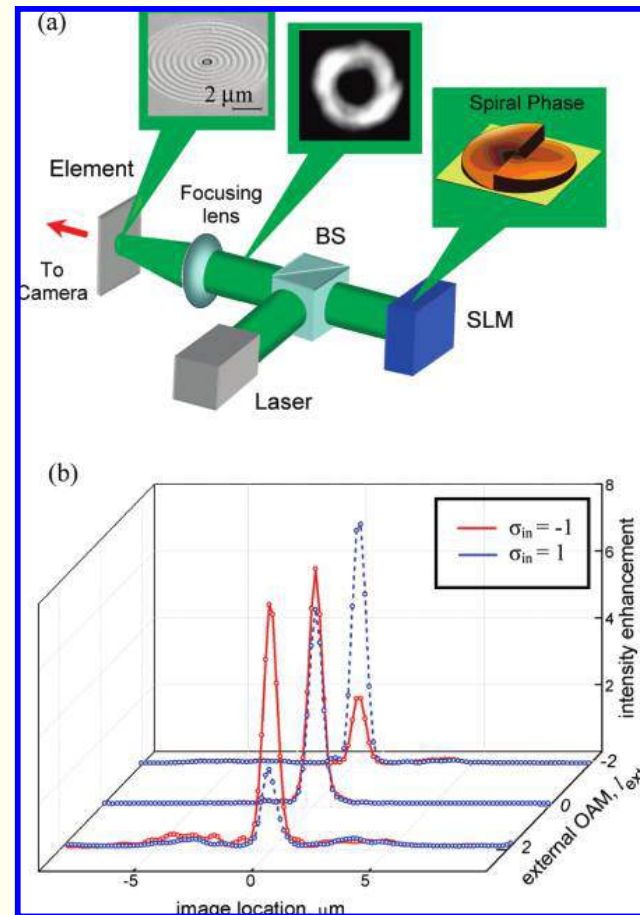
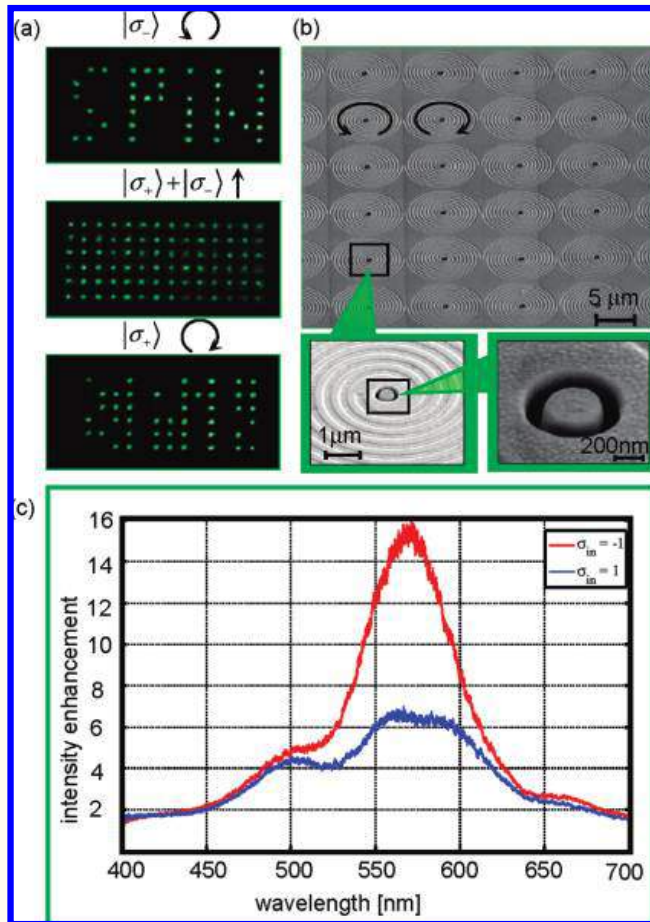
$$\sigma = -1$$

$$\sigma = 1$$



# Spin and orbital AM in free space

Interesting application to spin-dependent resonant transmission [Ebbesen et al. + Zheludev et al.]:

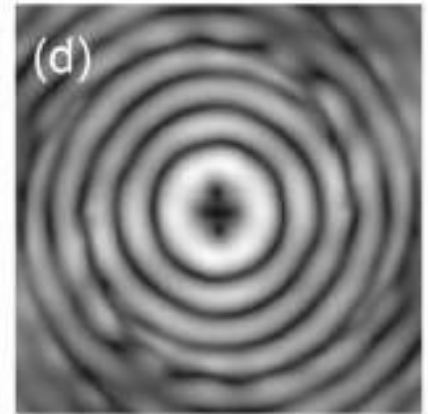
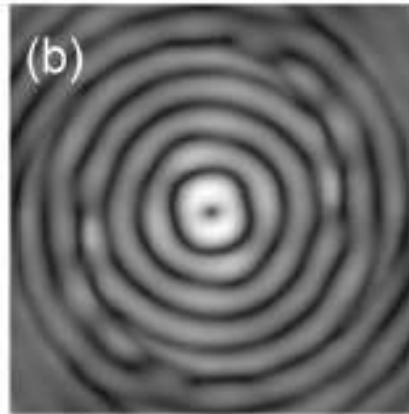
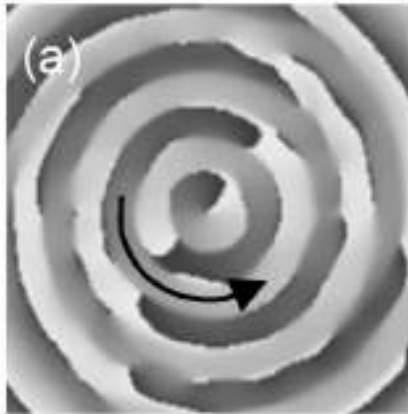


# Spin and orbital AM in free space

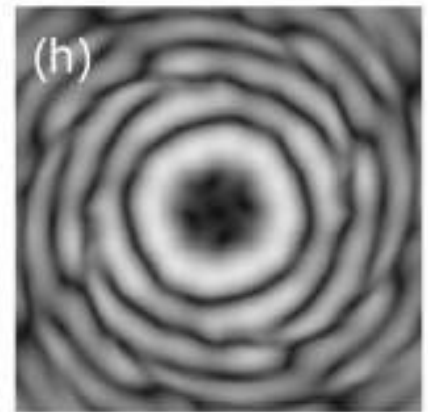
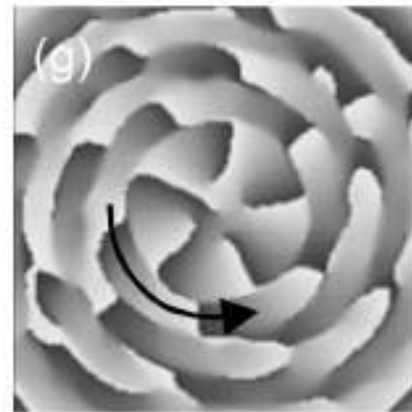
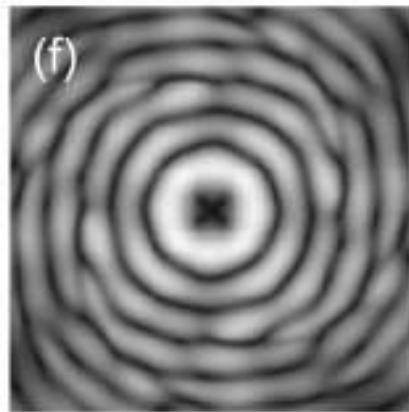
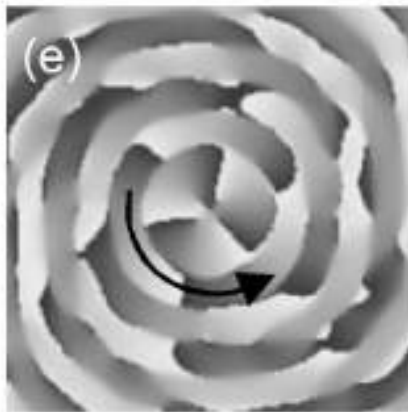
Phase of  $E_z$   $\sigma = -1$   $|E_z|$

Phase of  $E_z$   $\sigma = 1$   $|E_z|$

$\ell = 2$

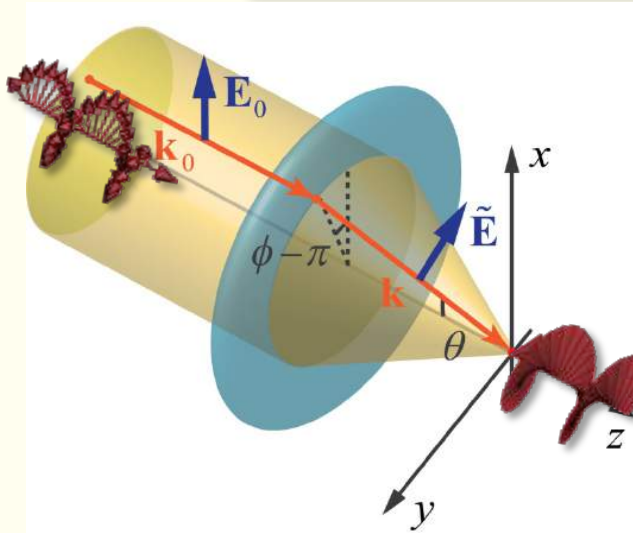


$\ell = 4$





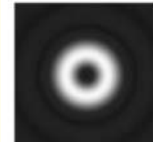
# Focusing, scattering, and imaging



The same spin-to-orbital AM conversion occurs in a high-NA focusing, with the same azimuthal Berry phase and spin-dependent intensity. The only difference is  $\theta$ -averaging.

$$\langle S_z \rangle = \sigma(1 - \bar{\Phi}_B), \quad \langle L_z \rangle = \ell + \sigma \bar{\Phi}_B$$

$$I_\ell^\sigma(\rho, z) \propto \langle a J_\ell(\tilde{\rho}) \rangle^2 + \langle b J_{\ell+2\sigma}(\tilde{\rho}) \rangle^2 + 2 \langle \sqrt{ab} J_{\ell+\sigma}(\tilde{\rho}) \rangle^2$$



# Focusing, scattering, and imaging

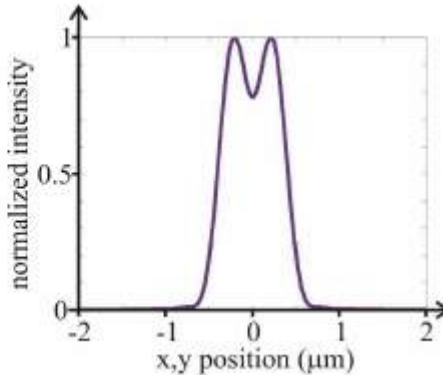
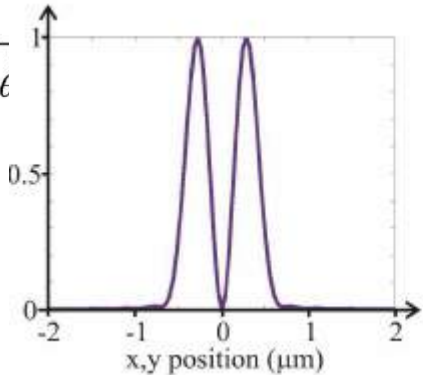
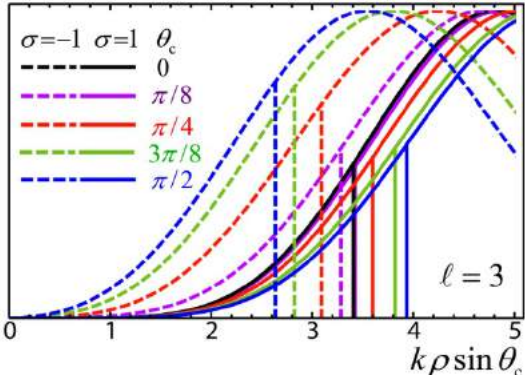
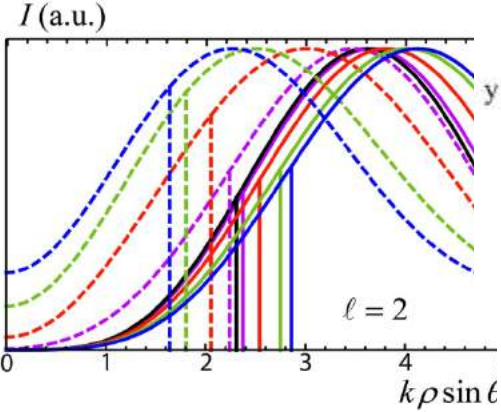
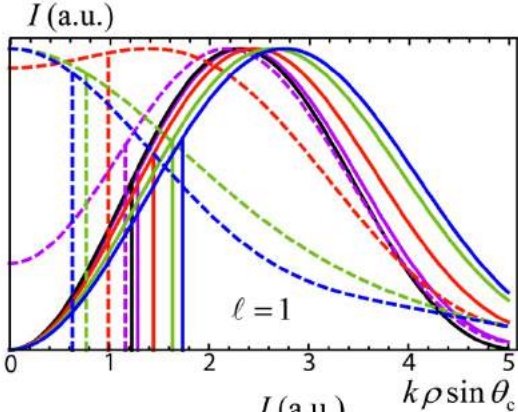
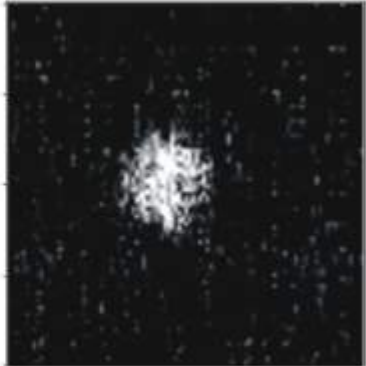
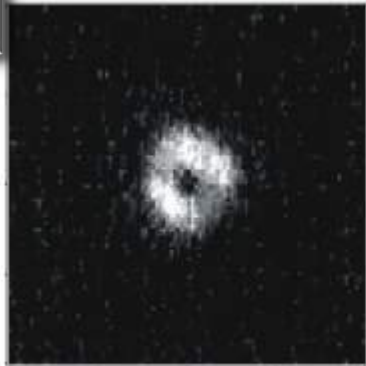
Spin-dependent intensity and radius of focal spot:

$$R_\ell^\sigma \approx \frac{\langle L_z \rangle}{k \sin \bar{\theta}} = \frac{\ell + \sigma (1 - \cos \bar{\theta})}{k \sin \bar{\theta}}$$

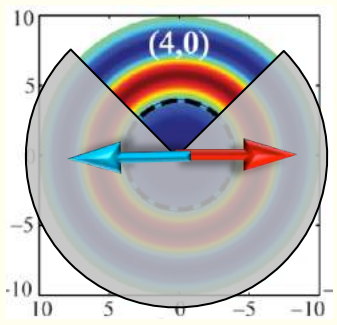
$\ell = 1$

$\sigma = 1$

$\sigma = -1$



# Spin and orbital Hall effects



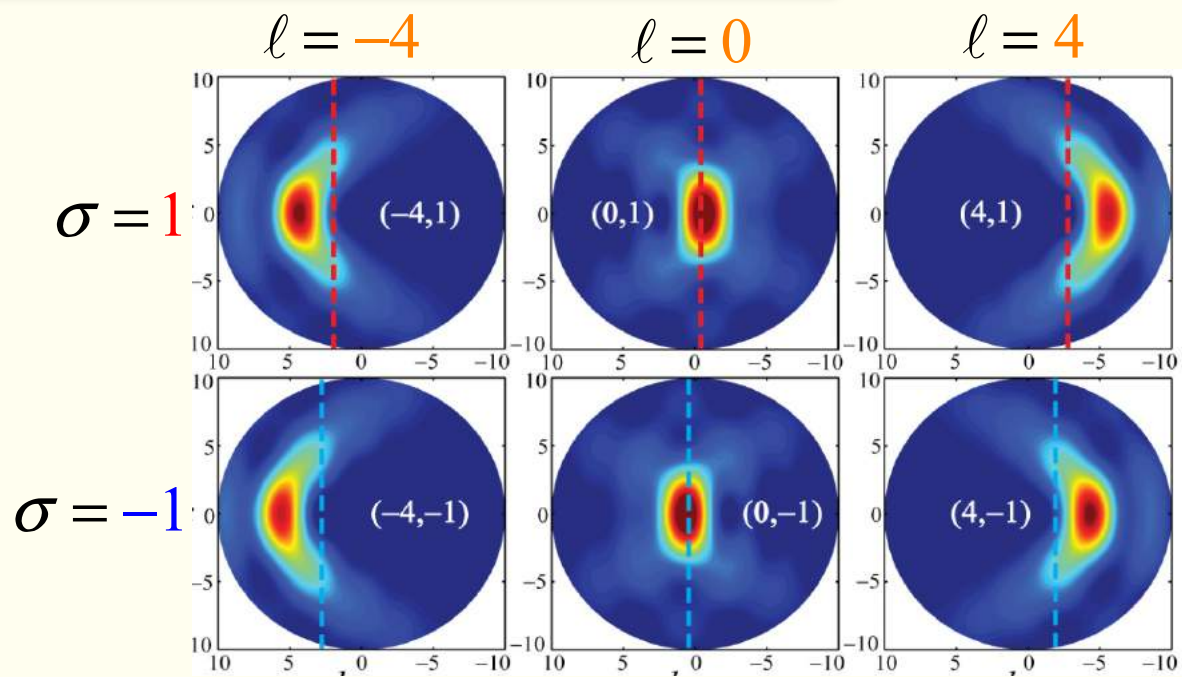
- azimuthally truncated field  
(symmetry breaking along x)

$$\phi \in (-\delta, \delta)$$

*B. Zel'dovich et al. (1994),  
K.Y. Bliokh et al. (2008)*

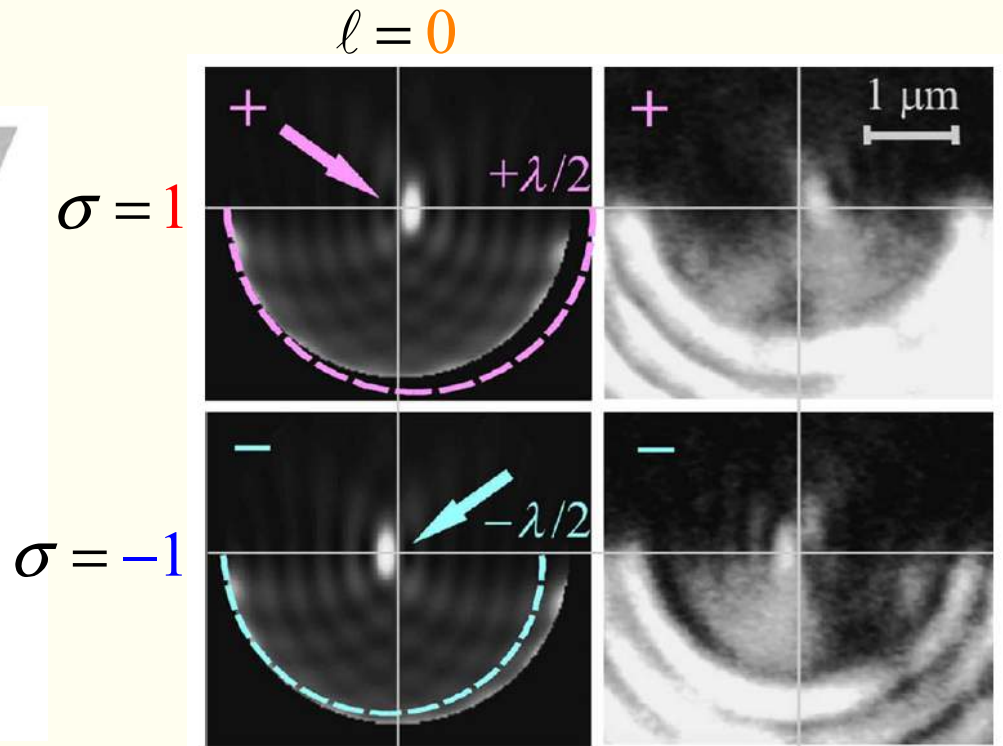
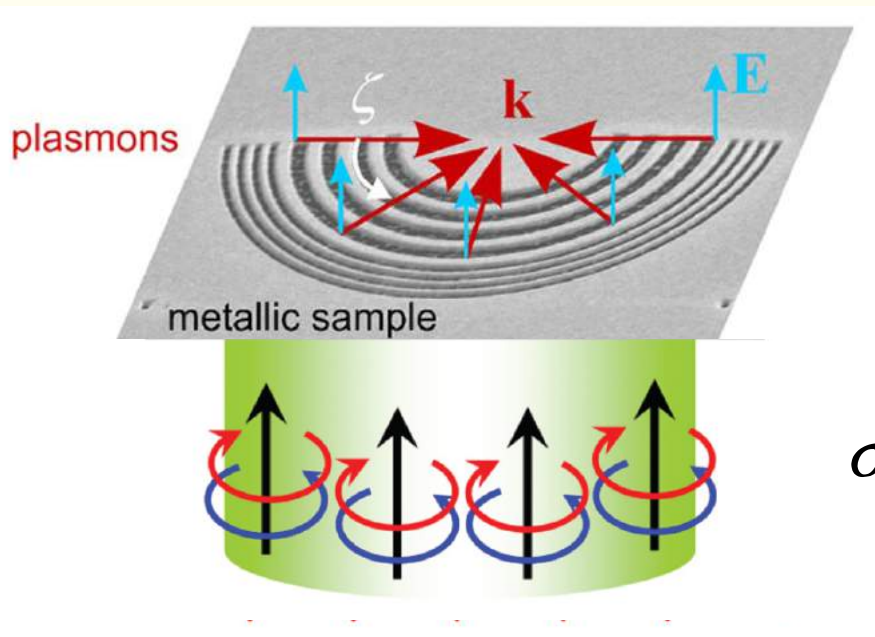
$$\Rightarrow k_{\perp} Y_{\ell}^{\sigma} = -\gamma (\ell + \sigma \Phi_B)$$

- orbital and spin  
Hall effects of light



# Plasmonic experiment

Plasmonic half-lens produces spin Hall effect:

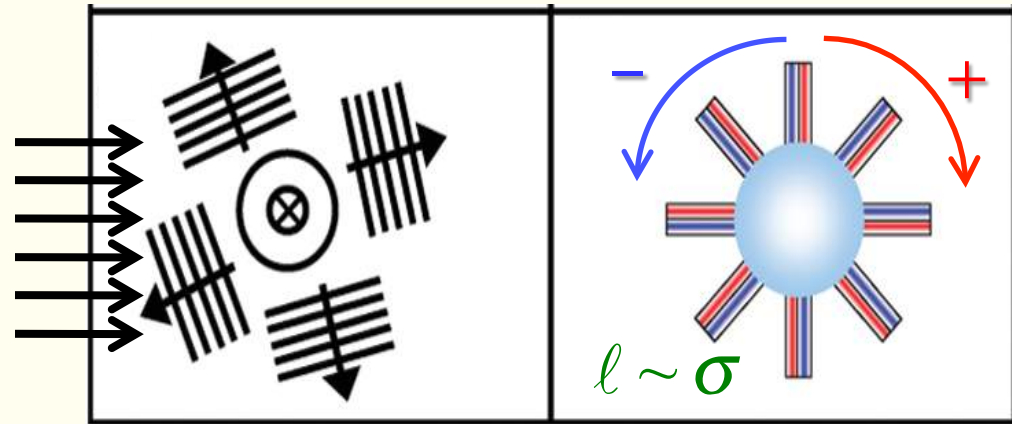


$$Y \sim \sigma \hat{\lambda}$$

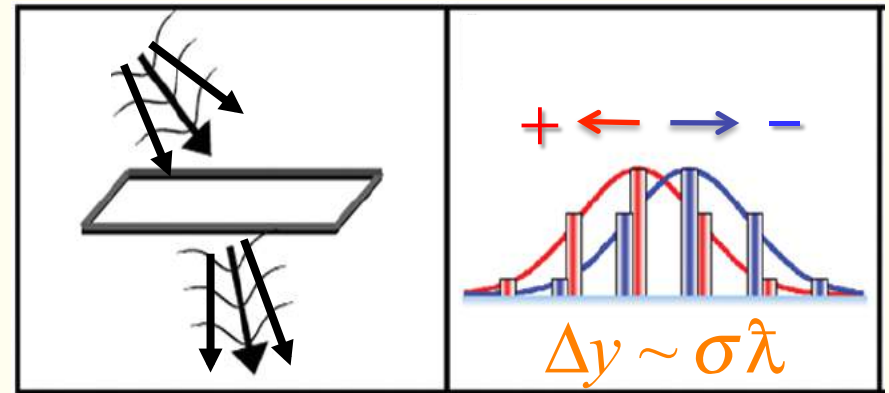
# Spin and orbital AM in free space

The **SOI of light** is a coupling between SAM and OAM. It is caused by the **k-space distribution** and geometric interference of plane waves.

1. In cylindrical geometry polarization  $\rightarrow$  vortex:  
**spin-to-orbit AM conversion**



2. In asymmetric fields polarization  $\rightarrow$  position:  
vortex  $\rightarrow$  position:  
**spin, orbital Hall effects**



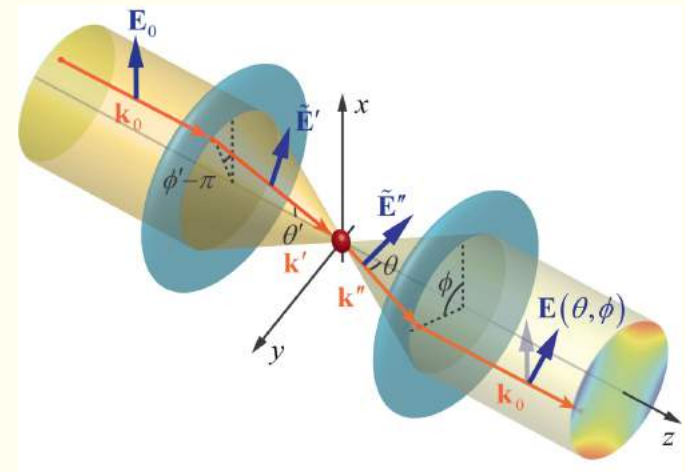
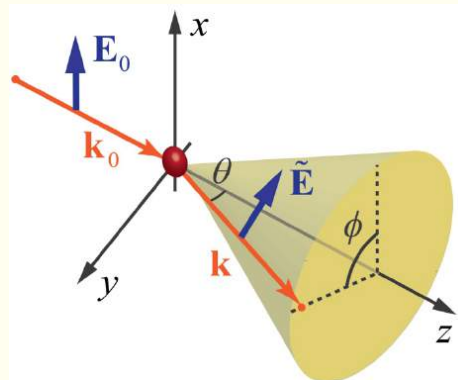
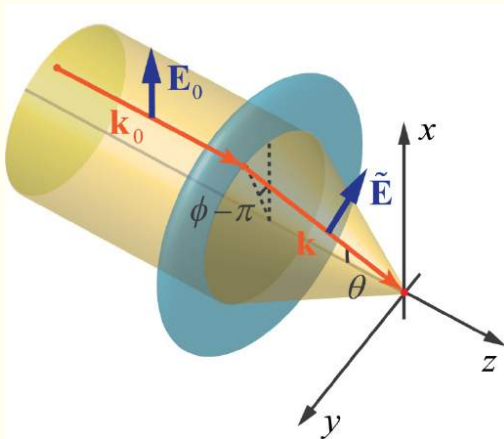
## Summary of the SOI in nonparaxial fields

- Modified SAM, OAM, and coordinate operators compatible with the transversality
- Berry phase terms: Spin-dependent OAM and coordinates
- Spin-to-orbital AM conversion from the Berry phase between interfering plane waves
- Quantization of the beam radius (caustic)
- Spin and orbital Hall effects in asymmetric fields

# Focusing, scattering, and imaging

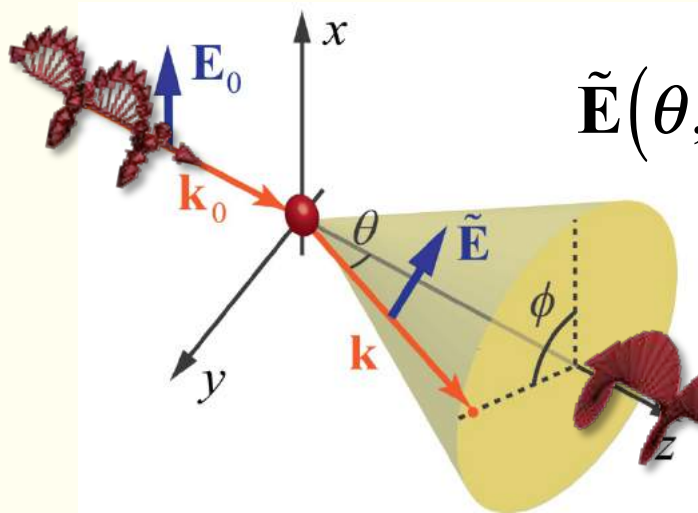
These results can be applied to a number of systems involving nonparaxial fields:

- 1) Tight focusing by high-NA lens
- 2) Scattering by small particles
- 3) High-NA microscopy and imaging



# Focusing, scattering, and imaging

Similar redistribution of waves on the sphere in  $\mathbf{k}$ -space occurs upon scattering by a small particle:



$$\tilde{\mathbf{E}}(\theta, \phi) \propto -\hat{\mathbf{r}} \times [\hat{\mathbf{r}} \times \mathbf{E}_0(\mathbf{0})] = \hat{\Pi}(\theta, \phi) \mathbf{E}_0(\mathbf{0})$$

- dipole (Rayleigh) scattering

= spherical projection:  $\hat{\Pi} = \hat{U} \hat{P}_z \hat{U}^\dagger$


*Bliokh et al., 2010, 2011*

$$\hat{\Pi} = \frac{1}{2} \begin{pmatrix} 1 + a_1 & -b_1 e^{-2i\phi} & -\sqrt{2a_1 b_1} e^{-i\phi} \\ -b_1 e^{2i\phi} & 1 + a_1 & -\sqrt{2a_1 b_1} e^{i\phi} \\ -\sqrt{2a_1 b_1} e^{i\phi} & -\sqrt{2a_1 b_1} e^{-i\phi} & 2b_1^2 \end{pmatrix}$$



# Focusing, scattering, and imaging

The same spin-to-orbital AM conversion, but helicity is not conserved:

$$|0, \sigma\rangle \rightarrow \frac{1}{2} \left[ (1 + a_1) |0, \sigma\rangle - b_1 |2\sigma, -\sigma\rangle - \sqrt{2a_1 b_1} |\sigma, 0\rangle \right]$$


$$l_z = \sigma \frac{1 - \cos^2 \theta}{1 + \cos^2 \theta}, \quad s_z = \sigma \frac{2 \cos^2 \theta}{1 + \cos^2 \theta}$$

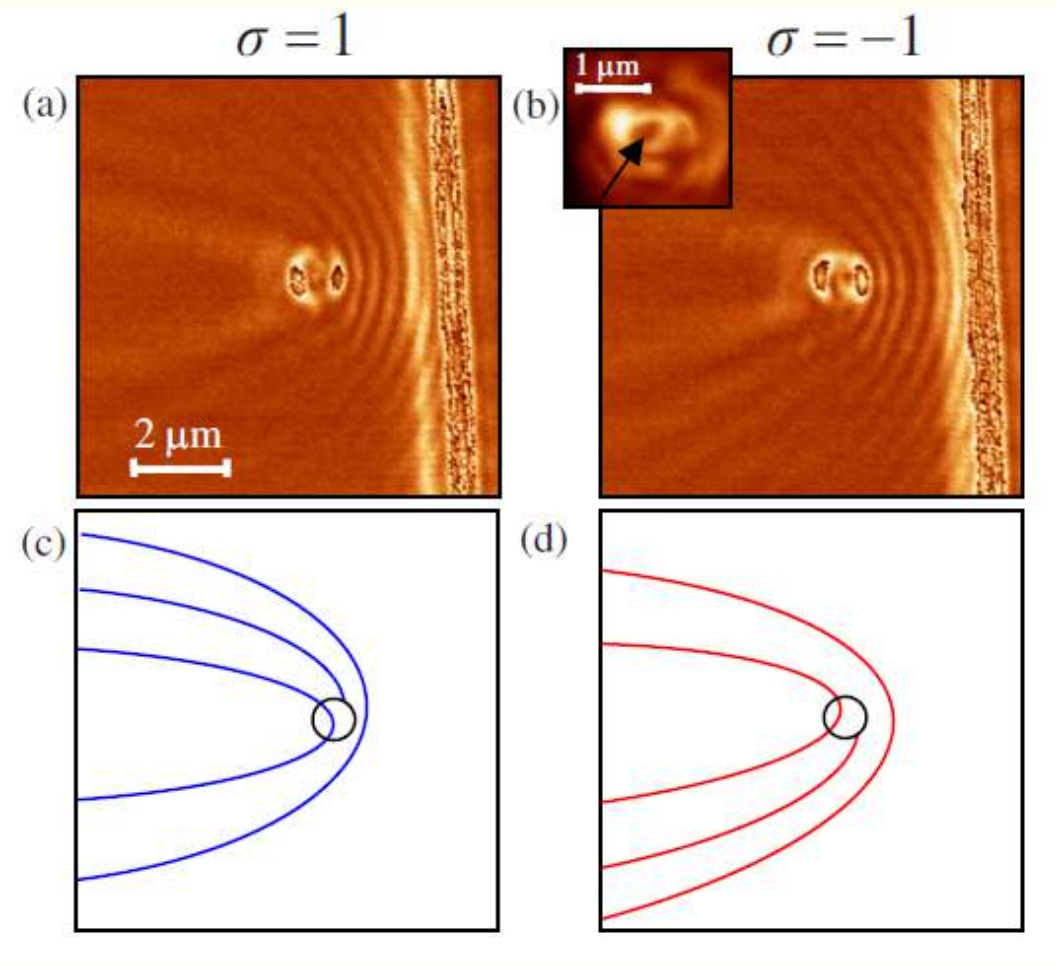
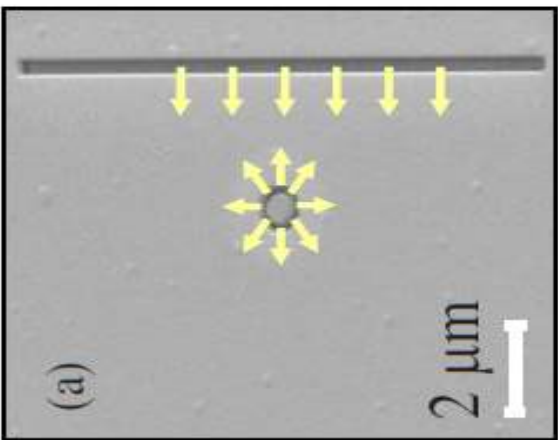
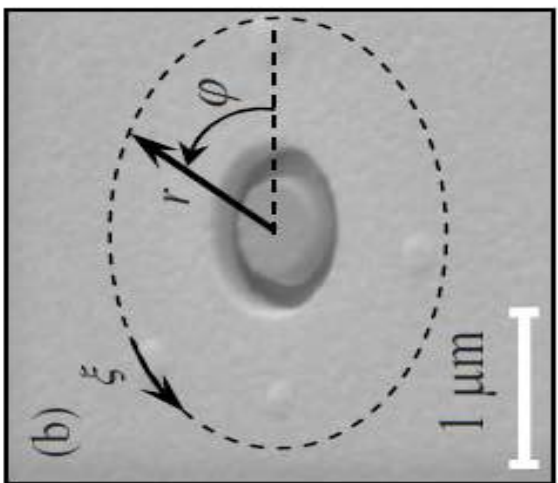
- OAM and SAM  
 $\theta$ -densities:

Integrating over all angles  $\theta$ , we obtain:

$$\langle L_z \rangle = \frac{1}{2} \sigma, \quad \langle S_z \rangle = \frac{1}{2} \sigma$$

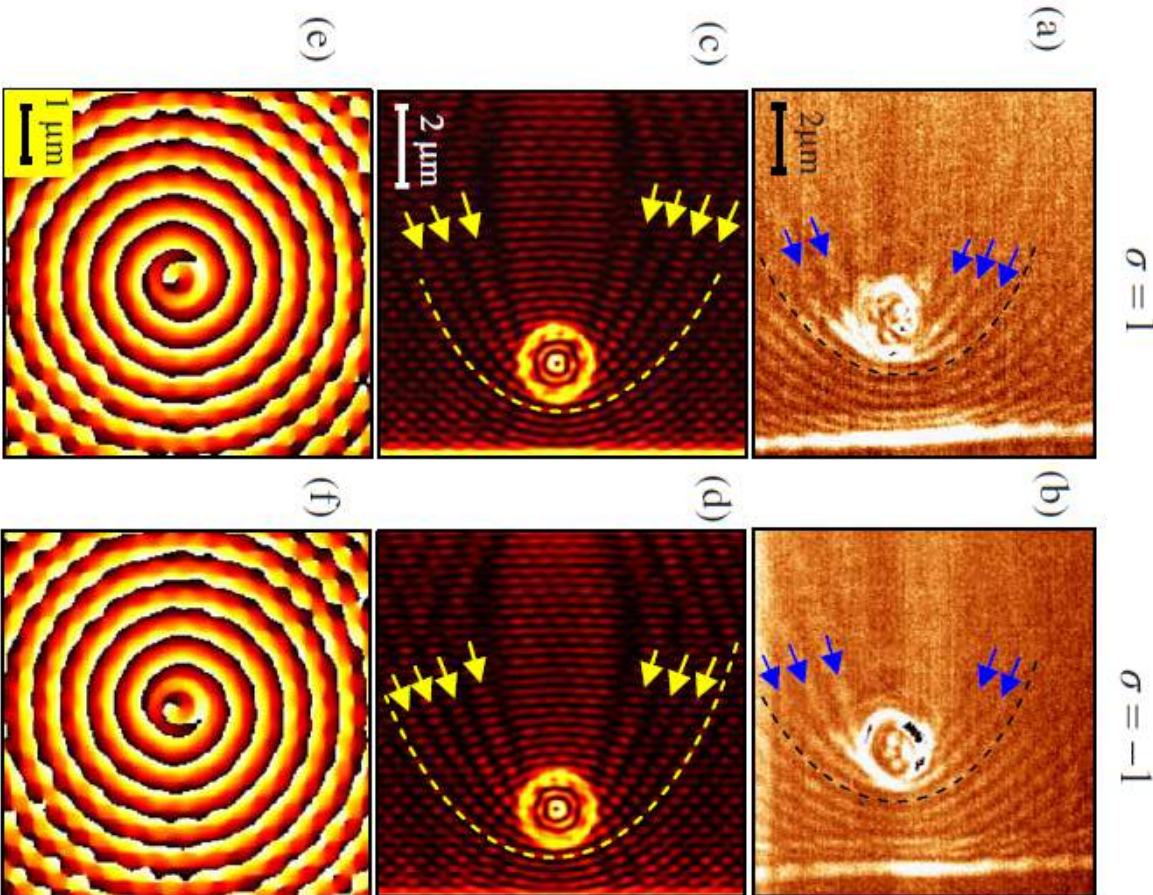
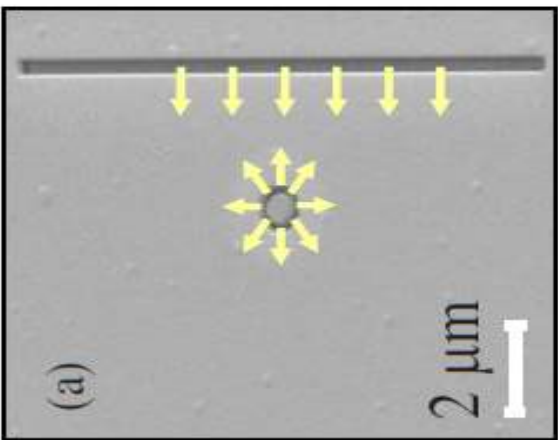
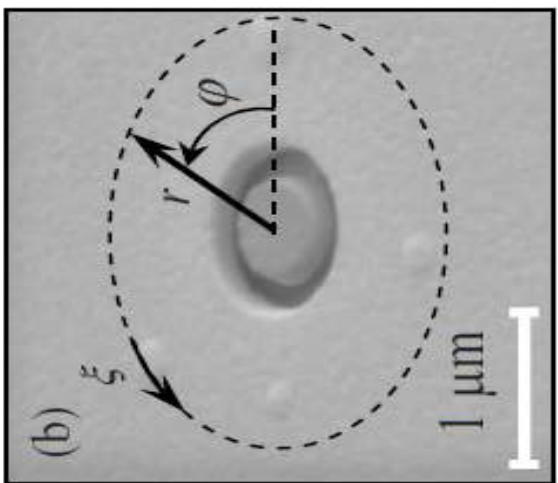
# Focusing, scattering, and imaging

“Plasmonic Aharonov-Bohm effect” :



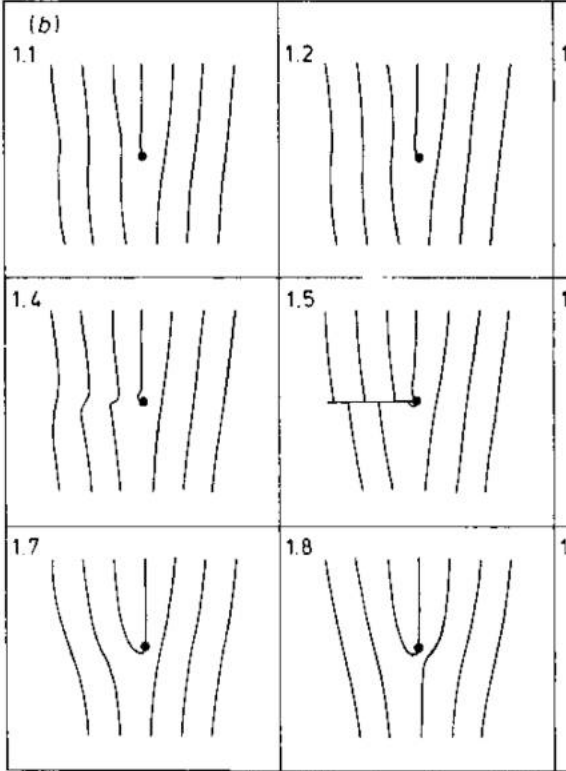
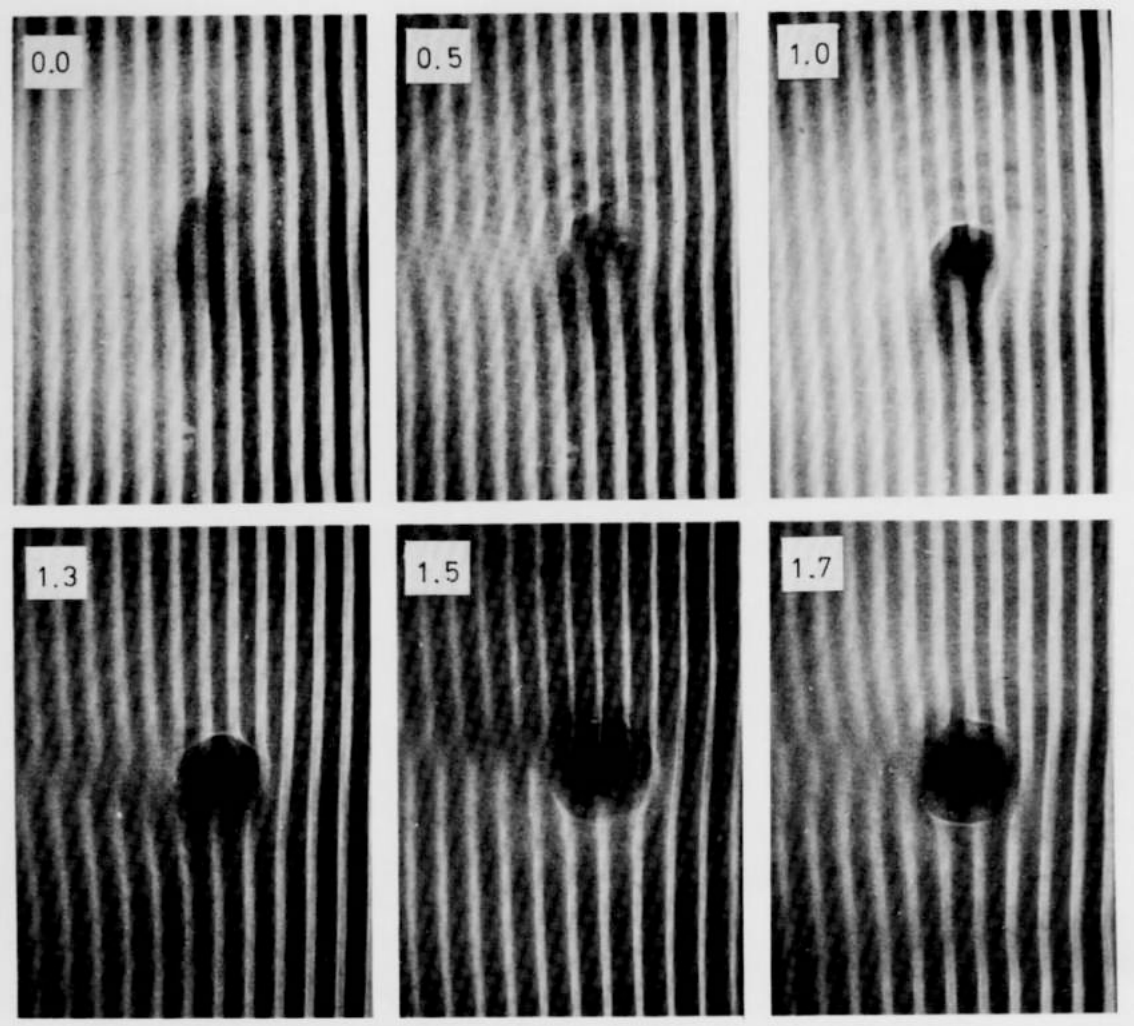
# Focusing, scattering, and imaging

“Plasmonic Aharonov-Bohm effect” :



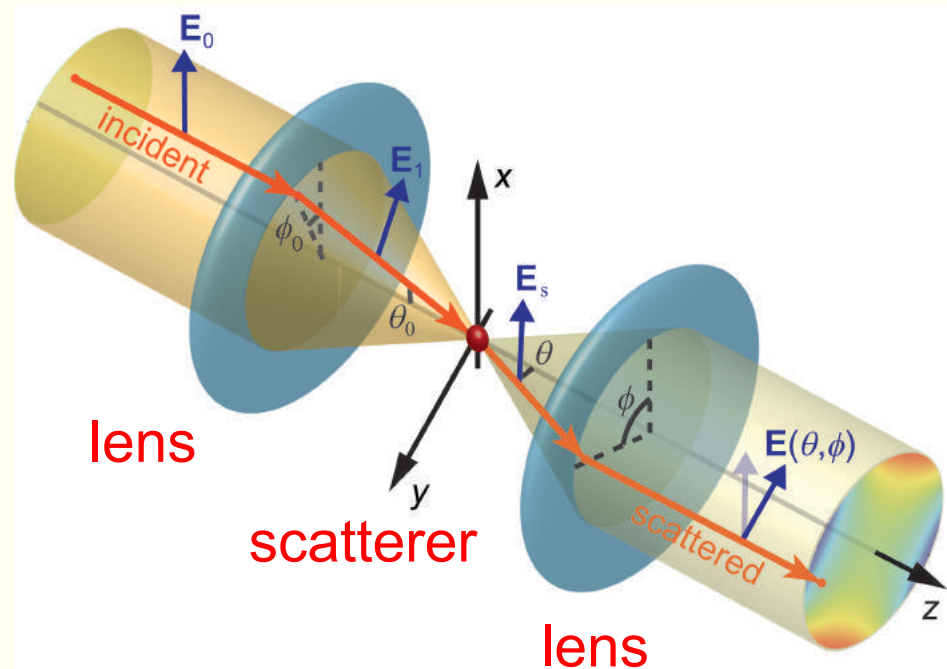
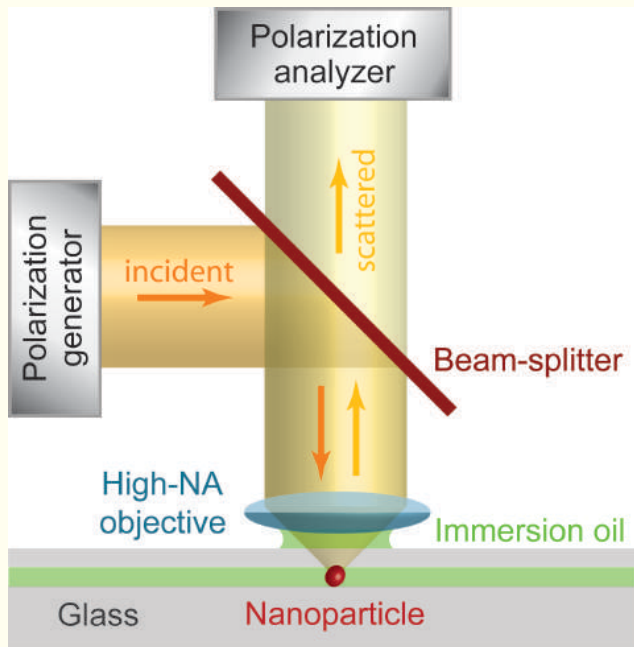
# Focusing, scattering, and imaging

Cf. the water-wave analogue:



# Focusing, scattering, and imaging

Microscopy involves a series of focusing and scatterings inside, but it can have paraxial input and output fields:

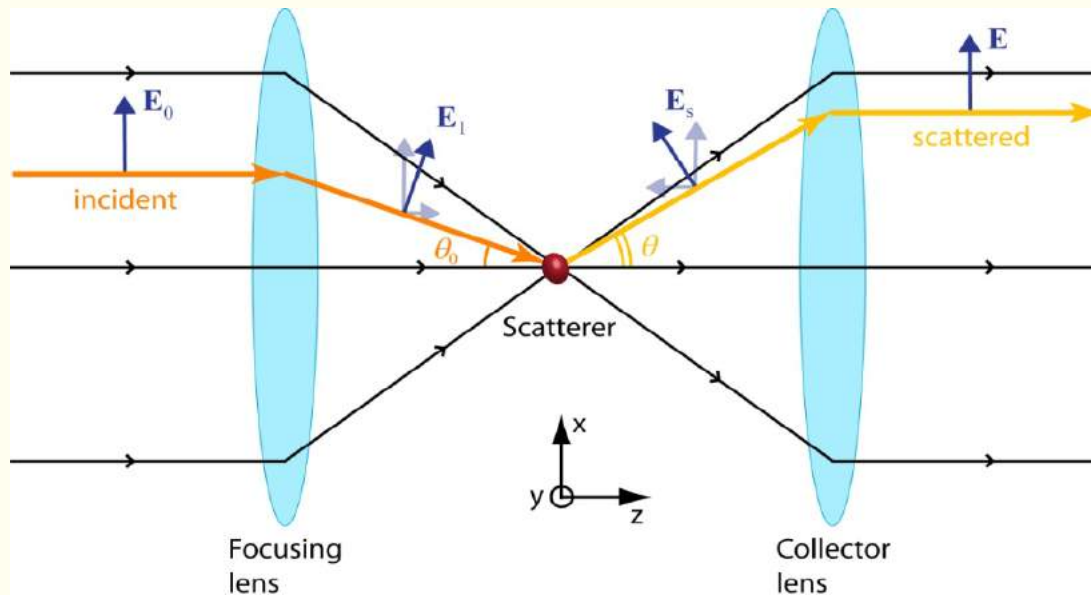


Hence, the SOI phenomena in the output field can be analyzed via usual polarimetry.

**Microscopy + Polarimetry = Far-field SOI imaging**

# Focusing, scattering, and imaging

Using 3D transformations of the field due to the focusing and scattering inside the system, we obtain 2D space-variant Jones matrix connecting incoming and outgoing rays:



$$\hat{J}(\theta, \phi, \mathbf{r}_s) = e^{i\Phi} \hat{U}_{\text{lens}}^{-1}(\theta, \phi) \iint d^2\mathbf{r}_0 e^{i\Phi_0} \hat{U}_{\text{lens}}(\theta_0, \phi_0)$$

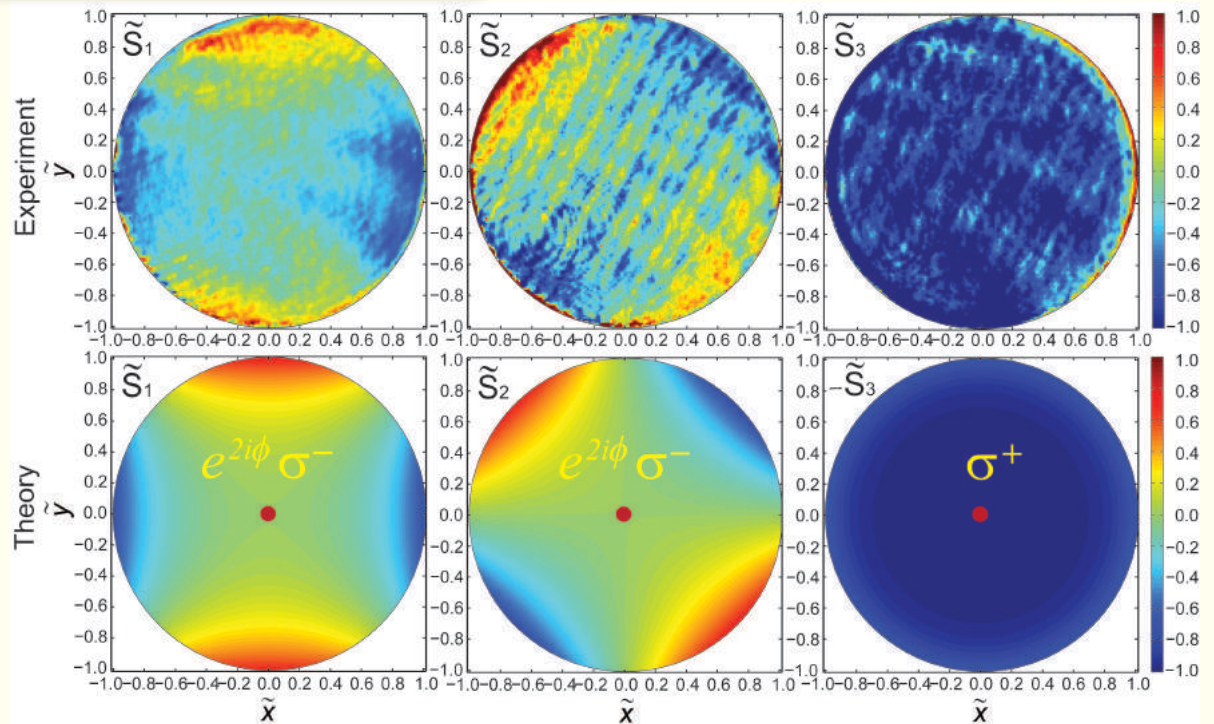
# Focusing, scattering, and imaging

If the scatterer is placed precisely on axis, the output polarization describes the **spin-to-orbital AM conversion**:

$$\hat{J}^{(0)} \propto \begin{pmatrix} a & -be^{-2i\phi} \\ -be^{2i\phi} & a \end{pmatrix}$$

$$|0, \sigma\rangle \rightarrow a|0, \sigma\rangle - b|2\sigma, -\sigma\rangle$$

Stokes parameters:

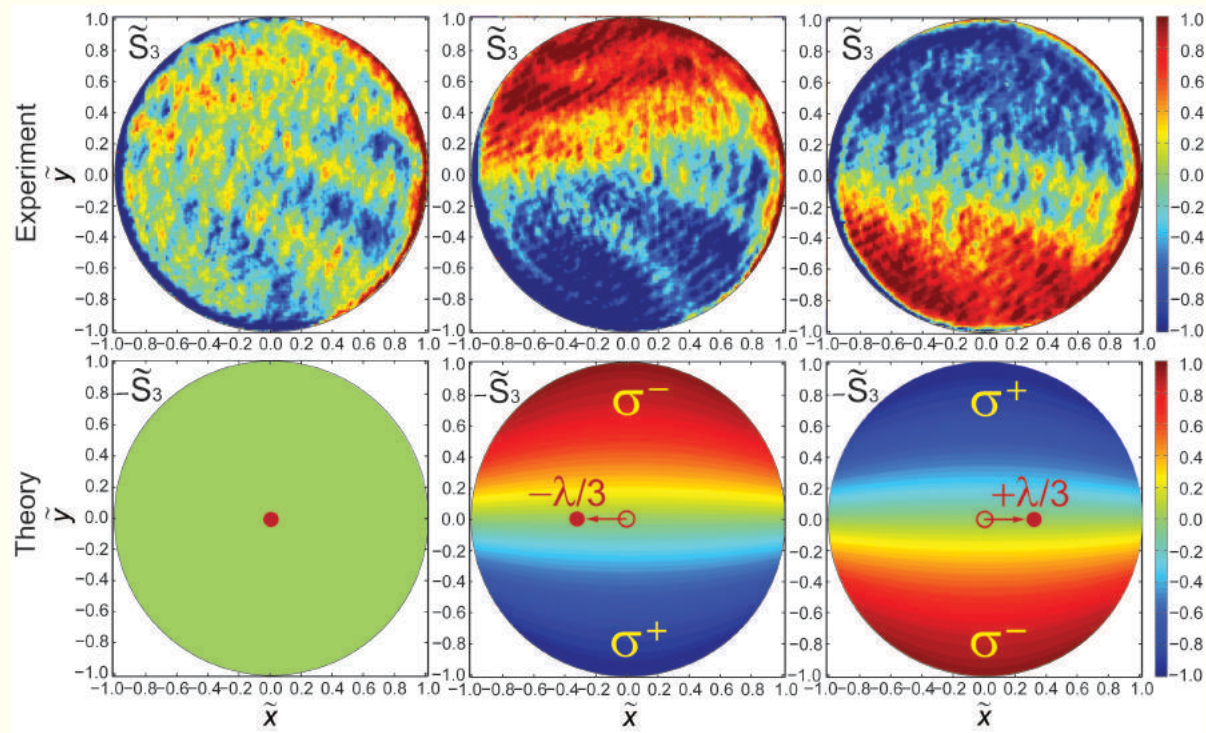


# Focusing, scattering, and imaging

If the scatterer is placed a bit **off-axis**, the output polarization demonstrates **giant spin Hall effect**:

$$\hat{J} \propto \hat{J}^{(0)} + \alpha \begin{pmatrix} \rho_s e^{-i\phi} & \rho_s^* e^{-i\phi} \\ \rho_s e^{i\phi} & \rho_s^* e^{i\phi} \end{pmatrix} \quad \rho_s = k(x_s + iy_s)$$

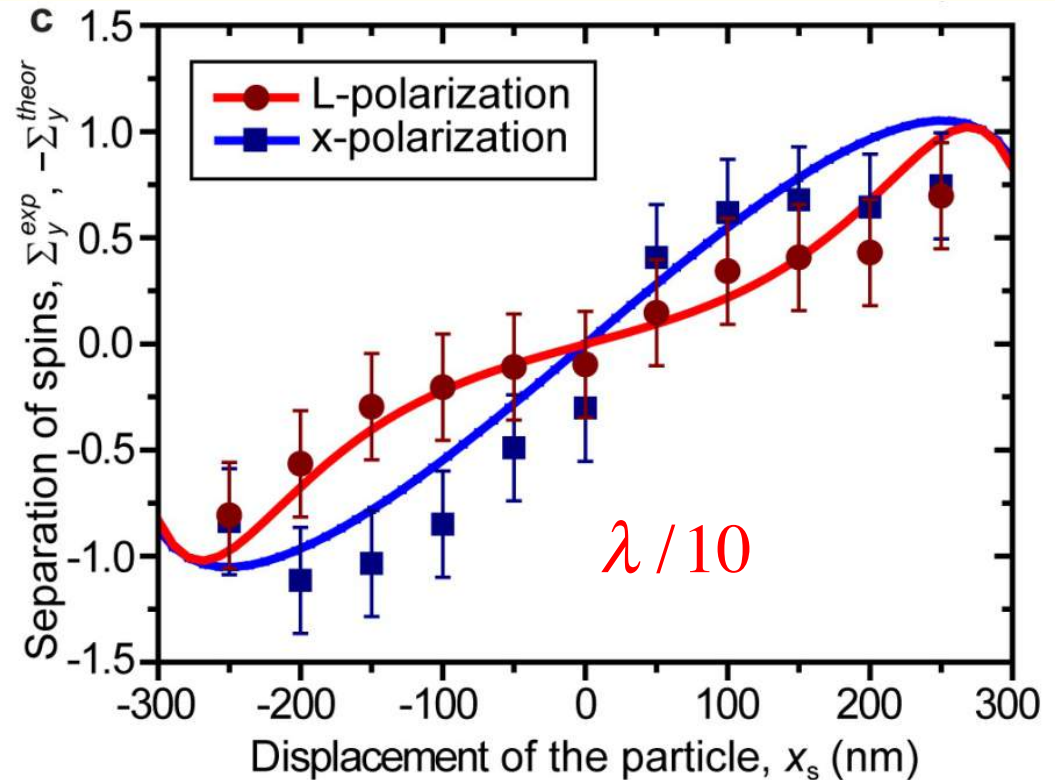
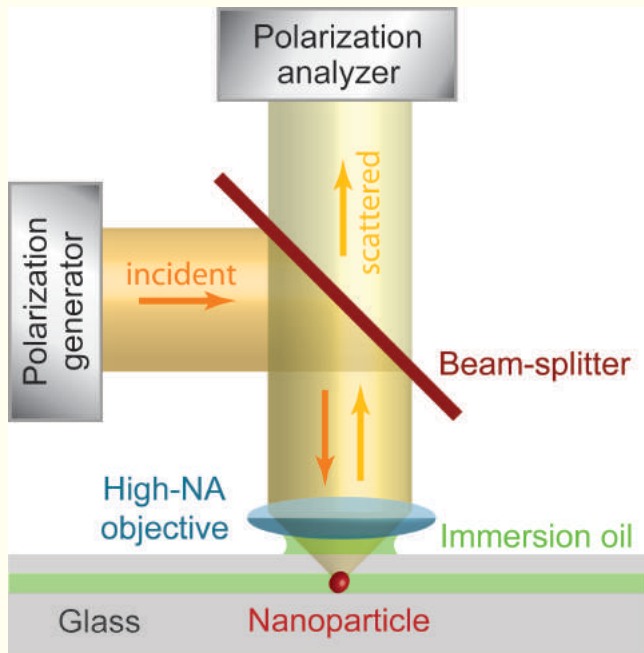
Macro-separation of spins induced by subwavelength shift of the particle!





# Focusing, scattering, and imaging

High sensitivity of the SOI effects can be employed as a new method for subwavelength probing. We determined position of the scatterer using spin-Hall effect:



## Summary of the SOI of light

- SOI is everywhere: propagation, refraction, reflection, focusing, scattering, diffraction, anisotropy, nonlinearity, etc.
- We can consider SOI as undesirable aberrations or employ it for fine manipulations with light using internal degrees of freedom.
- In any case, SOI effects cannot be ignored anymore as we deal with nano-optics and subwavelength scales.
- AM theory, energy flows, and geometric phases provide efficient description of SOI.

- **Spin-orbit interaction in paraxial beams**
  - Propagation in gradient-index media
  - Reflection/transmission at an interface
- **Spin-orbit coupling in nonparaxial fields**
  - Spin and orbital AM in free space
  - Focusing, scattering, imaging
- **Spin-orbit coupling in inhomogeneous anisotropic structures (metasurfaces)**
- **Transverse spin-momentum locking**

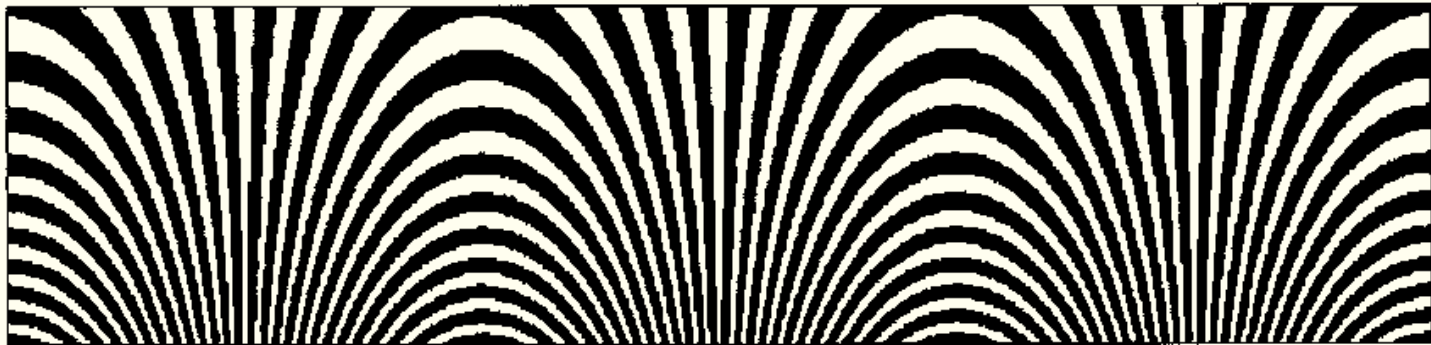
# SOI in anisotropic nanostructures

An efficient way of manipulation of SOI using artificial geometric phase was developed by E. Hasman *et al.*

**Space-variant Pancharatnam–Berry phase optical elements with computer-generated subwavelength gratings**

Ze'ev Bomzon, Gabriel Biener, Vladimir Kleiner, and Erez Hasman

Anisotropic structure with space-varying anisotropy axis:

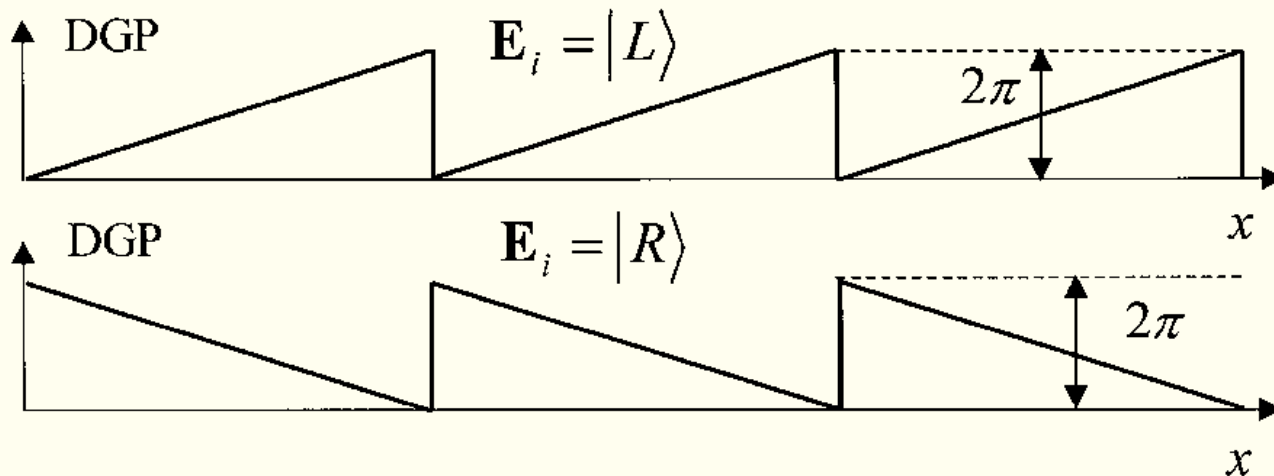
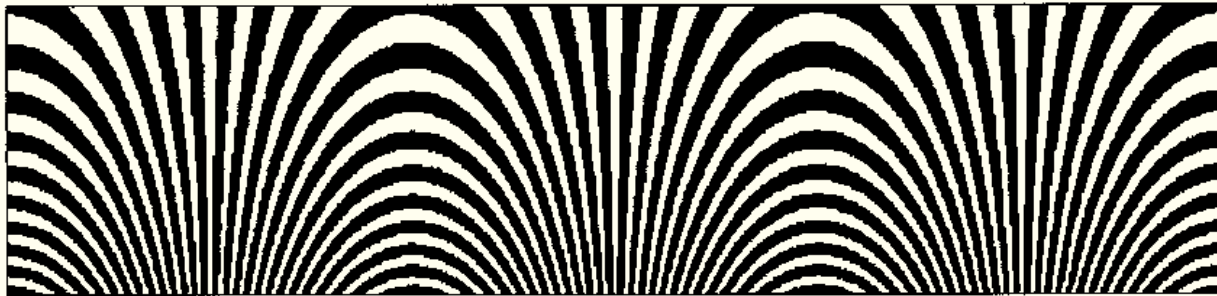


*Bomzon et al., OL 2002*

# SOI in anisotropic nanostructures

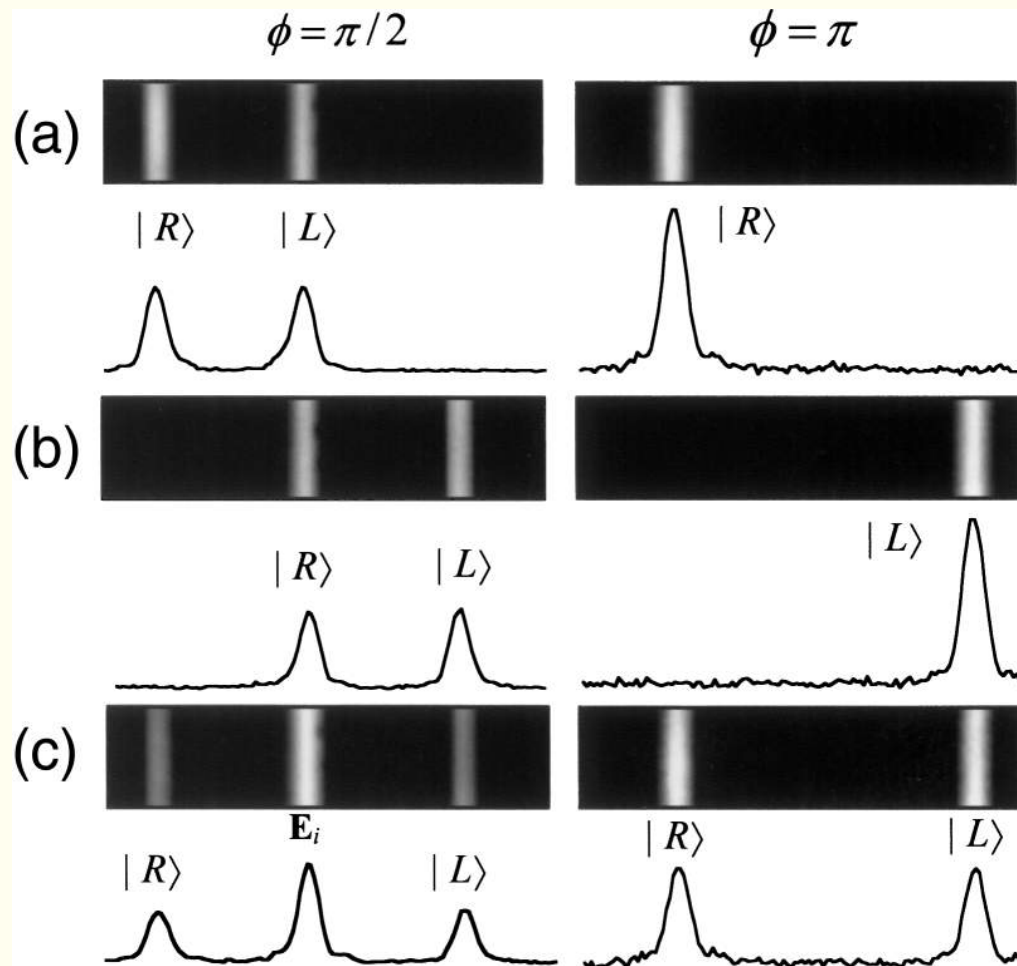
This results in space-variant geometric phase:

$$\hat{J} \propto \begin{pmatrix} a & -ibe^{2i\varphi(x,y)} \\ -ibe^{-2i\varphi(x,y)} & a \end{pmatrix} \quad \begin{aligned} a &= \cos(\delta / 2) \\ b &= \sin(\delta / 2) \end{aligned}$$



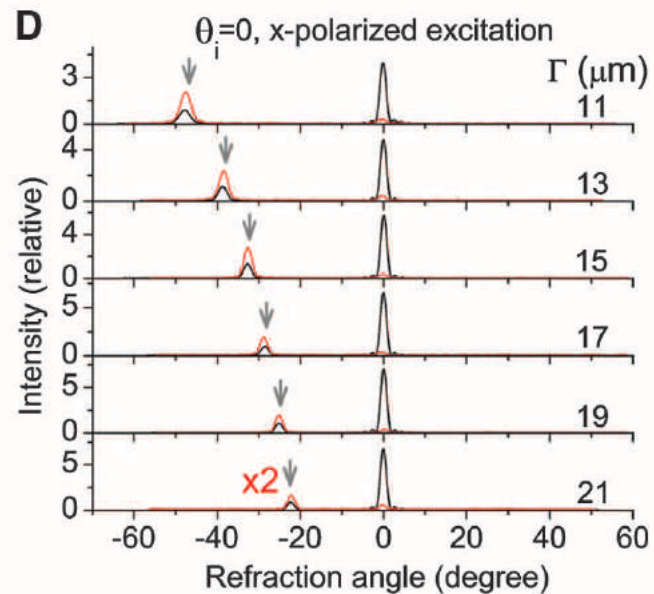
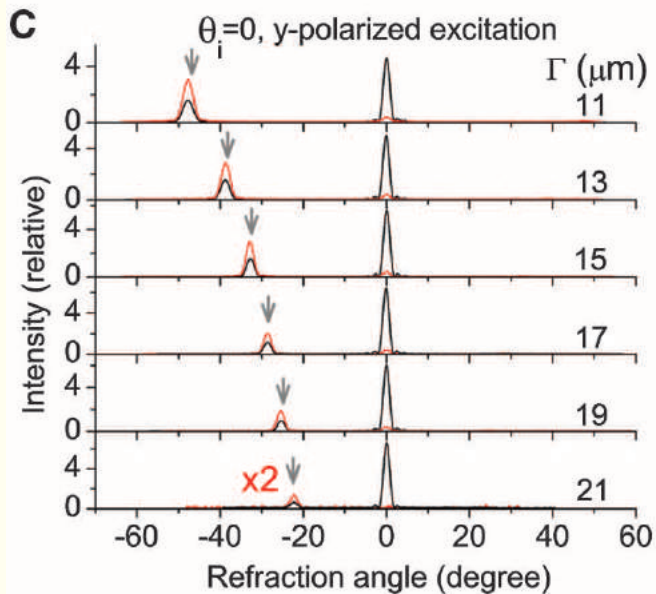
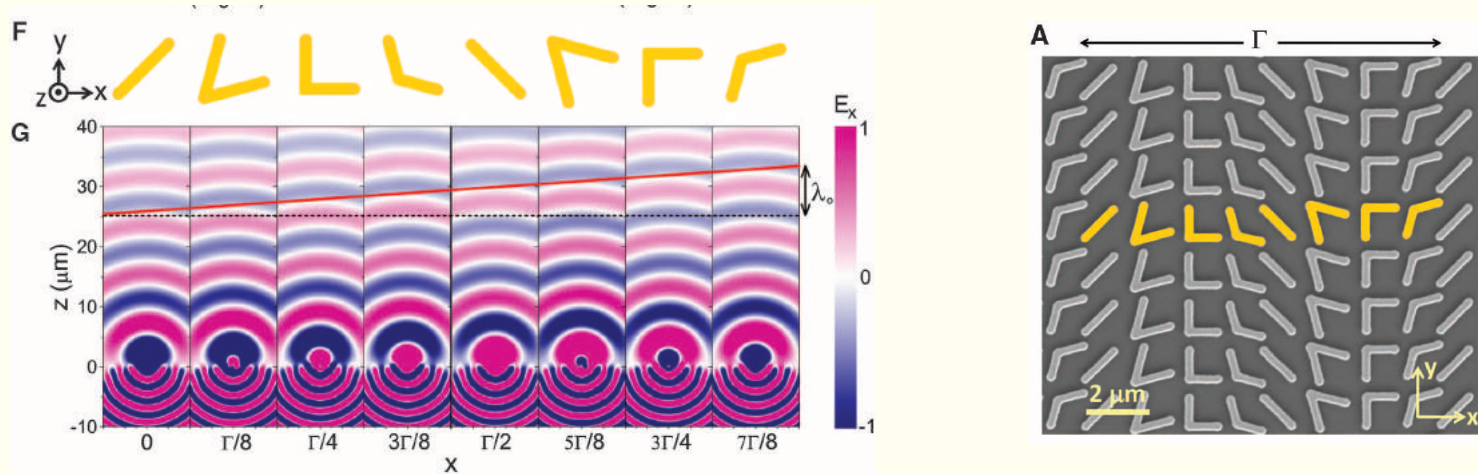
# SOI in anisotropic nanostructures

Polarization-dependent diffraction (spin-Hall effect):



# SOI in anisotropic nanostructures

Cf. work by F. Capasso et al. in Science 2011:

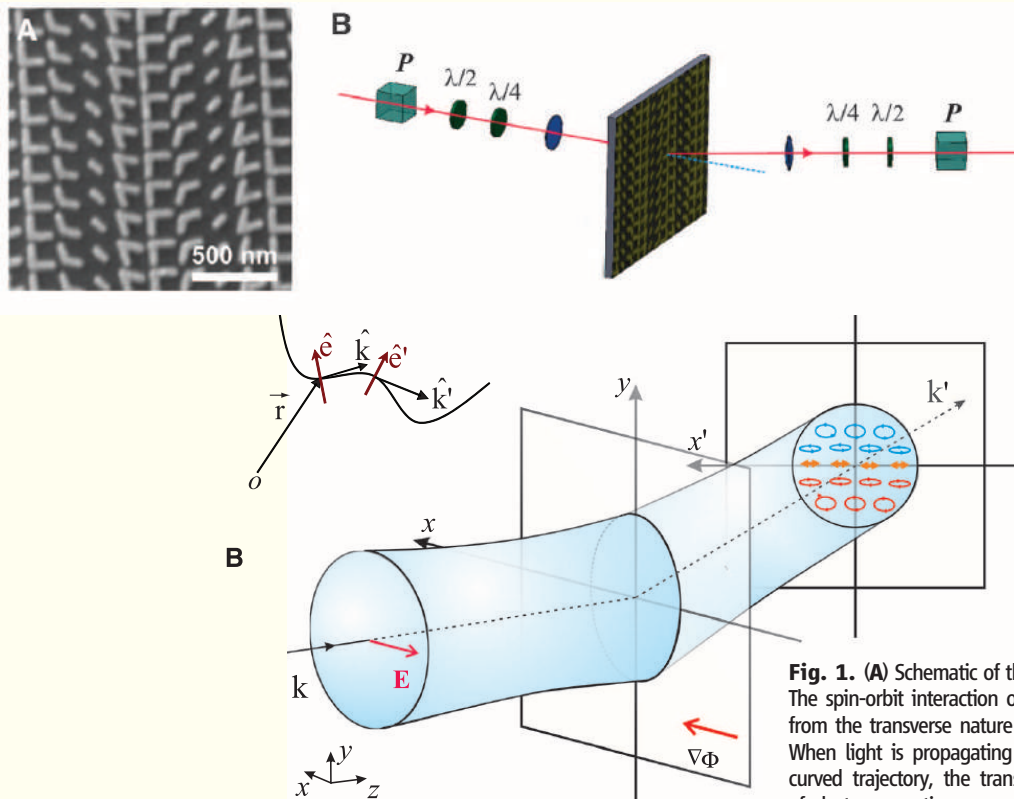


# SOI in anisotropic nanostructures

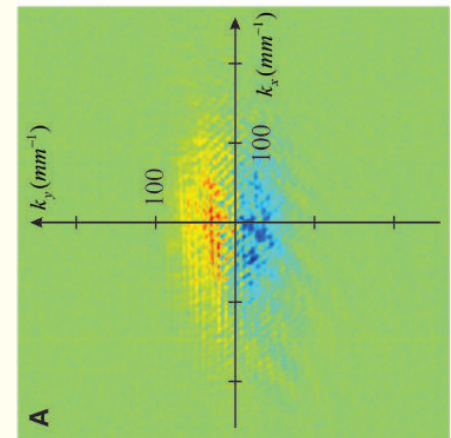
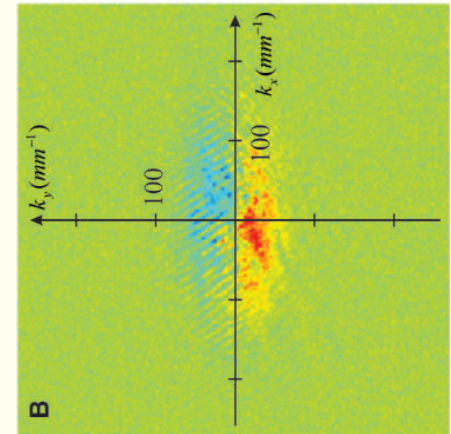
Cf. work by X. Zhang et al. in Science 2013:

## Photonic Spin Hall Effect at Metasurfaces

Xiaobo Yin,<sup>1,2</sup> Ziliang Ye,<sup>1</sup> Junsuk Rho,<sup>1</sup> Yuan Wang,<sup>1</sup> Xiang Zhang<sup>1,2\*</sup>



**Fig. 1.** (A) Schematic of the P! The spin-orbit interaction origin from the transverse nature of li When light is propagating alor curved trajectory, the transvers



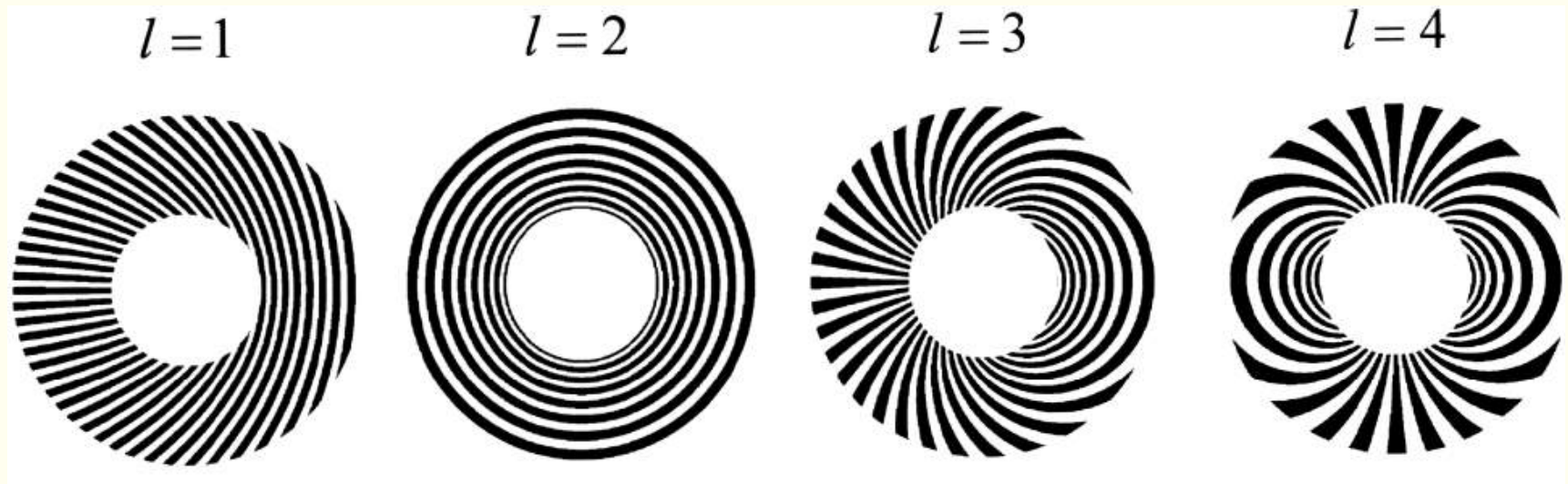


# SOI in anisotropic nanostructures

In cylindrical geometry: vortex (spin-to-orbit conversion)

**Formation of helical beams by use of Pancharatnam–Berry phase optical elements**

Gabriel Biener, Avi Niv, Vladimir Kleiner, and Erez Hasman



# SOI in anisotropic nanostructures

$$\delta = \pi$$

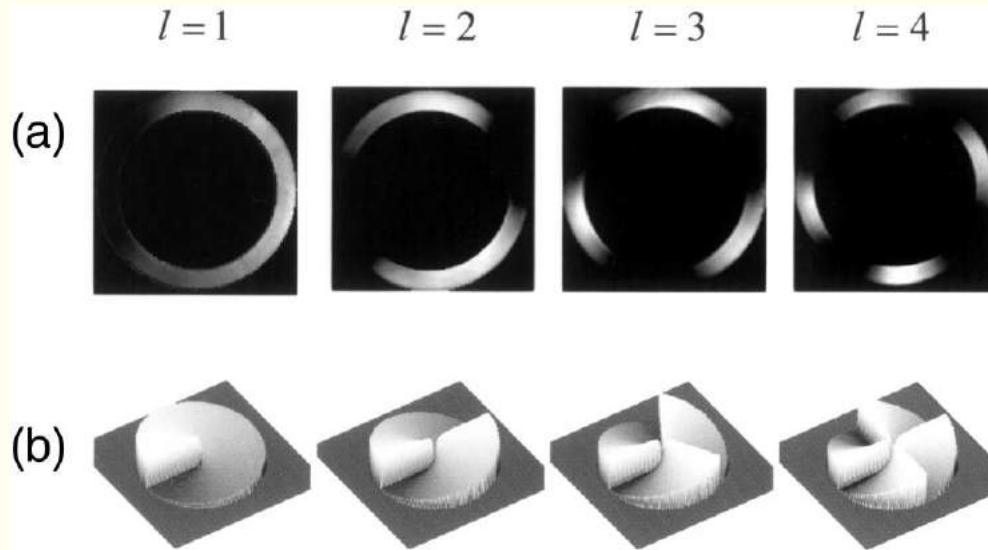


Fig. 3. (a) Interferogram measurements of the spiral PBOEs. (b) The corresponding spiral phases for different topological charges.

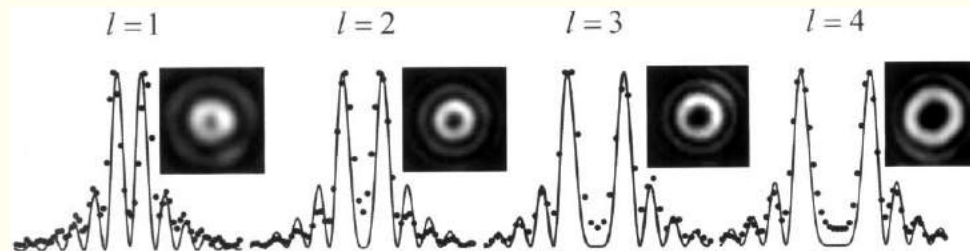
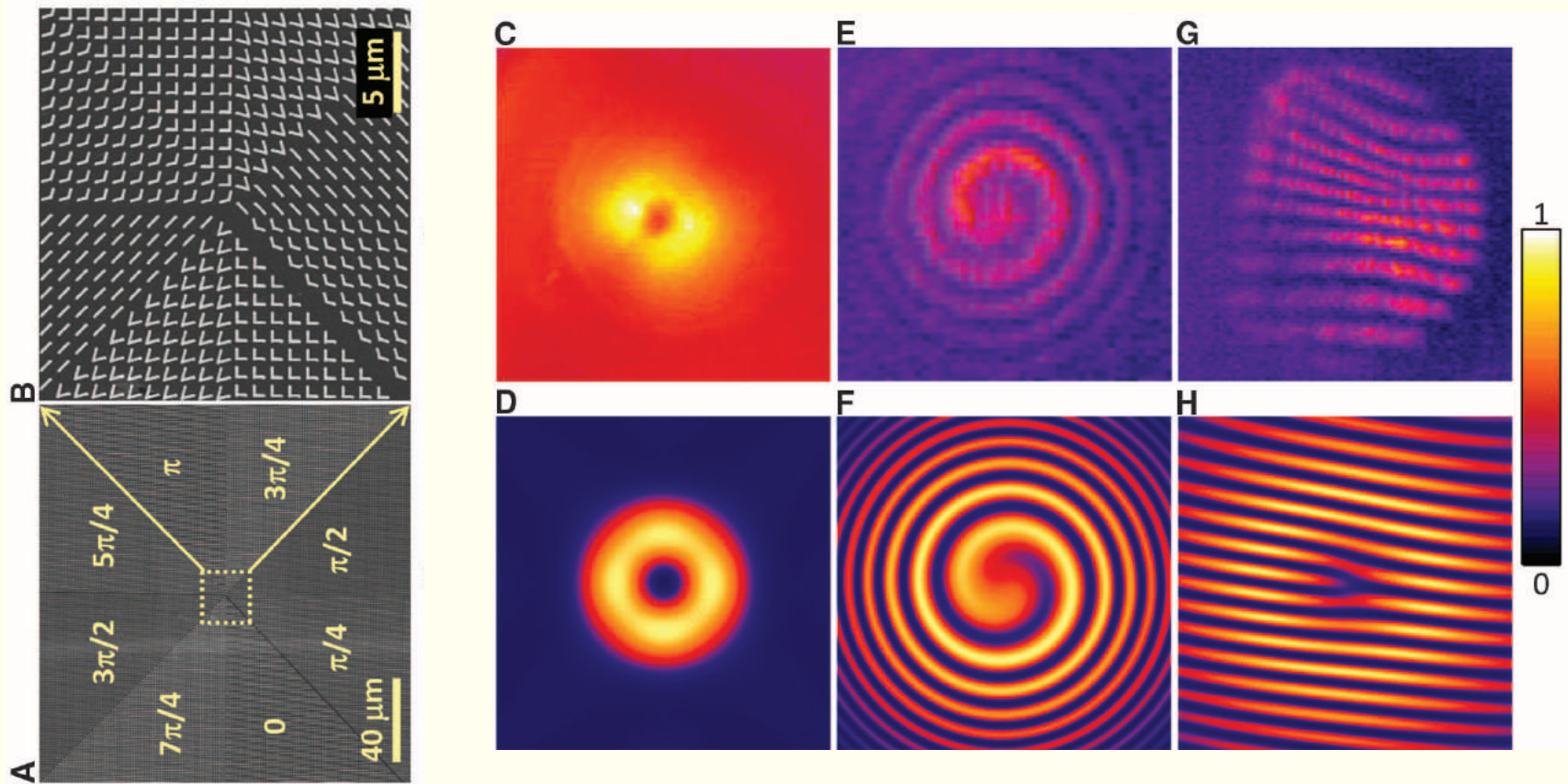


Fig. 4. Experimental far-field images and their calculated and measured cross sections for the helical beams with  $l = 1-4$ .

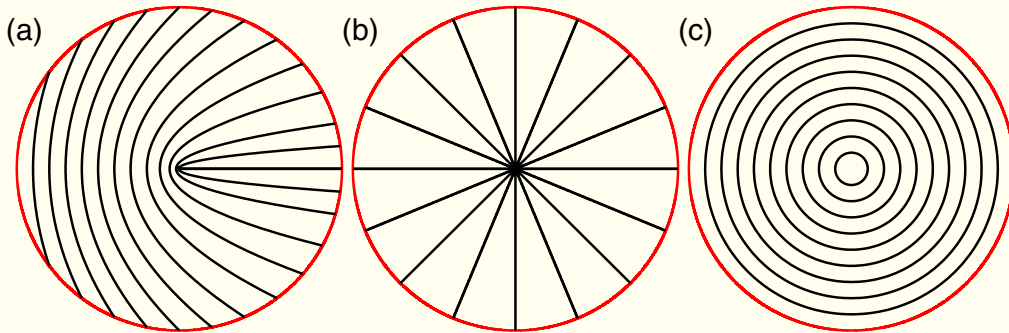
# SOI in anisotropic nanostructures

Cf. work by F. Capasso et al. in Science 2011:

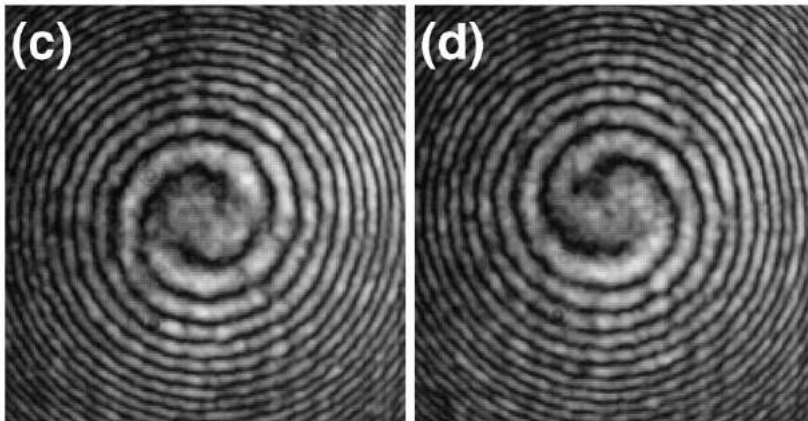


# SOI in anisotropic nanostructures

Cf. work by L. Marrucci et al. in PRL 2011 (q-plates):



$$\alpha(r, \varphi) = q\varphi + \alpha_0,$$



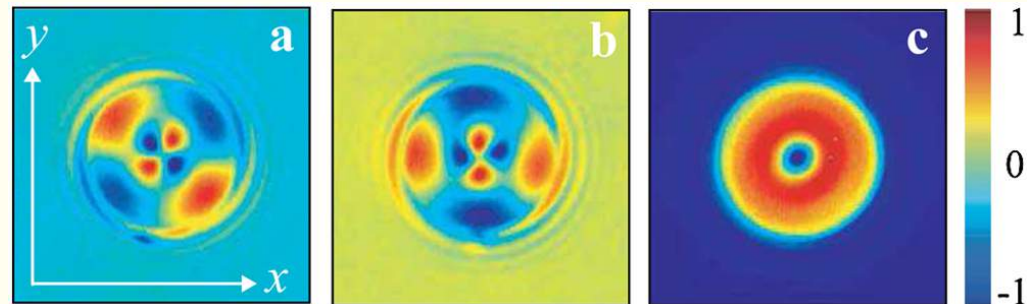
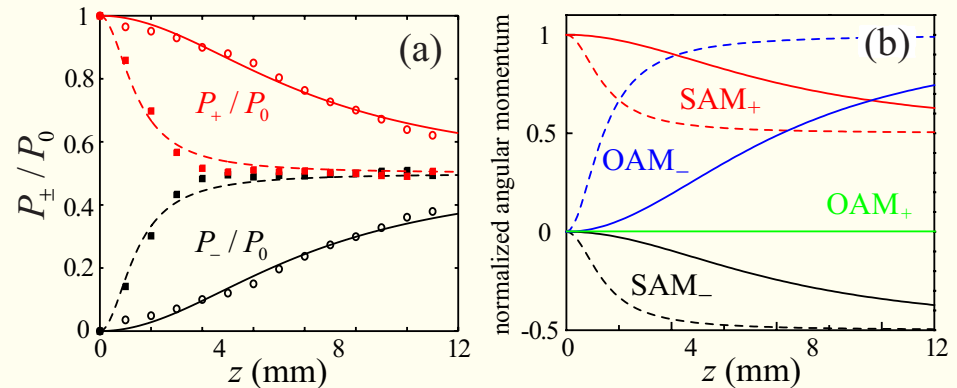
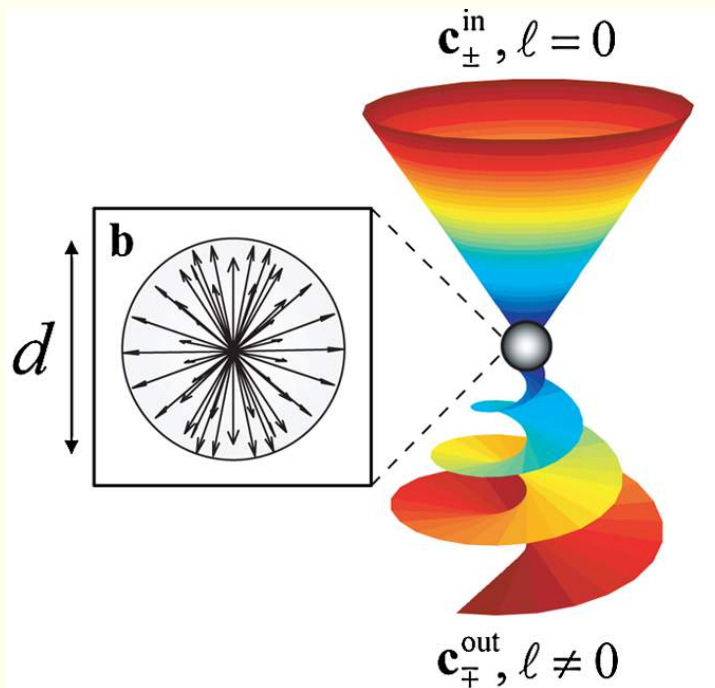
$$\begin{aligned} \mathbf{M} &= \mathbf{R}(-\alpha) \cdot \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \cdot \mathbf{R}(\alpha) \\ &= \begin{pmatrix} \cos 2\alpha & \sin 2\alpha \\ \sin 2\alpha & -\cos 2\alpha \end{pmatrix}, \end{aligned}$$

# SOI in anisotropic nanostructures

Particular case: azimuthal or radial anisotropy ( $q=2$ )

$$\hat{\mathbf{M}} = \begin{pmatrix} C & Se^{-2i\varphi} \\ Se^{2i\varphi} & C \end{pmatrix} = C\hat{\sigma}_0 + S\hat{\mathbf{T}},$$

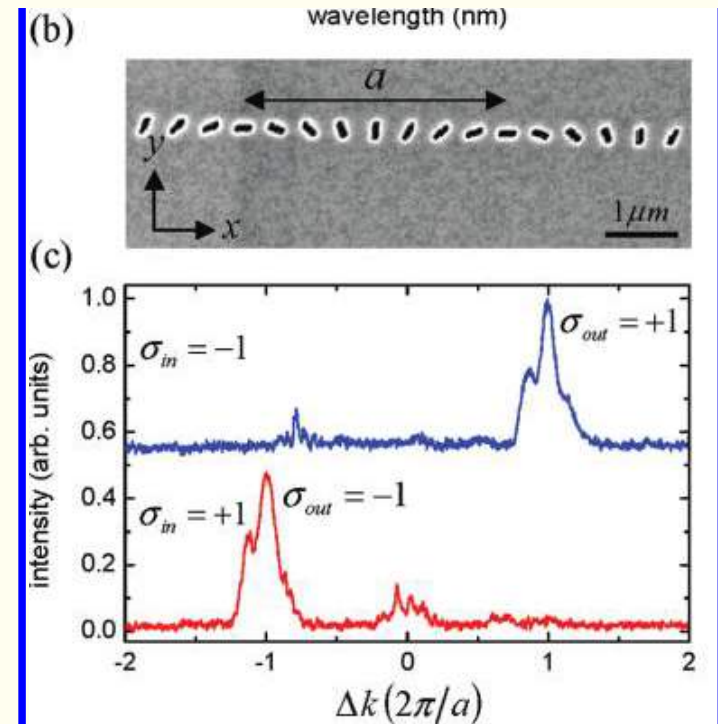
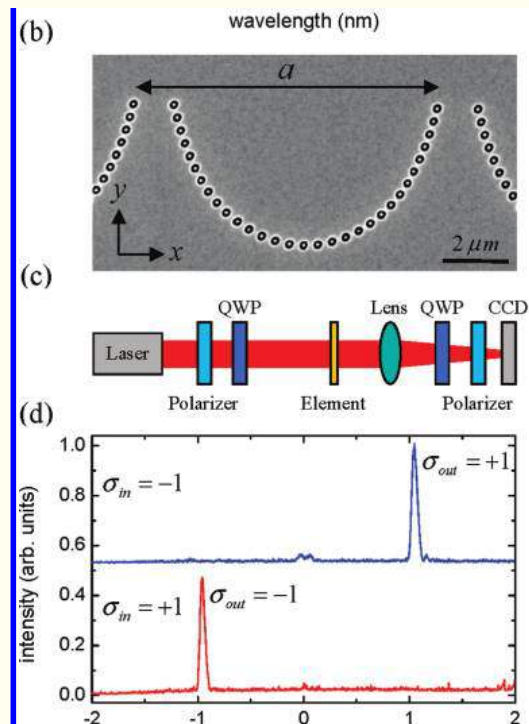
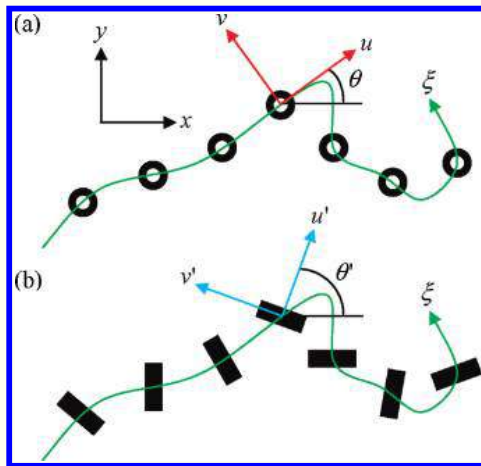
$$\hat{\mathbf{T}} = \hat{\sigma}_x \cos(2\varphi) + \hat{\sigma}_y \sin(2\varphi),$$



# SOI in anisotropic nanostructures

## Optical Spin Hall Effects in Plasmonic Chains

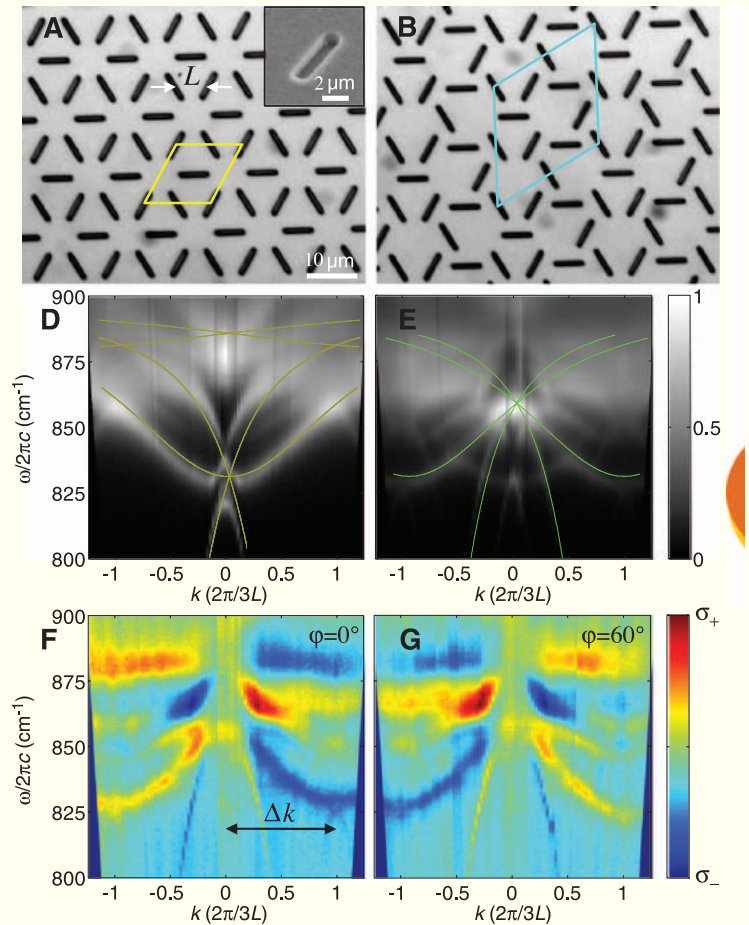
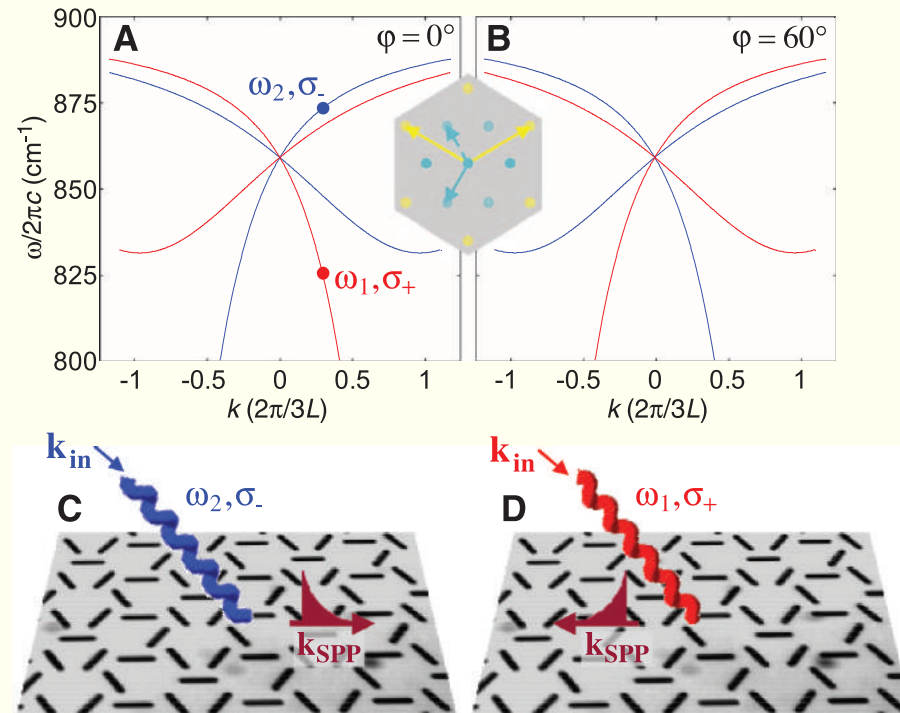
Nir Shitrit, Itay Bretner, Yuri Gorodetski, Vladimir Kleiner, and Erez Hasman\*



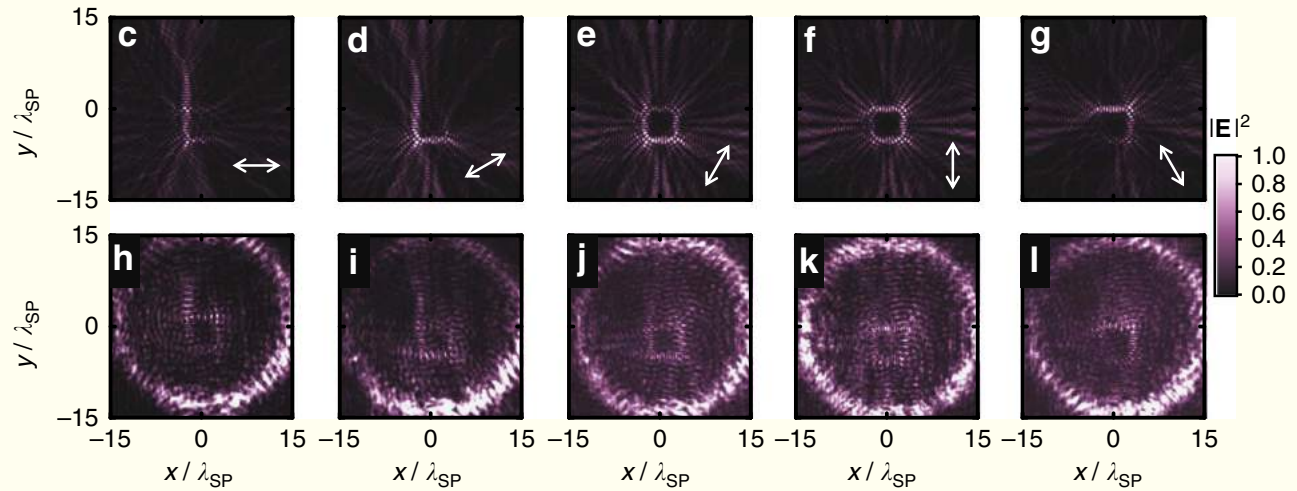
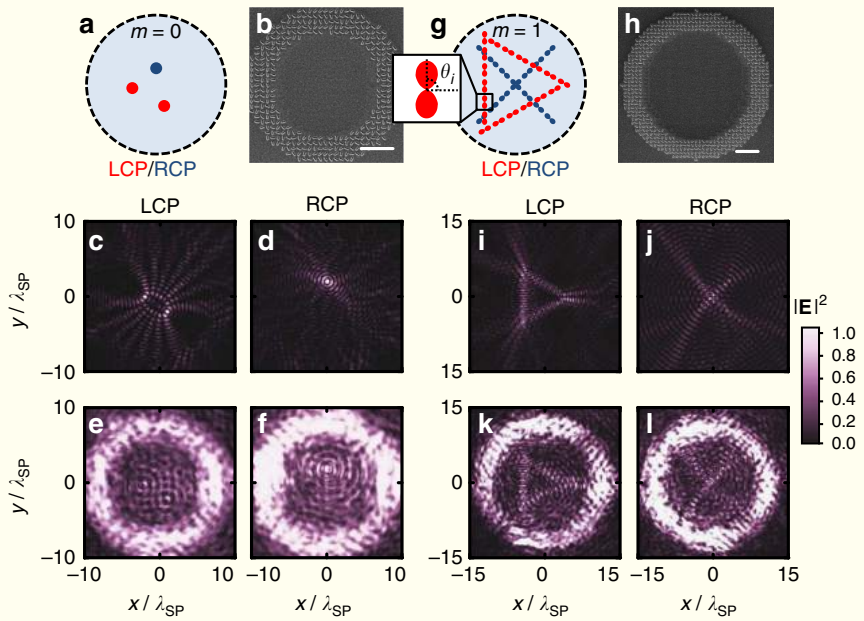
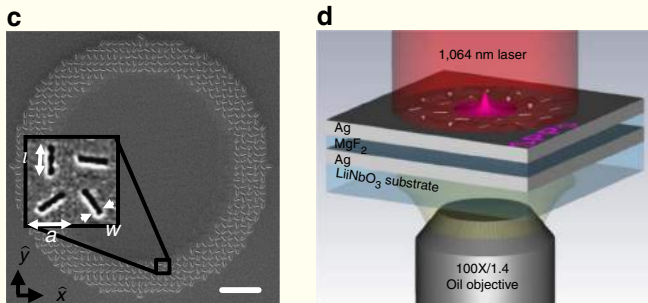
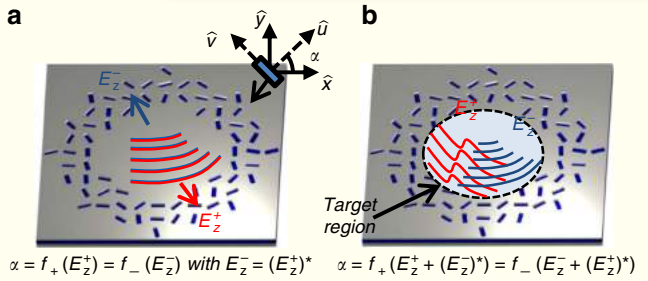
# SOI in anisotropic nanostructures

## Spin-Optical Metamaterial Route to Spin-Controlled Photonics

Nir Shitrit, Igor Yulevich, Elhanan Maguid, Dror Ozeri, Dekel Veksler, Vladimir Kleiner, Erez Hasman\*

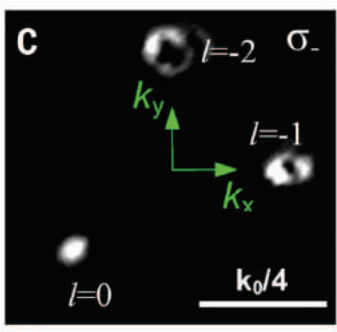
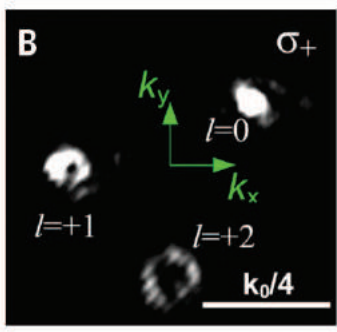
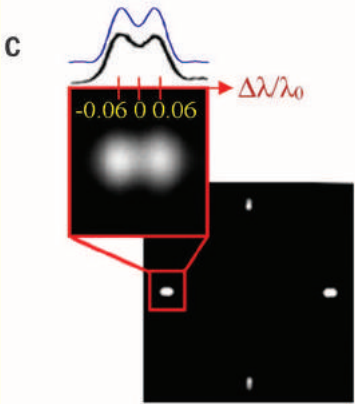
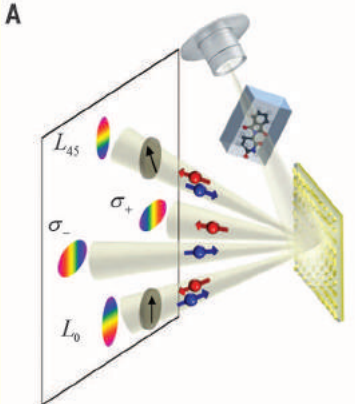
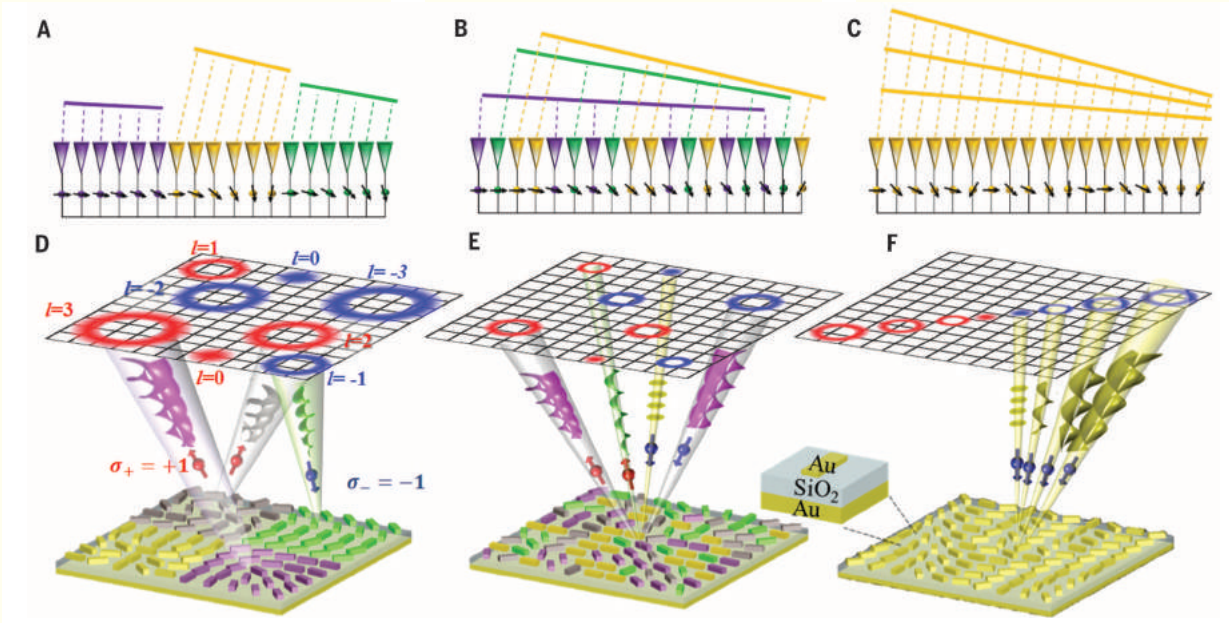


# SOI in anisotropic nanostructures



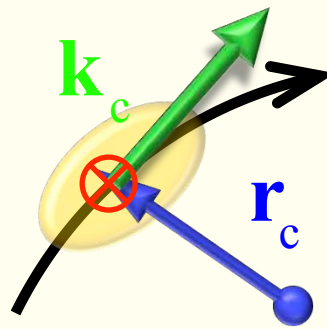


# SOI in anisotropic nanostructures



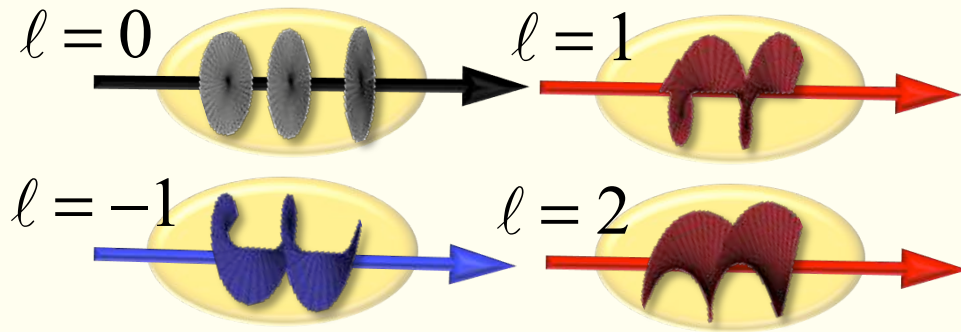
- **Spin-orbit interaction in paraxial beams**
  - Propagation in gradient-index media
  - Reflection/transmission at an interface
- **Spin-orbit coupling in nonparaxial fields**
  - Spin and orbital AM in free space
  - Focusing, scattering, imaging
- **Spin-orbit coupling in inhomogeneous anisotropic structures (metasurfaces)**
- **Transverse spin-momentum locking**

# 1. Extrinsic orbital AM (trajectory)



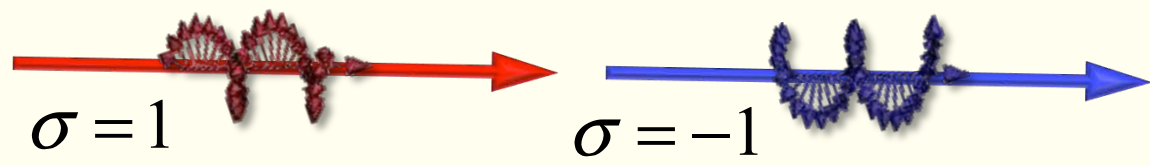
$$\mathbf{L}_{\text{ext}} = \mathbf{r}_c \times \mathbf{k}_c$$

# 2. Intrinsic orbital AM (vortex)



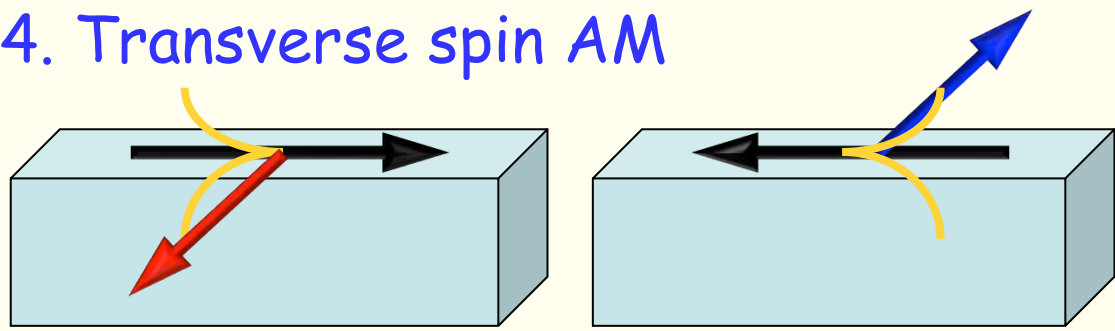
$$\mathbf{L}_{\text{int}} = l \mathbf{\kappa}_c$$

# 3. Longitudinal spin AM



$$\mathbf{S} = \sigma \mathbf{\kappa}_c$$

# 4. Transverse spin AM



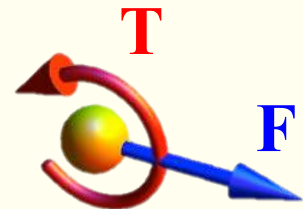
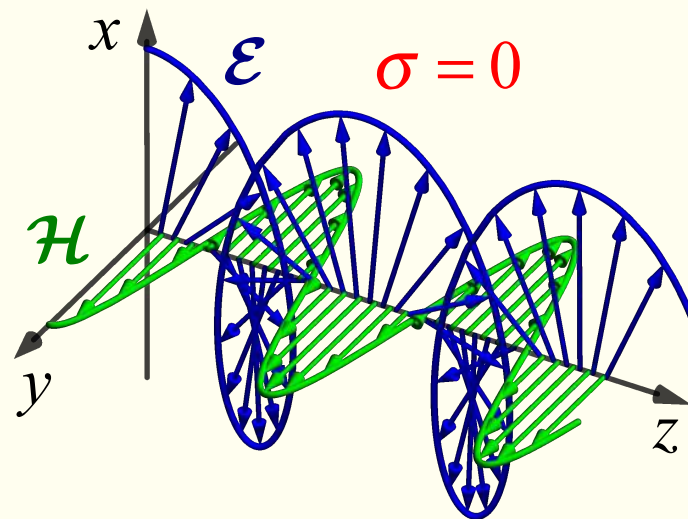
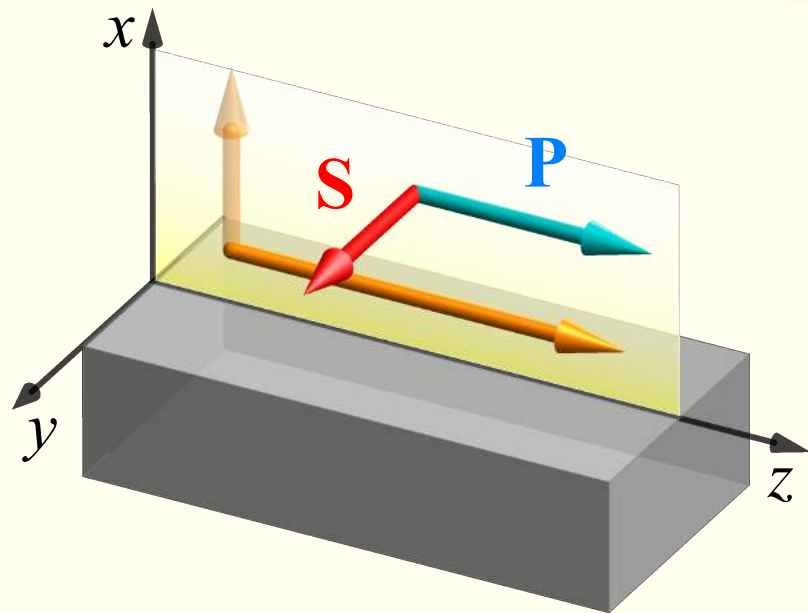
$$\mathbf{S}_{\perp} \propto \text{Re} \mathbf{k} \times \bar{\mathbf{n}}$$

# Transverse spin angular momentum

Evanescent waves carry longitudinal canonical momentum and transverse helicity-independent spin AM:

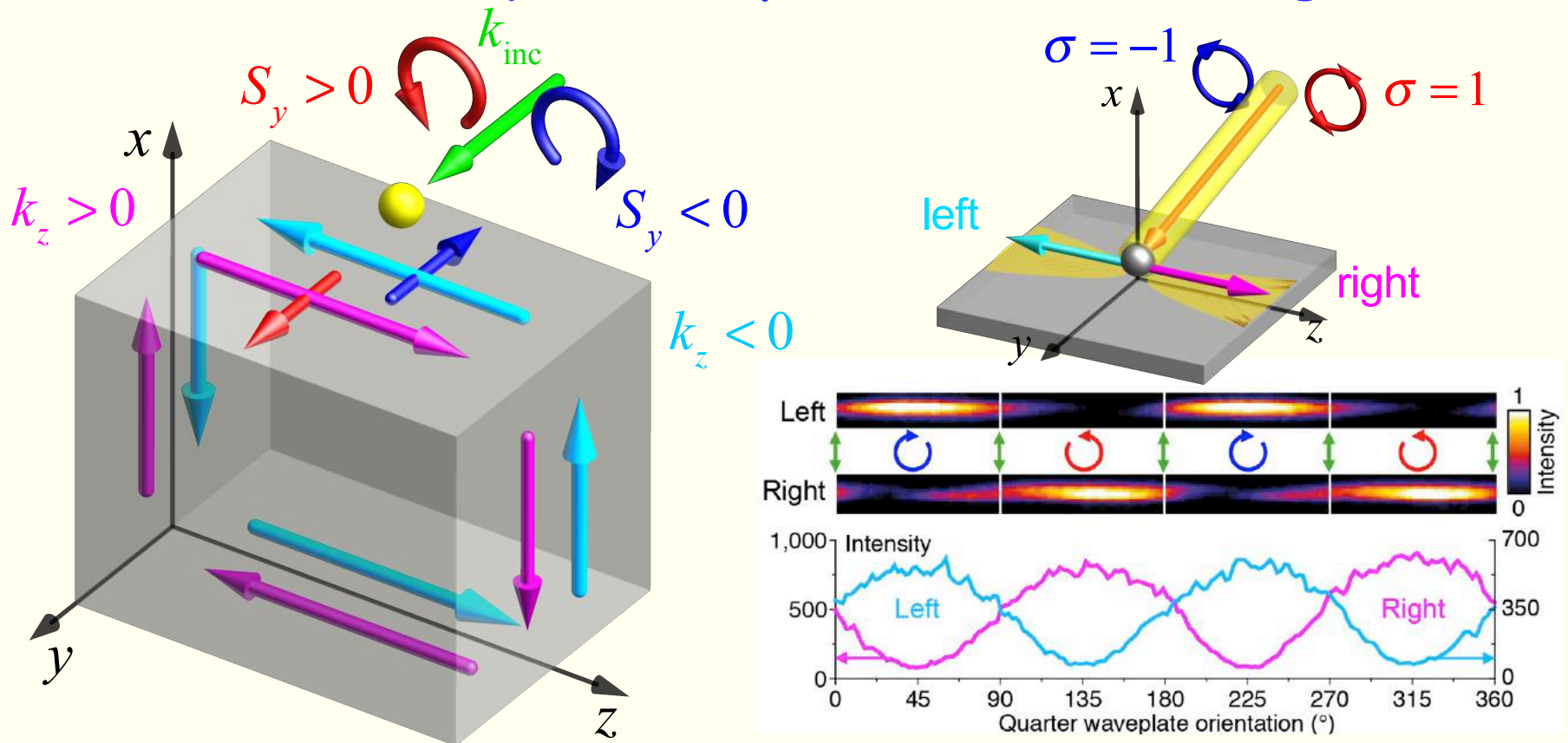
$$\bar{\mathbf{P}} = \text{Re} \mathbf{k},$$

$$\bar{\mathbf{S}}_{\perp} = \frac{\text{Re} \mathbf{k} \times \text{Im} \mathbf{k}}{(\text{Re} \mathbf{k})^2}$$



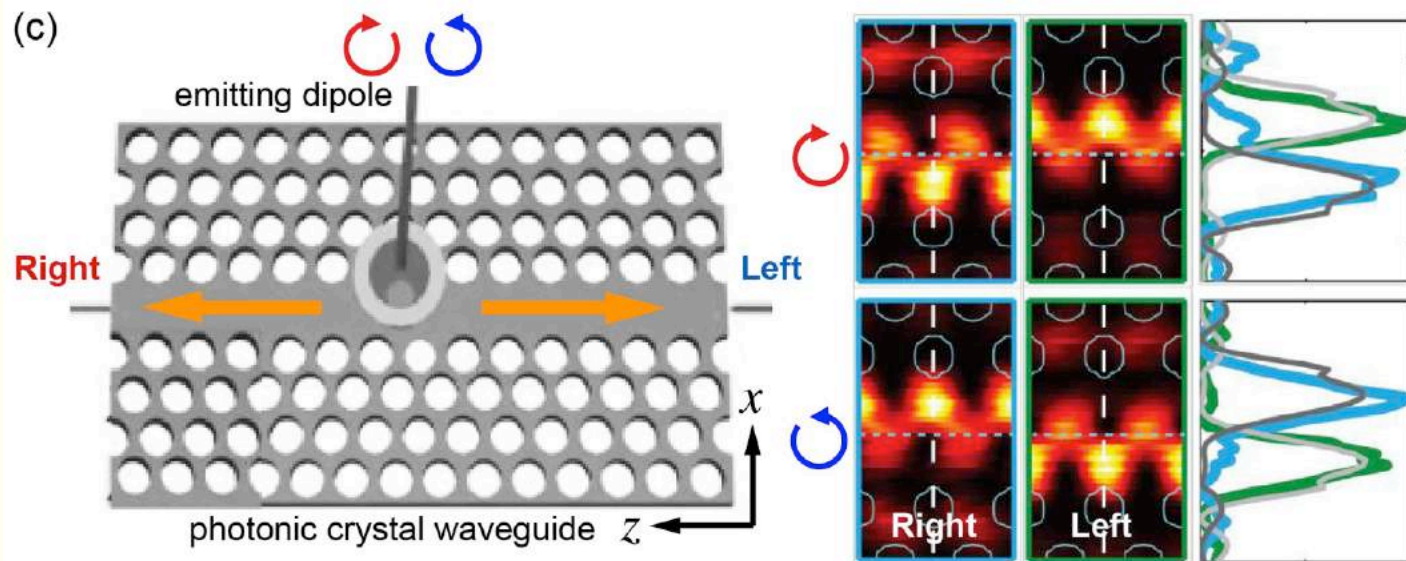
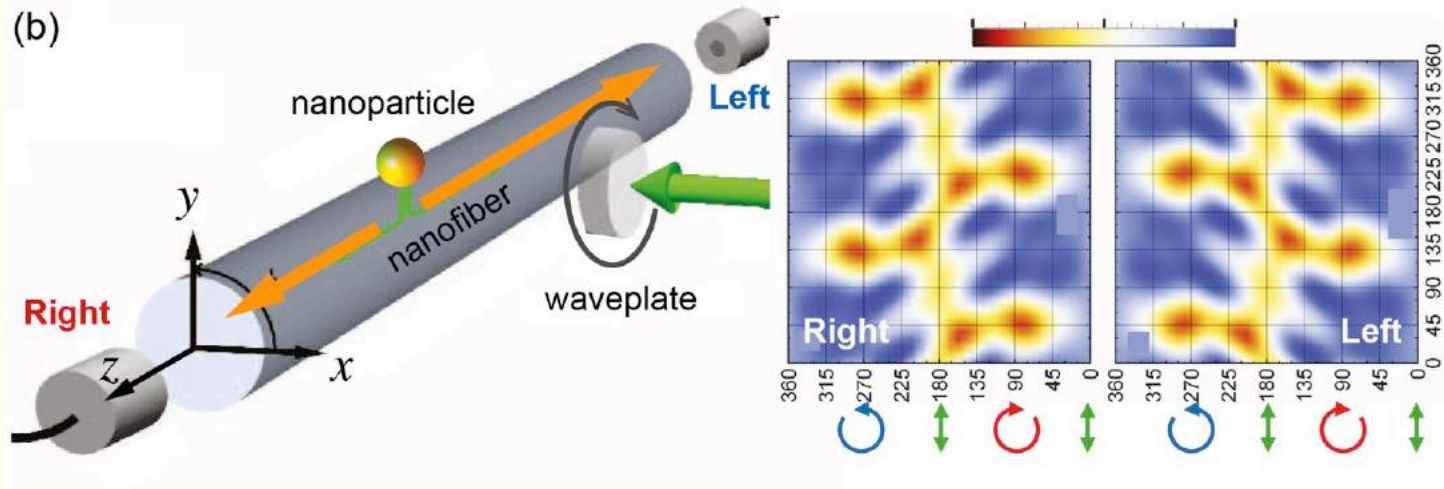
# Transverse spin-momentum locking

This extraordinary property provides transverse spin-momentum locking, analogues to that in topological insulators, or the “quantum spin Hall effect of light”:



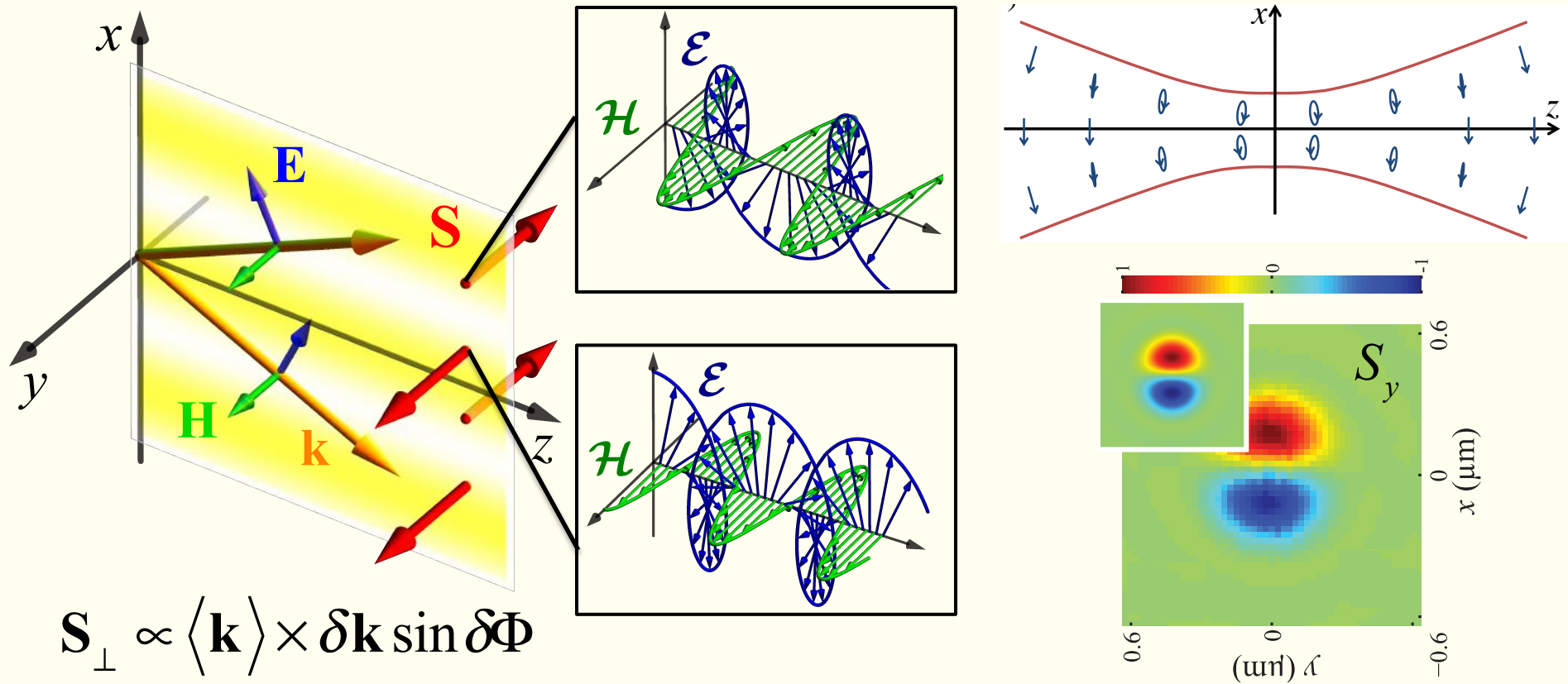
Science: Rodriguez-Fortuno et al. 2013; Petersen et al. 2014; Bliokh et al. 2015

# Transverse spin-momentum locking



# Transverse spin angular momentum

The transverse polarization-independent spin AM density also appears in propagating interference fields, but its integral value vanishes in such fields:



$$\mathbf{S}_{\perp} \propto \langle \mathbf{k} \rangle \times \delta \mathbf{k} \sin \delta \Phi$$



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## Transverse and longitudinal angular momenta of light

Konstantin Y. Bliokh<sup>a,b,\*</sup>, Franco Nori<sup>a,c</sup>

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<sup>b</sup>Nonlinear Physics Centre, ANU/PhysE, The Australian National University, Canberra, ACT 0200, Australia

## REVIEW ARTICLE | FOCUS

PUBLISHED ONLINE: 27 NOVEMBER 2015 | DOI: 10.1038/NPHOTON.2015.201

## Spin-orbit interactions of light

K. Y. Bliokh<sup>1,2\*</sup>, F. J. Rodríguez-Fortuño<sup>3</sup>, F. Nori<sup>1,4</sup> and A. V. Zayats<sup>3</sup>

PUBLISHED ONLINE: 27 NOVEMBER 2015 | DOI: 10.1038

nature  
photonics

## From transverse angular momentum to photonic wheels

Andrea Aiello<sup>1,2</sup>, Peter Banzer<sup>1,2,3\*</sup>, Martin Neugebauer<sup>1,2</sup> and Gerd Leuchs<sup>1,2,3</sup>

# REVIEW

doi:10.1038/nature21037

## Chiral quantum optics

Peter Lodahl<sup>1</sup>, Sahand Mahmoodian<sup>1</sup>, Søren Stobbe<sup>1</sup>, Arno Rauschenbeutel<sup>2</sup>, Philipp Schneeweiss<sup>2</sup>, Jürgen Volz<sup>2</sup>, Hannes Pichler<sup>3,4</sup> & Peter Zoller<sup>3,4</sup>



# SOI with the transverse spin AM

One can also employ the transverse spin for the usual geometric-phase-related SOI phenomena:

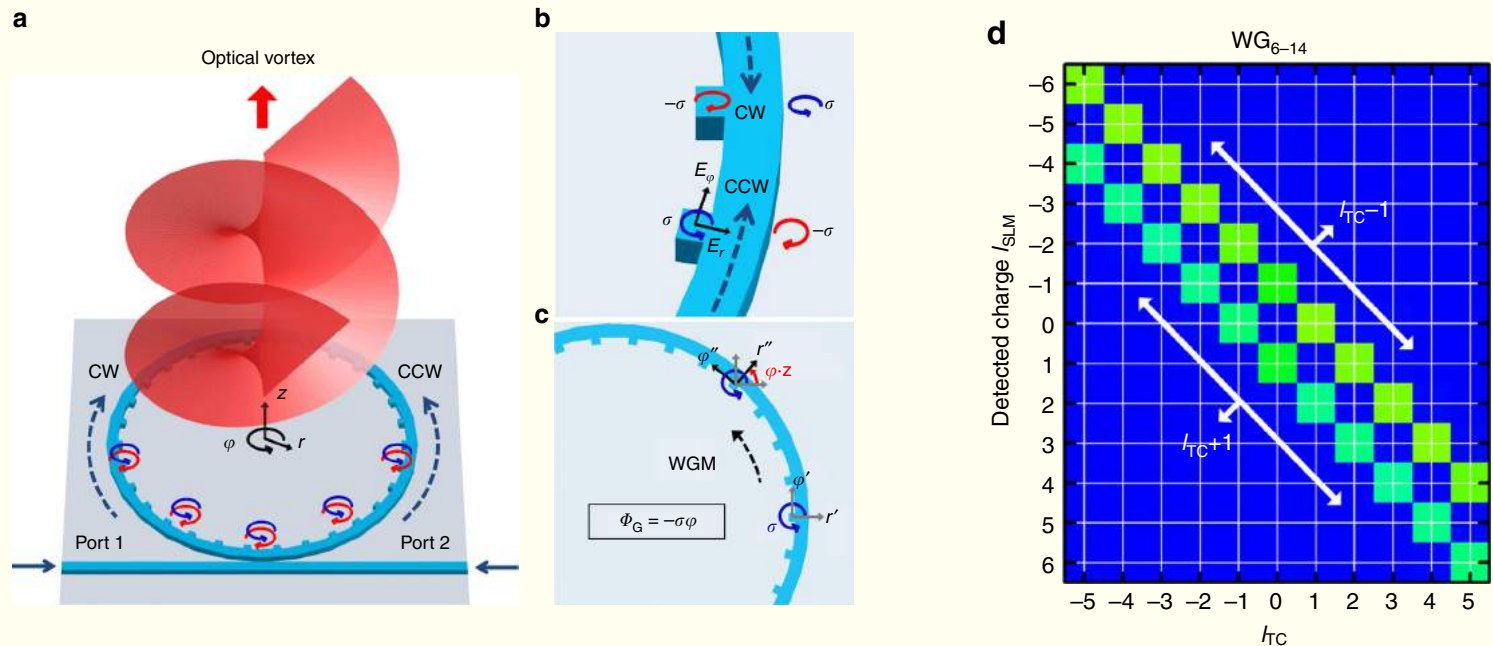
ARTICLE

DOI: 10.1038/s41467-018-03237-5

OPEN

## Spin-orbit interaction of light induced by transverse spin angular momentum engineering

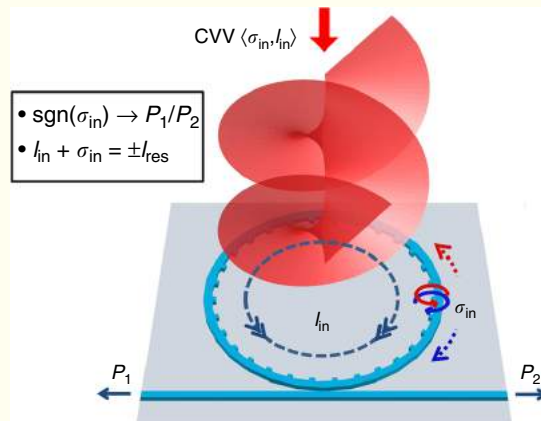
Zengkai Shao<sup>1</sup>, Jiangbo Zhu<sup>2</sup>, Yujie Chen<sup>1</sup>, Yanfeng Zhang<sup>1</sup> & Siyuan Yu<sup>1,2</sup>



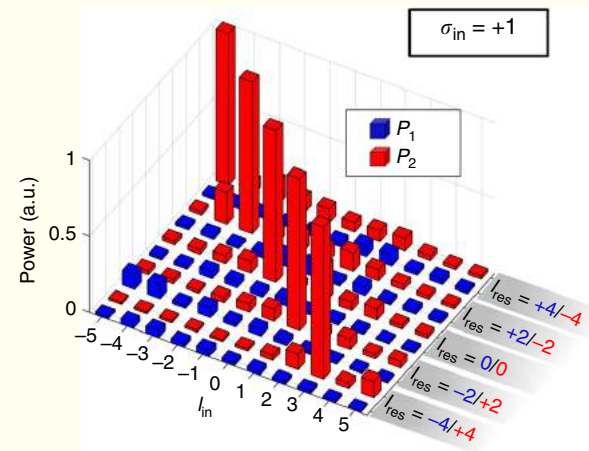
# SOI with the transverse spin AM

One can also employ the transverse spin for the usual geometric-phase-related SOI phenomena:

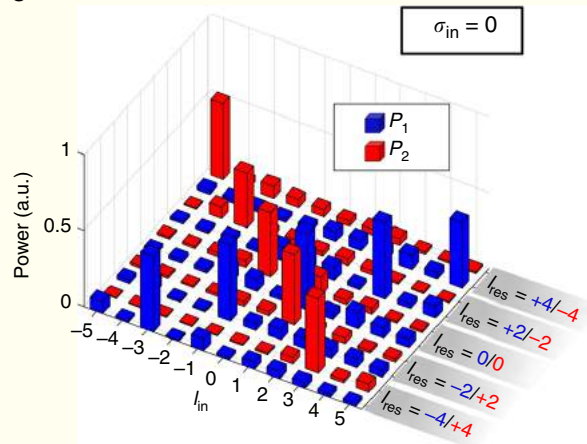
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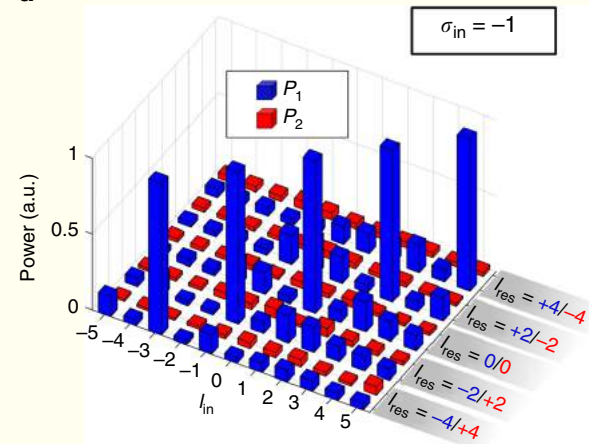
b



c



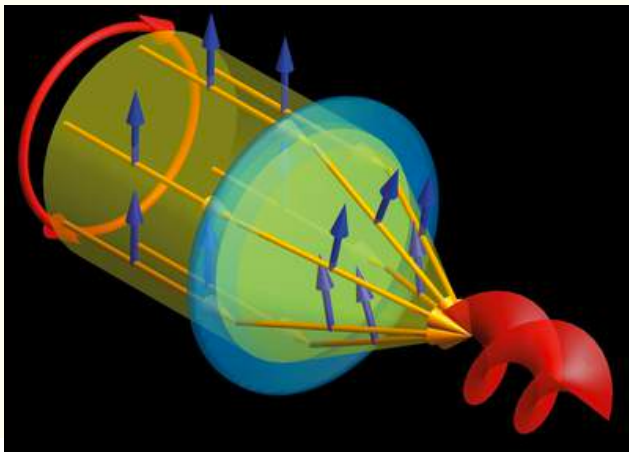
d



# Spin-orbit interactions of light

K. Y. Bliokh<sup>1,2\*</sup>, F. J. Rodríguez-Fortuño<sup>3</sup>, F. Nori<sup>1,4</sup> and A. V. Zayats<sup>3</sup>

Light carries both spin and orbital angular momentum. These dynamical properties are determined by the polarization and spatial degrees of freedom of light. Nano-optics, photonics and plasmonics tend to explore subwavelength scales and additional degrees of freedom of structured — that is, spatially inhomogeneous — optical fields. In such fields, spin and orbital properties become strongly coupled with each other. In this Review we cover the fundamental origins and important applications of the main spin-orbit interaction phenomena in optics. These include: spin-Hall effects in inhomogeneous media and at optical interfaces, spin-dependent effects in nonparaxial (focused or scattered) fields, spin-controlled shaping of light using anisotropic structured interfaces (metasurfaces) and robust spin-directional coupling via evanescent near fields. We show that spin-orbit interactions are inherent in all basic optical processes, and that they play a crucial role in modern optics.



## **□ Recent extensions from our group**

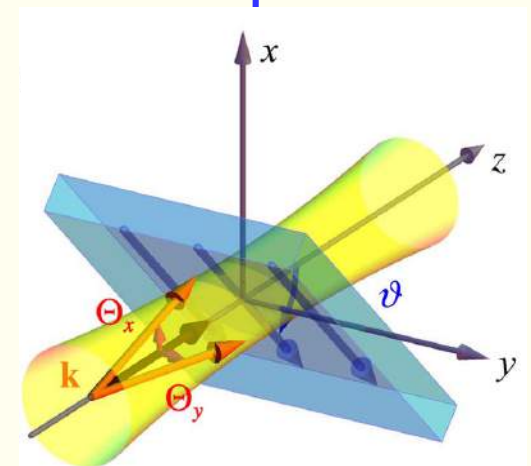
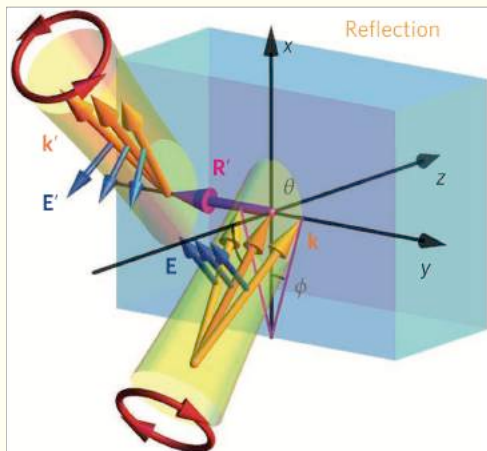
- **Spin-Hall effect for anisotropic wave plates**
- **Weak measurements and shifts in time domain**

# Beam shifts at an anisotropic plate

The Goos-Hänchen and spin-Hall beam shifts are caused by the varying wavevectors orientation with respect to the normal to the interface. Changing the propagation direction of the beam is crucial for these phenomena.

Remarkably, entirely similar phenomena appear without changing the beam propagation direction, in the **transmission through an anisotropic wave plate.**

There, the wavevector orientation varies with respect to the anisotropy axis.



# Spin-Hall effect at uniaxial wave plates

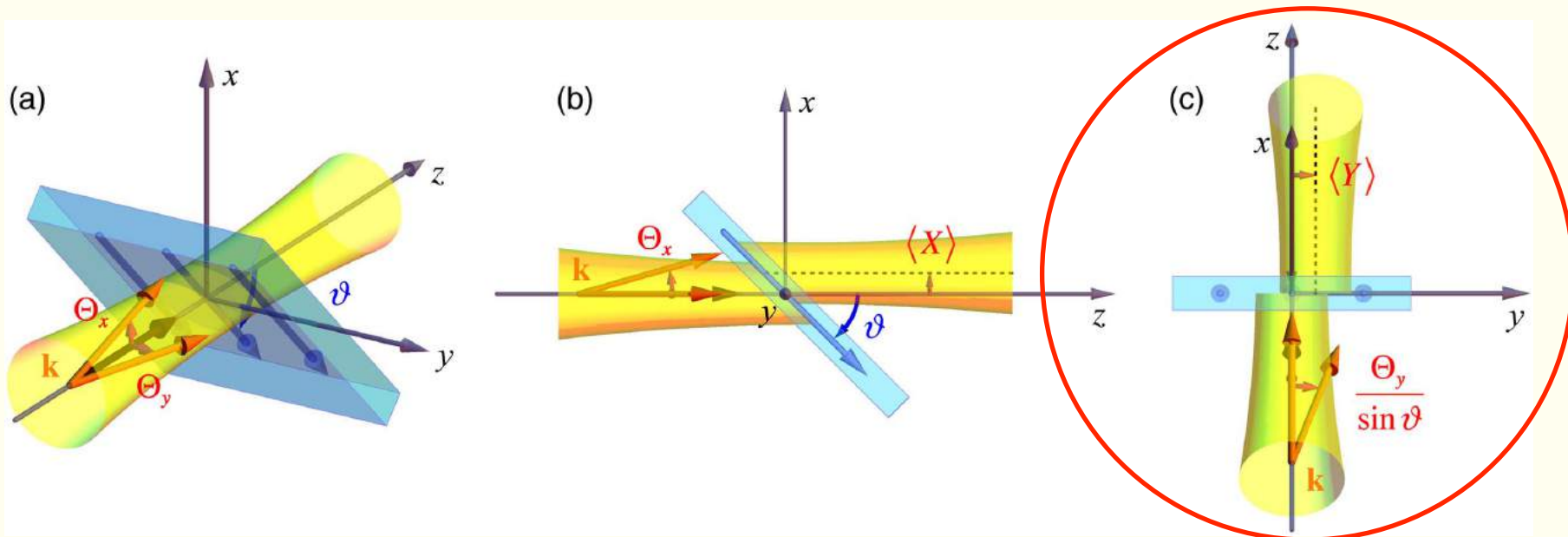
Research Article

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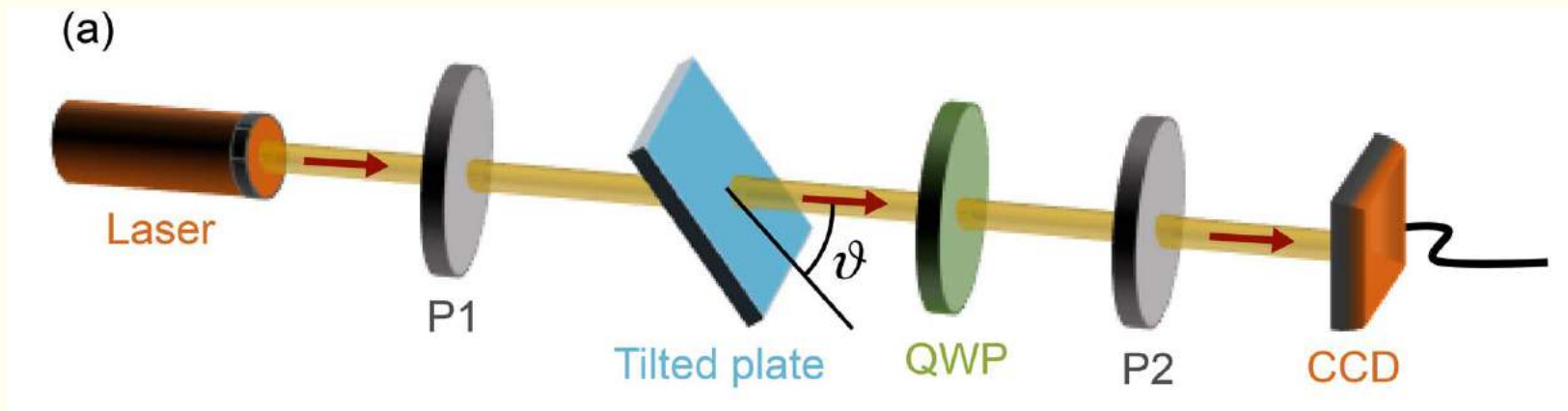
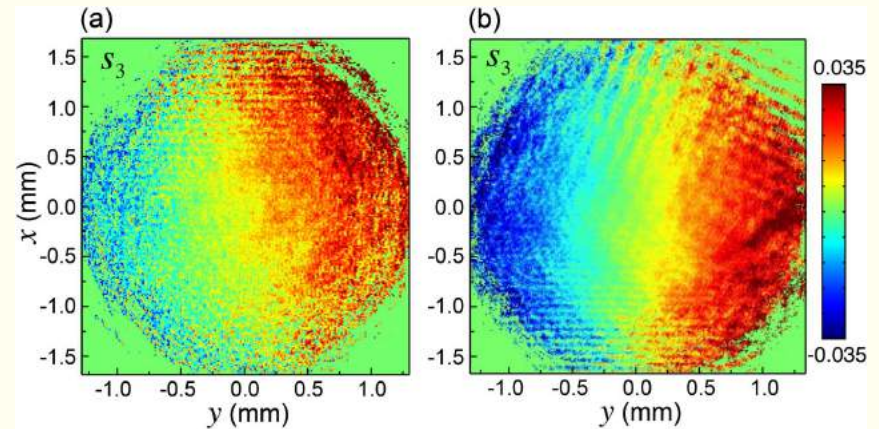
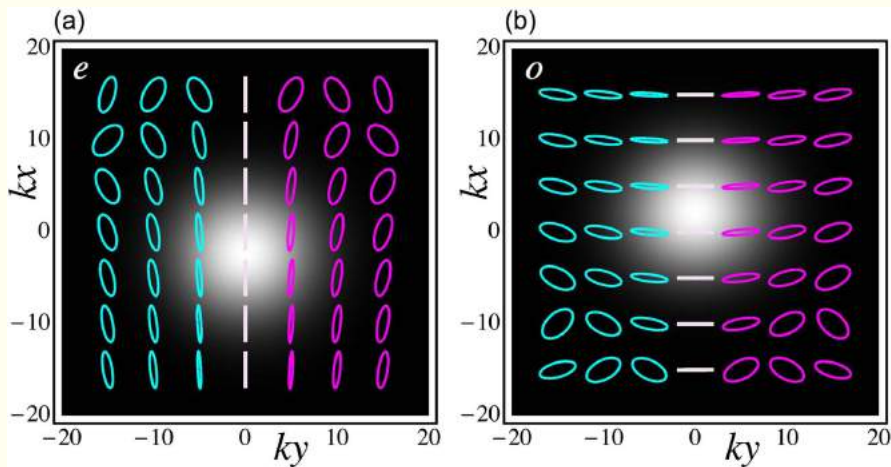
## Spin-Hall effect and circular birefringence of a uniaxial crystal plate

KONSTANTIN Y. BLOKH,<sup>1,2,\*</sup> C. T. SAMLAN,<sup>3</sup> CHANDRAVATI PRAJAPATI,<sup>3</sup> GRACIANA PUENTES,<sup>4</sup>  
NIRMAL K. VISWANATHAN,<sup>3</sup> AND FRANCO NORI<sup>1,5</sup>



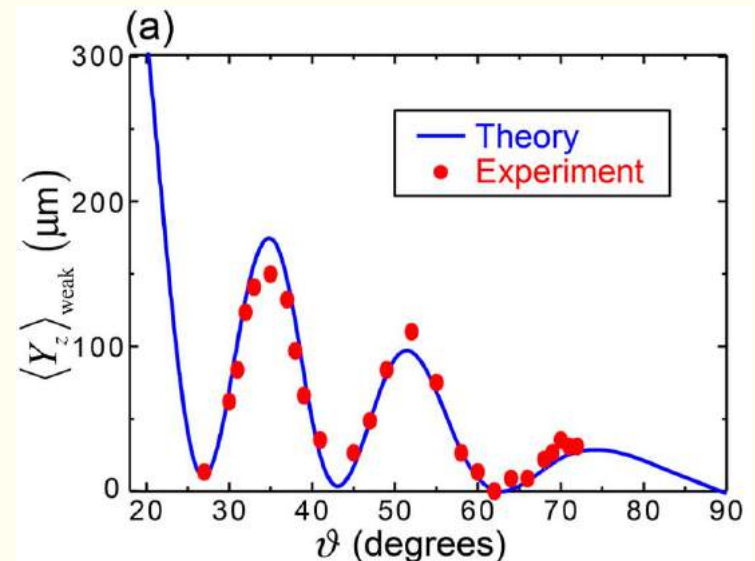
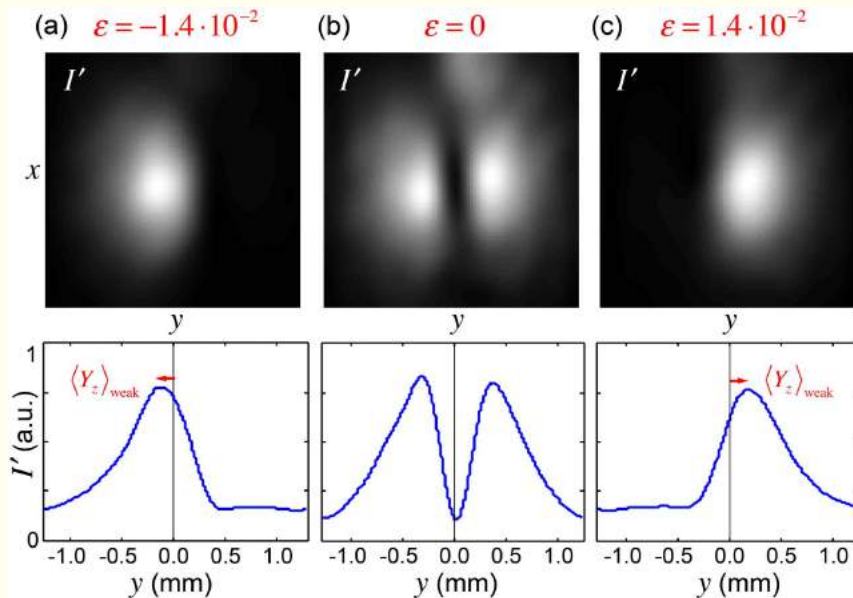
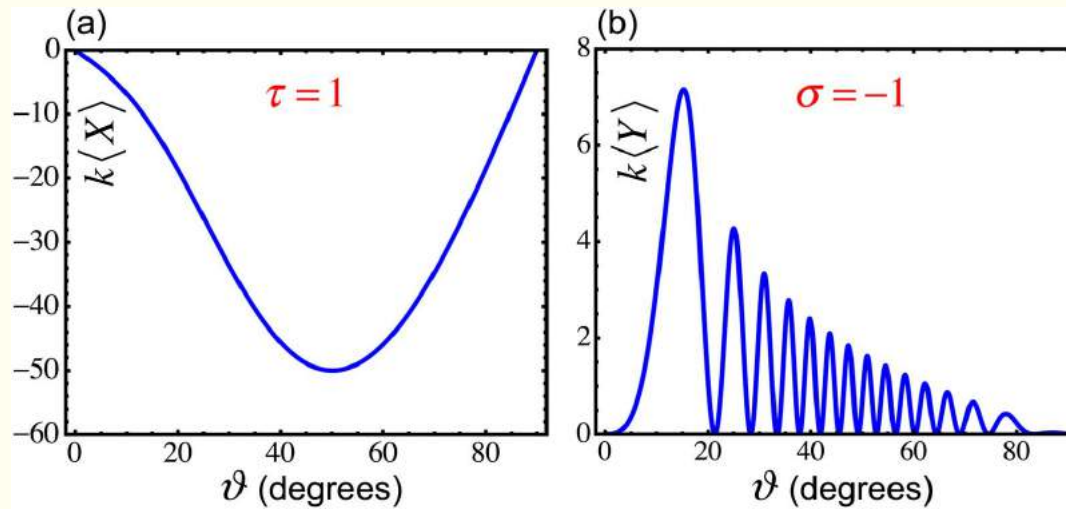
# Spin-Hall effect at uniaxial wave plates

Output polarization distribution in the ordinary or extraordinary linearly-polarized Gaussian beam:



# Spin-Hall effect at uniaxial wave plates

Beam shifts and weak measurements:





# Beam shifts and weak measurements time domain

ARTICLE

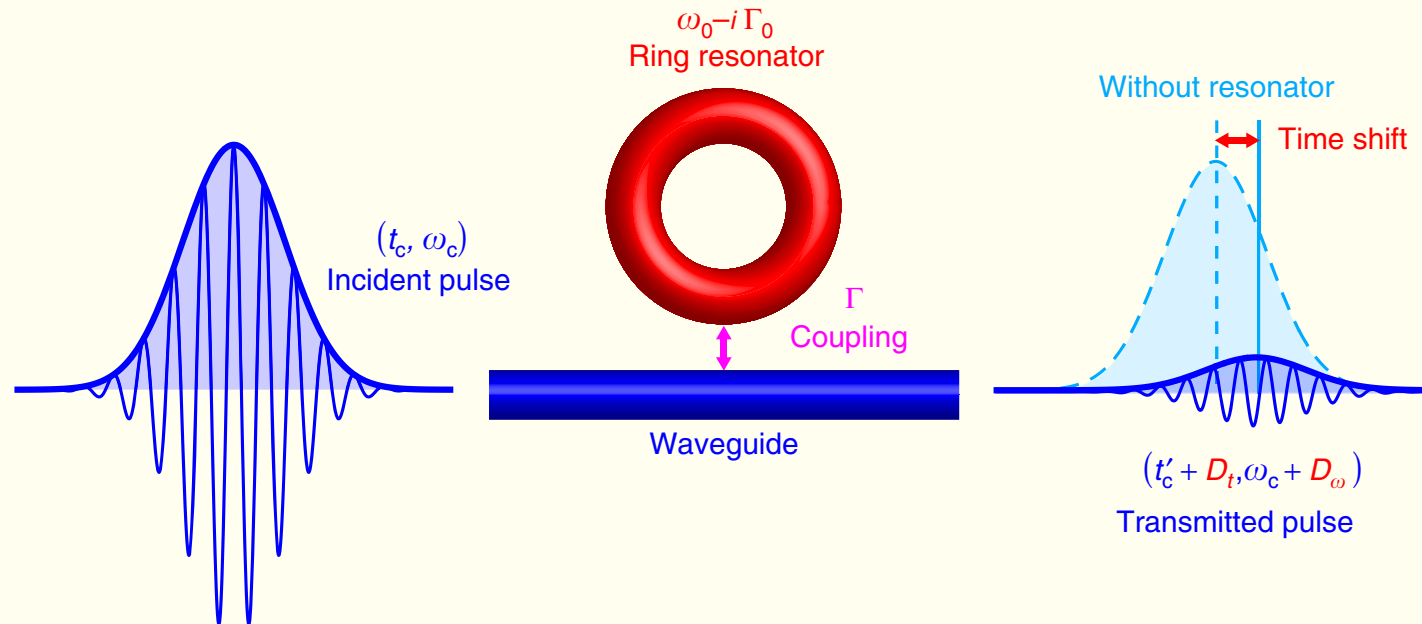
Received 28 Jun 2016 | Accepted 7 Oct 2016 | Published 14 Nov 2016

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OPEN

## Anomalous time delays and quantum weak measurements in optical micro-resonators

M. Asano<sup>1,\*</sup>, K.Y. Bliokh<sup>2,3,\*</sup>, Y.P. Bliokh<sup>4,\*</sup>, A.G. Kofman<sup>2,5</sup>, R. Ikuta<sup>1</sup>, T. Yamamoto<sup>1</sup>, Y.S. Kivshar<sup>3</sup>, L. Yang<sup>6</sup>, N. Imoto<sup>1</sup>, Ş.K. Özdemir<sup>6</sup> & F. Nori<sup>2,7</sup>



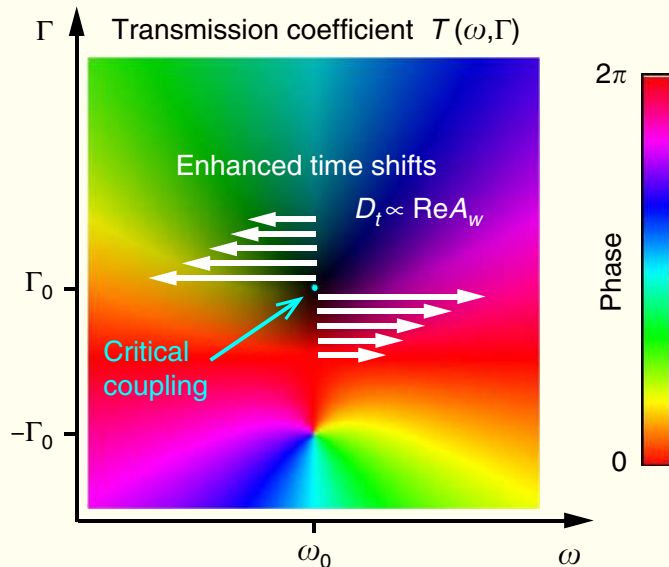
Wigner time delay and frequency shift

# Beam shifts and weak measurements time domain

$$A_w = -i \frac{\partial \ln T(\omega_c)}{\partial \omega_c} = D$$

– complex Wigner time delay

It diverges near the zero of the transmission coefficient (critical coupling in non-Hermitian resonators):

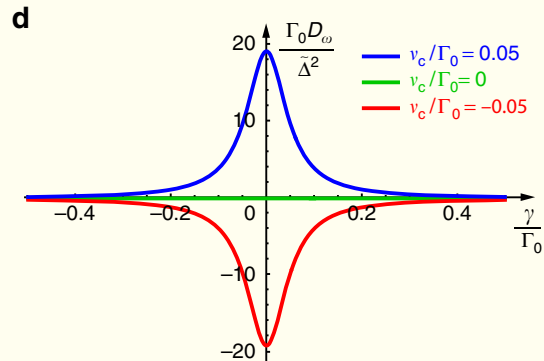
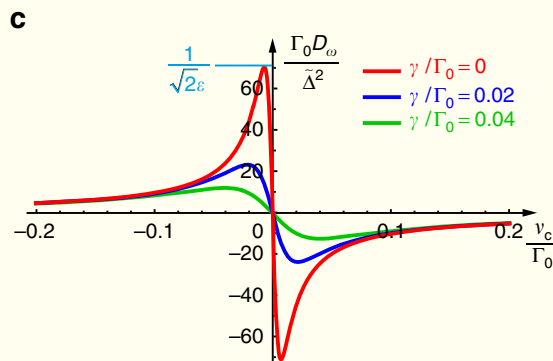
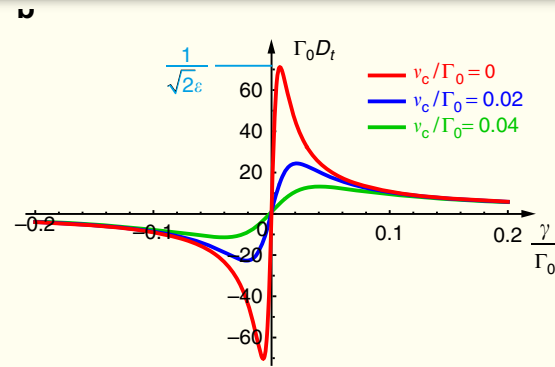
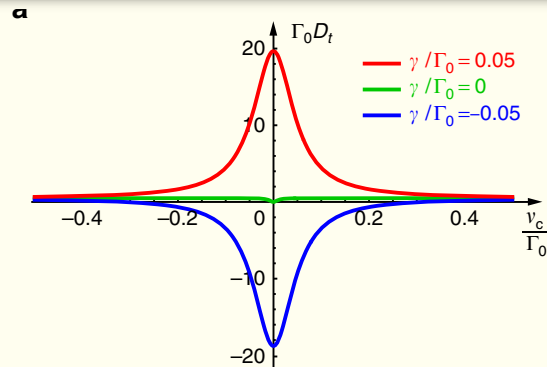


$$T(\omega, \Gamma) = \frac{(\omega - \omega_0) - i(\Gamma - \Gamma_0)}{(\omega - \omega_0) + i(\Gamma + \Gamma_0)}$$

# Beam shifts and weak measurements time domain

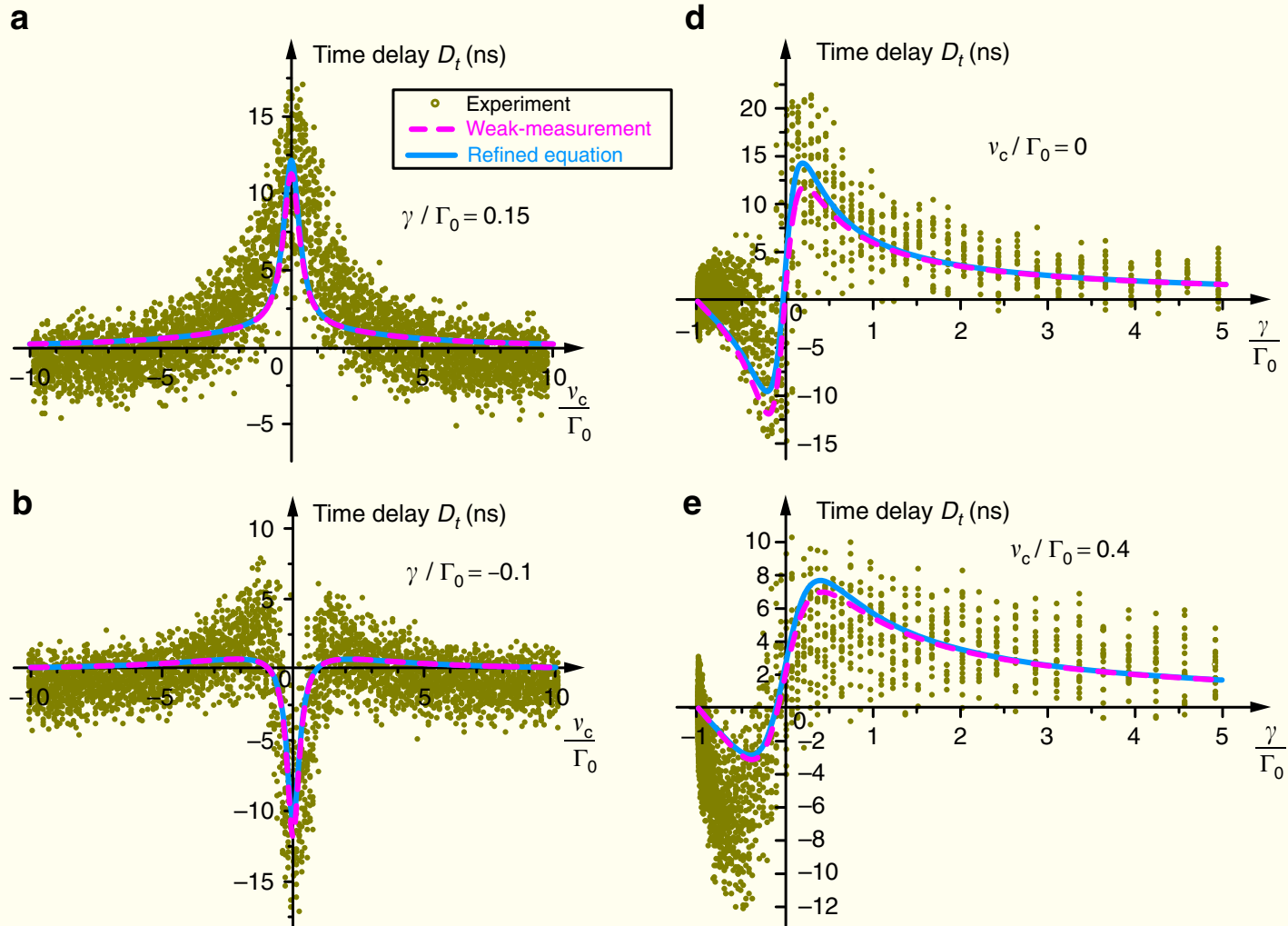
In this regime, the anomalous time/frequency shifts are given by the universal weak-measurement equations:

$$D_t = \frac{\text{Re } A_w}{1 + \tilde{\Delta}^2 |A_w|^2 / 2}, \quad D_\omega = -\frac{\tilde{\Delta}^2 \text{Im } A_w}{1 + \tilde{\Delta}^2 |A_w|^2 / 2}$$



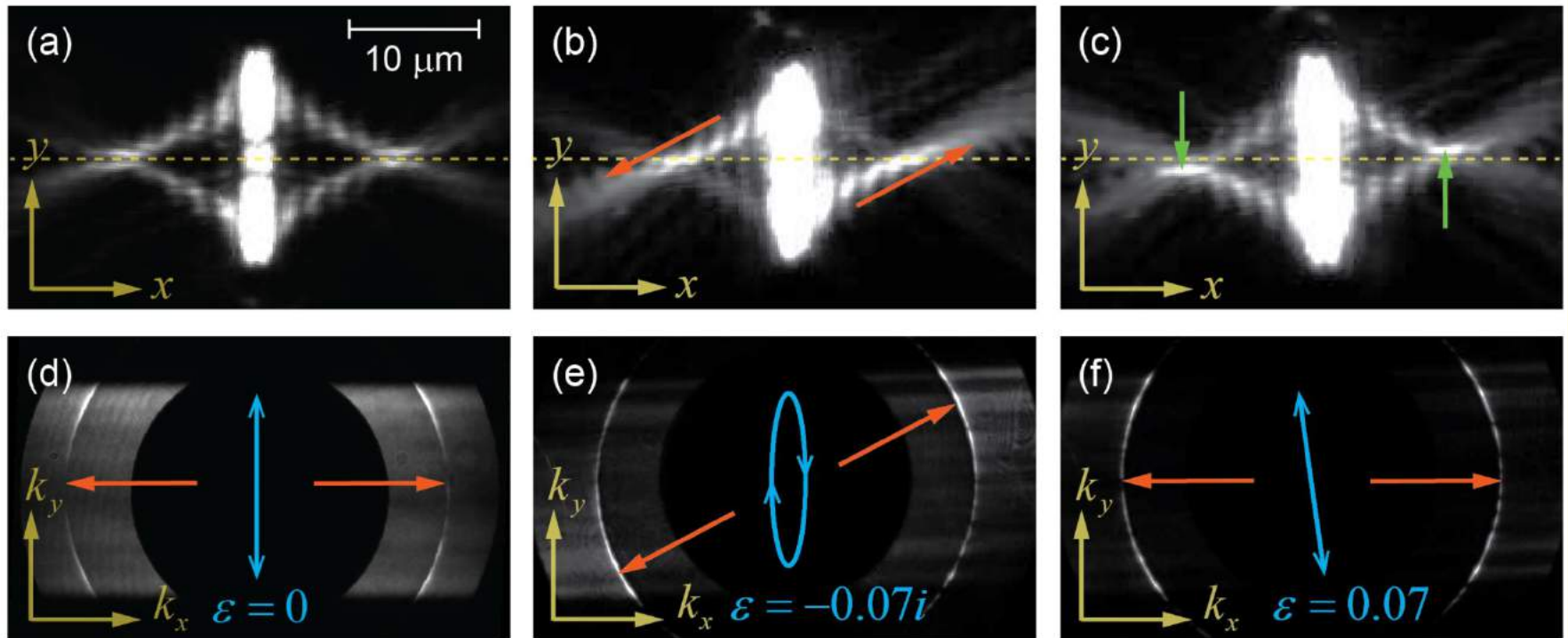
# Beam shifts and weak measurements time domain

In this regime, the anomalous time/frequency shifts are given by the universal weak-measurement equations:



# Reflection/refraction at an interface

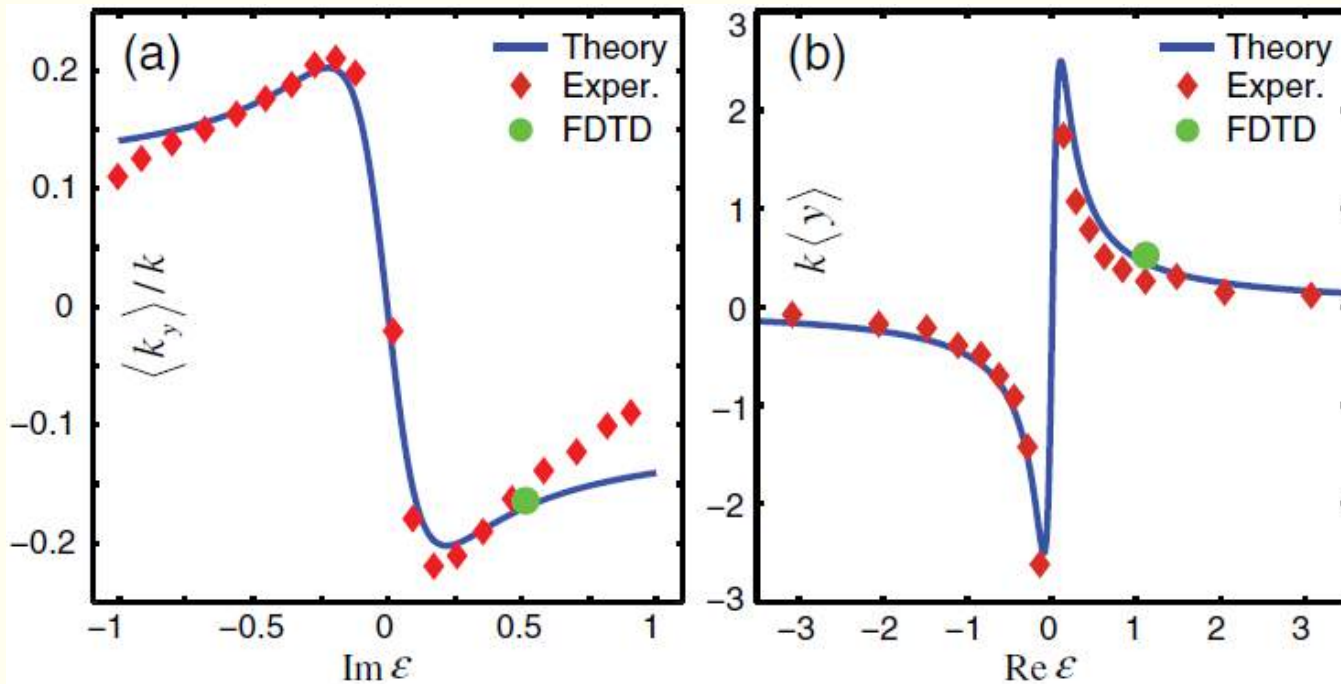
The results SPP beam fields in the real and momentum (Fourier) spaces at different input polarizations:



Small real and imaginary  $\varepsilon$  induces strong deformations and coordinate and momentum spin-Hall shifts in the SPP beams.

# Reflection/refraction at an interface

The results are in perfect agreement with the FDTD and analytical calculations:



$$\langle y \rangle = \frac{1}{k\theta_c} \frac{2\chi\theta_c \text{Re } \epsilon}{2\chi^2 |\epsilon|^2 + \theta_c^2 / 2}$$

$$\langle k_y \rangle = -k\theta_c \frac{\chi\theta_c \text{Im } \epsilon}{2\chi^2 |\epsilon|^2 + \theta_c^2 / 2}$$

**THANK YOU!**