

*Chiral modes in optics and electronics of 2D systems – Aussois, November 26-28, 2018*



# TOPOLOGICAL PHOTONICS WITH MICROWAVES

Fabrice Mortessagne



# Waves in Complex Systems team

- Flexible experimental platforms in microwaves or optics (and a hint of acoustics)
- Random Matrix Theory, effective Hamiltonian formalism, numerical simulations
- Complex geometries : multimode optical fibres, 2D or 3D microwave cavities
- (dis)ordered lattices : coupled  $\mu$ wave resonators, photo-induced/laser-written photonic structures
- Wave chaos – Anderson localization
- Artificial Dirac materials
- Quantum fluids of light
- Topological photonics



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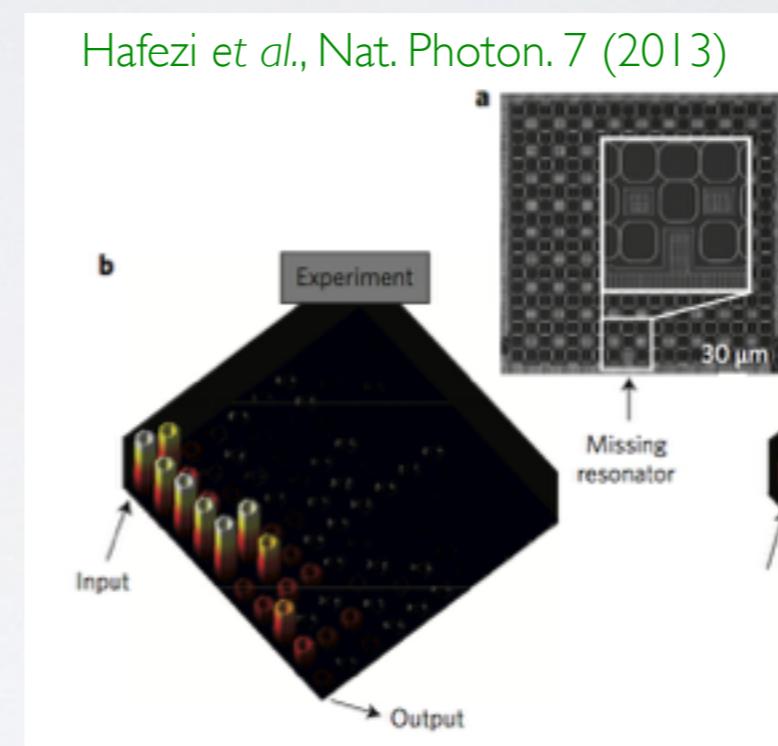


# Topological photonics

This field aims to explore the physics of topological phases of matter  
in a novel optical context.

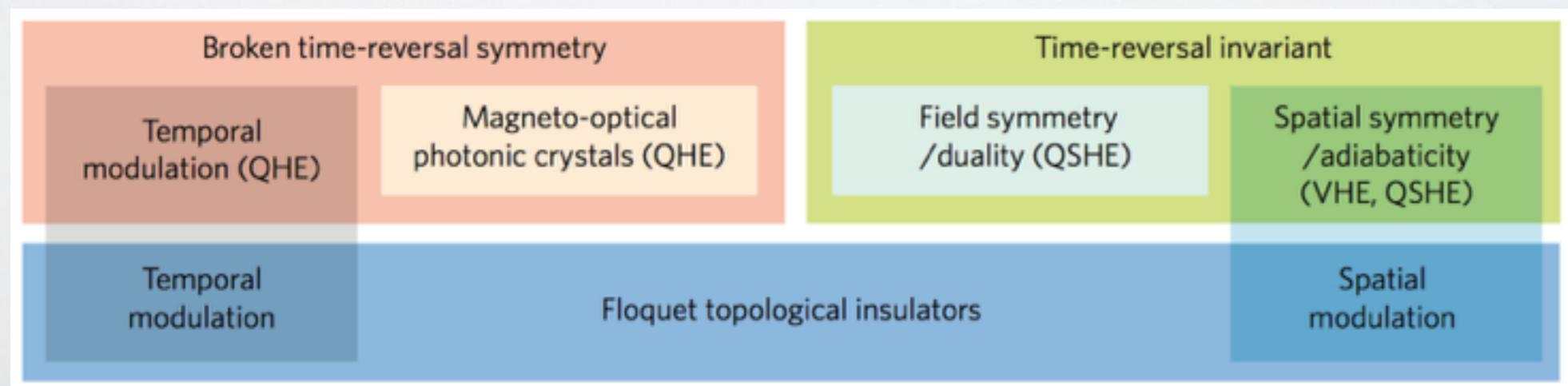
T. Ozawa et al. arXiv 1802.04173

- 2008: First theoretical prediction (Haldane & Raghu)
- 2009: First experimental realization (Wang et al., MIT)
- since then: Different strategies to emulate topological phases with photons



Chiral edge state in a lattice of coupled ring resonators on a silicon chip.

Pseudospins given by clockwise and anticlockwise modes.



Recall  
yesterday's  
talks

# Outline

## I. Microwave realization of tight-binding model

dielectric resonators, TE mode, evanescent coupling, LDOS & eigenstates

## 2. SSH chain: Control of topological interface states

zero-mode, selective enhancement, non-linear absorption, reflective limiter

## 3. 2D lattices : Lieb (and Penrose)

partial symmetry breaking, (not so) flat band, zero-mode, gap labeling (naive picture)

# Experimental setup

- Vectorial Network Analyzer (@ 6~7 GHz): complex scattering matrix;
- dielectric resonators sandwiched between metallic plates;
- ‘kink’ and ‘loop’ antennas excite TE polarization:

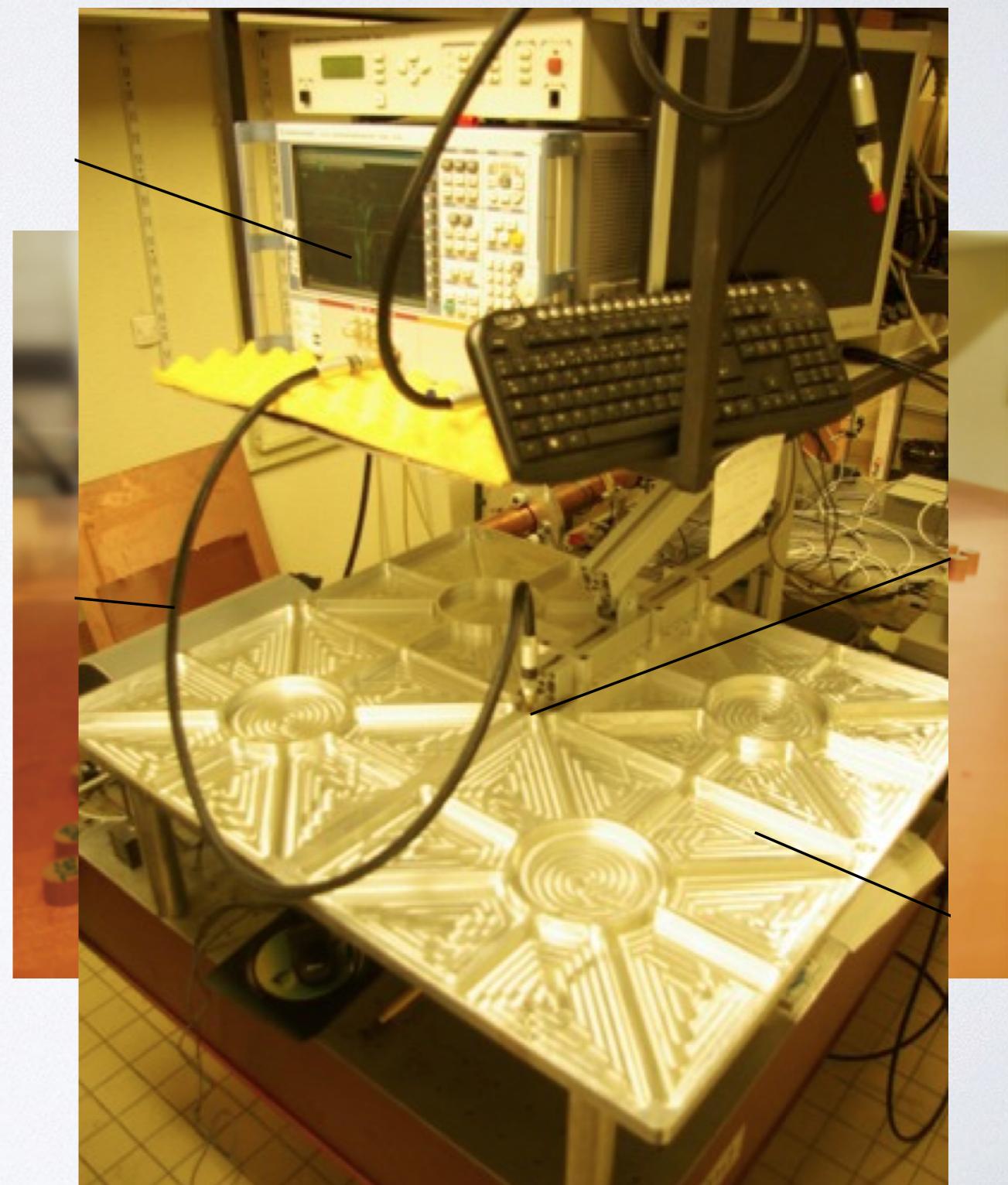
$$\psi(\vec{r}) = B_z(\vec{r})$$



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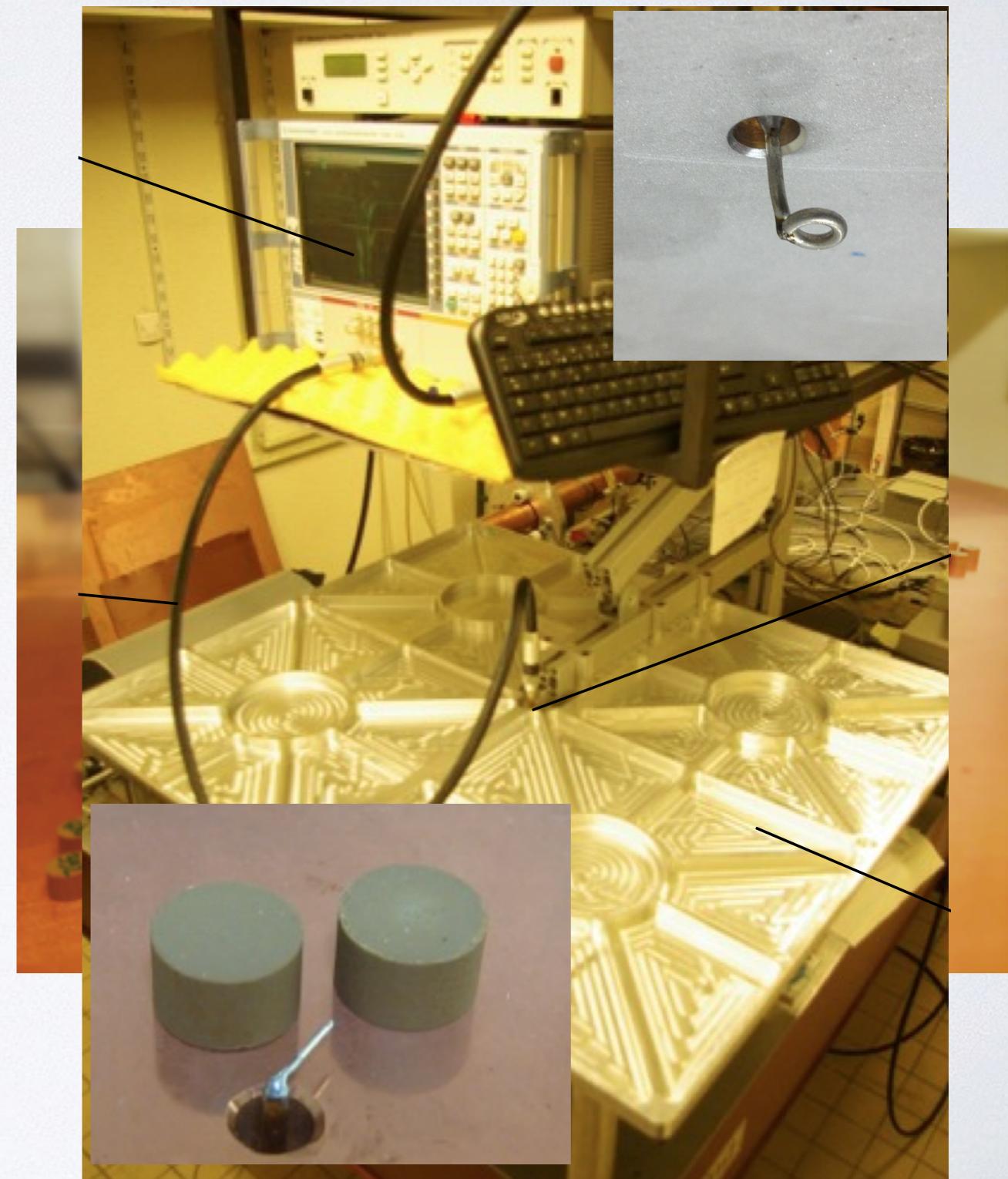
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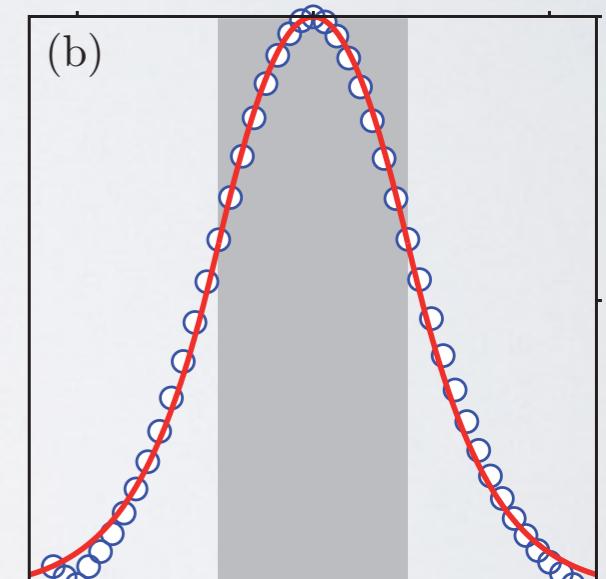
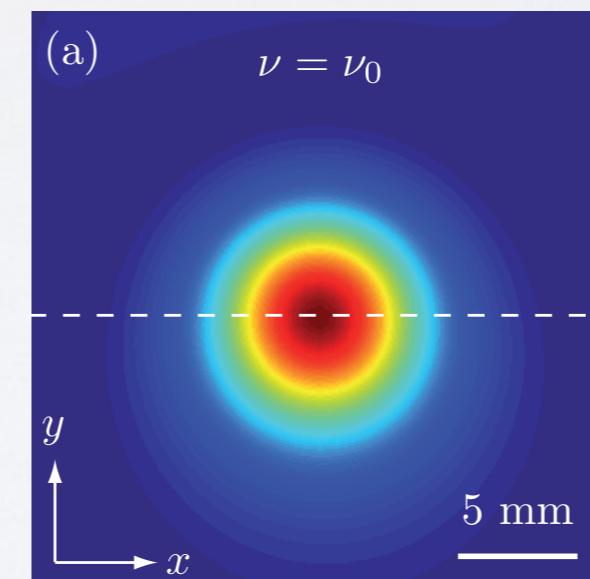
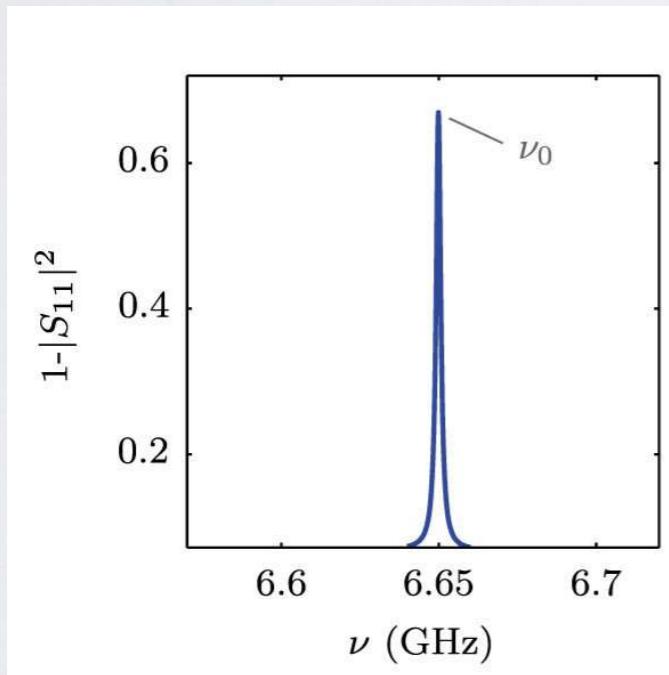
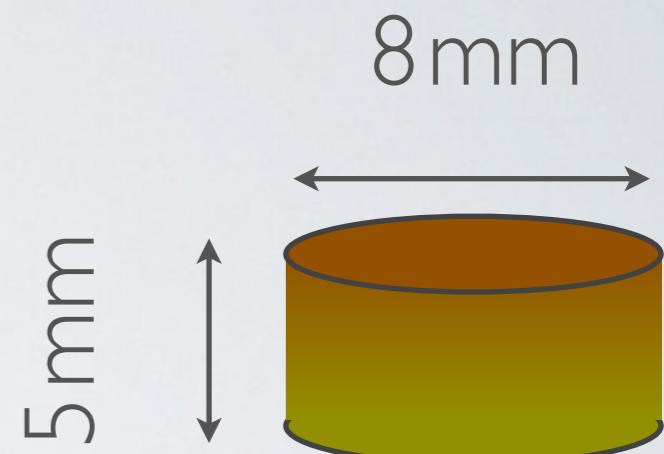
$$\psi(\vec{r}) = B_z(\vec{r})$$



# Microwave resonator

Dielectric ceramic ( $\text{ZrSnTiO}$ ):

- high permittivity:  $\epsilon = 37$
- low loss:  $Q \simeq 7000$



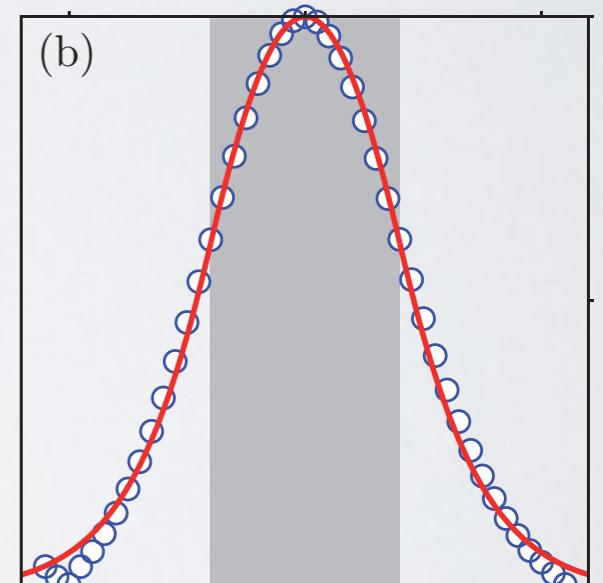
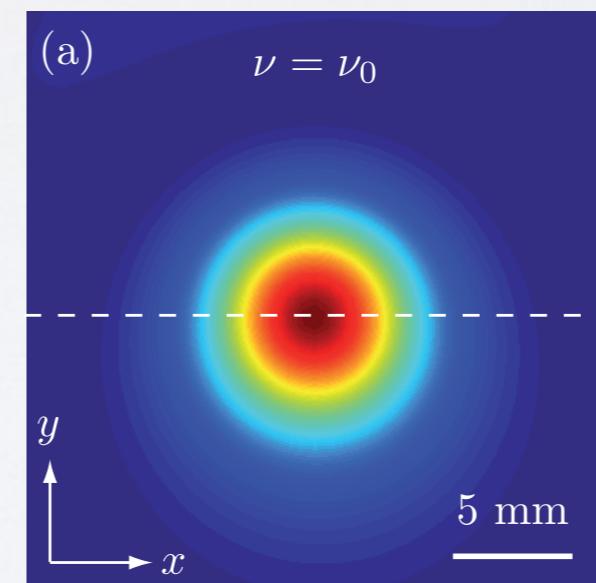
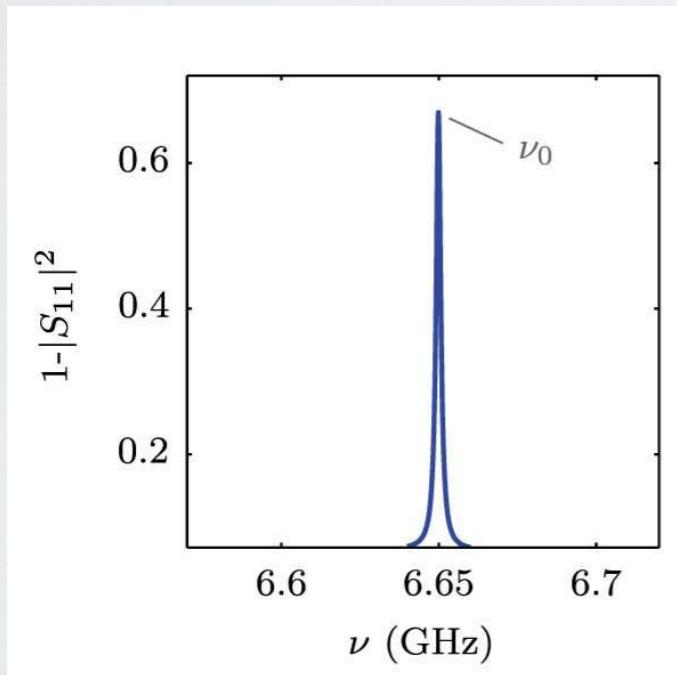
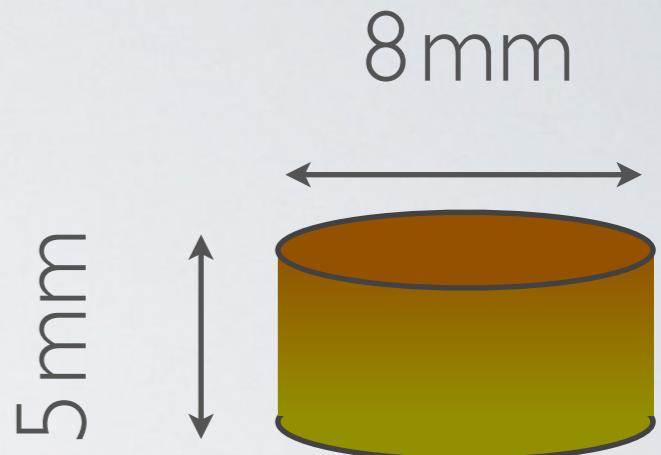
- $\text{TE}_1$  Mie resonance  
@ 6.65 GHz

- Energy essentially inside
- Evanescent field outside

# Microwave resonator

Dielectric ceramic ( $\text{ZrSnTiO}$ ):

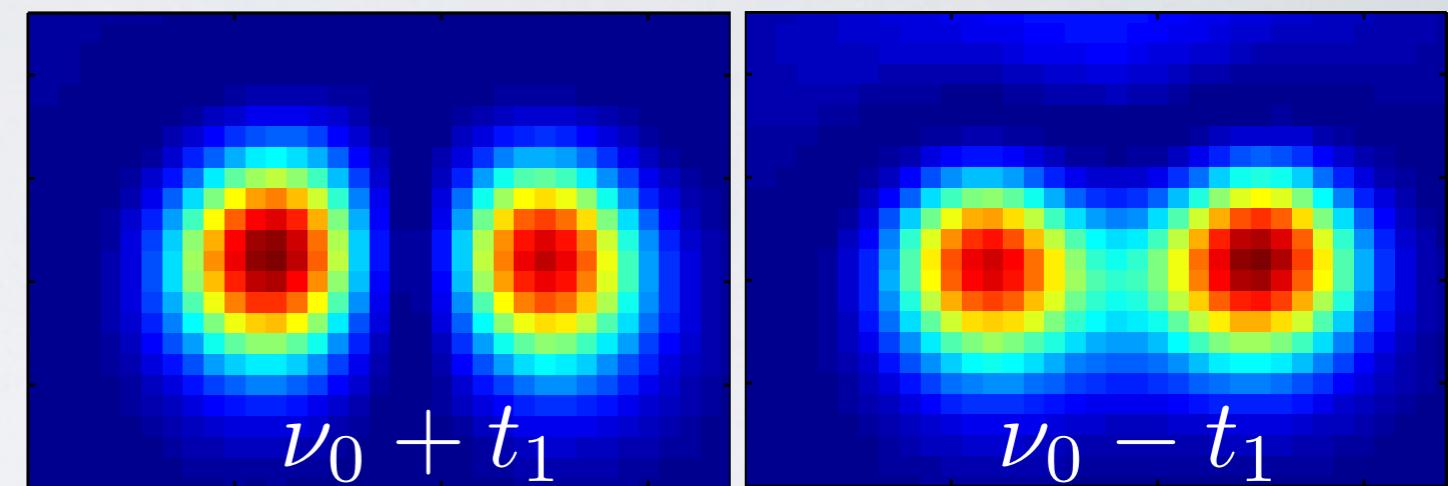
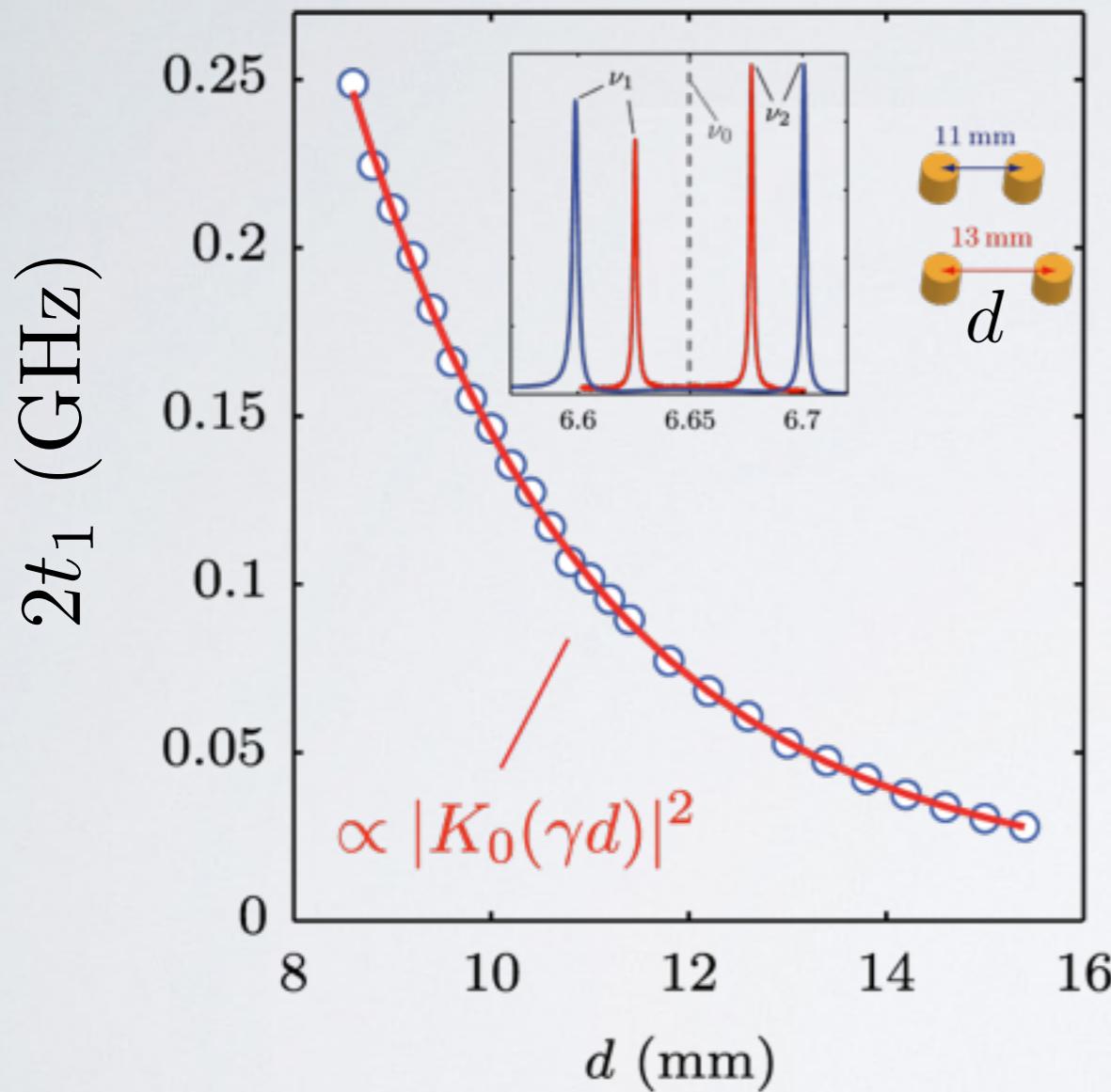
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- TE<sub>1</sub> Mie resonance  
@ 6.65 GHz

$$B_z(\vec{r}, z) = B_0 \sin\left(\frac{\pi}{h}z\right) \times \begin{cases} J_0(\gamma_j \vec{r}) \\ \alpha K_0(\gamma_k \vec{r}) \end{cases}$$

# Tight-binding coupling



$$H(d) = \begin{pmatrix} \nu_0 & -t_1(d) \\ -t_1(d) & \nu_0 \end{pmatrix}$$

$$t_1(d) \propto -|K_0(d/2\ell)|^2$$

$$\ell \simeq 3 \text{ mm}$$

Buildings blocks of artificial molecules, (quasi-)crystals, disordered lattices... (well controlled metamaterials)

# LDoS & eigenstates

A direct access to the density of states and intensity of the eigenstates through:

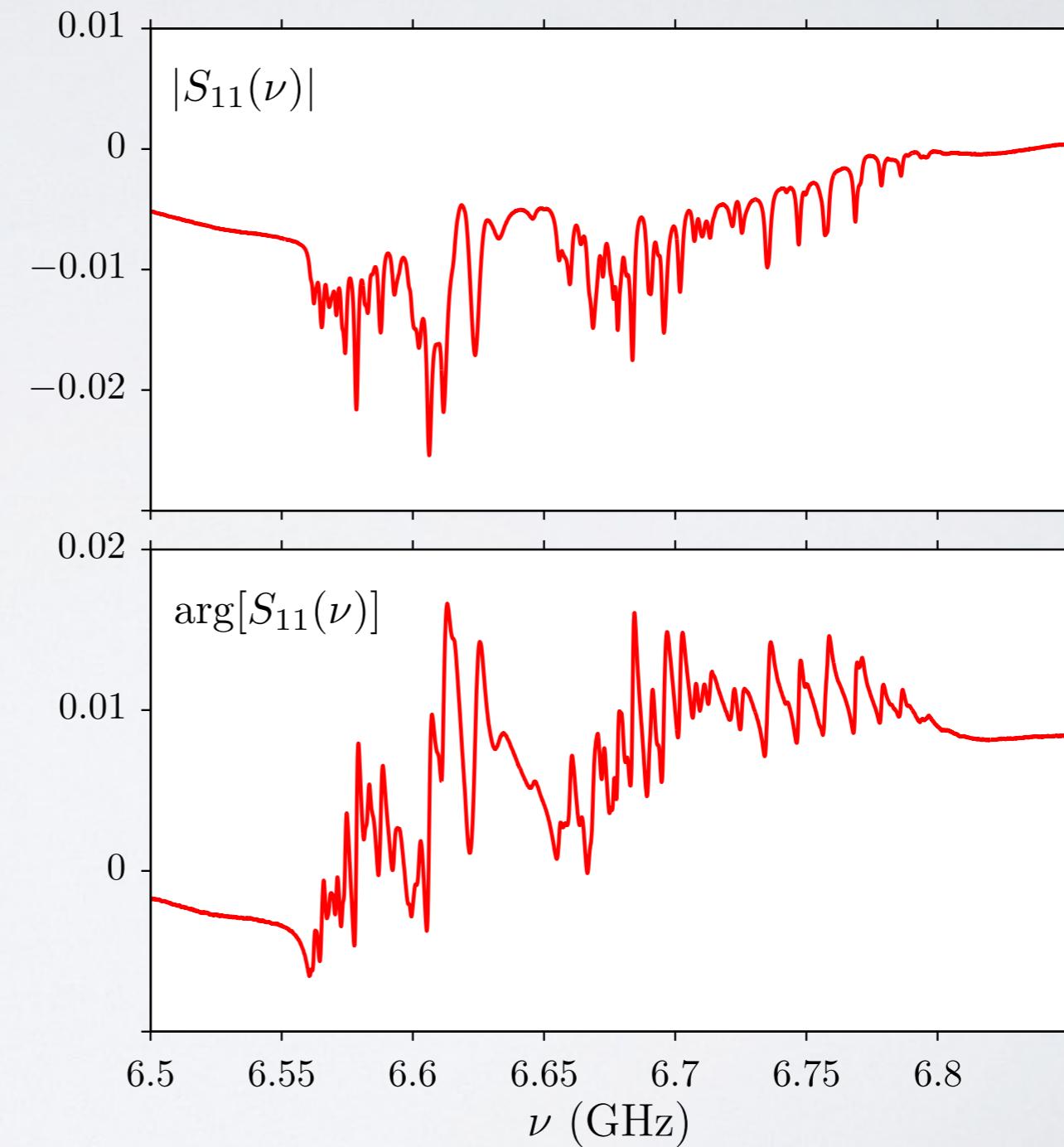
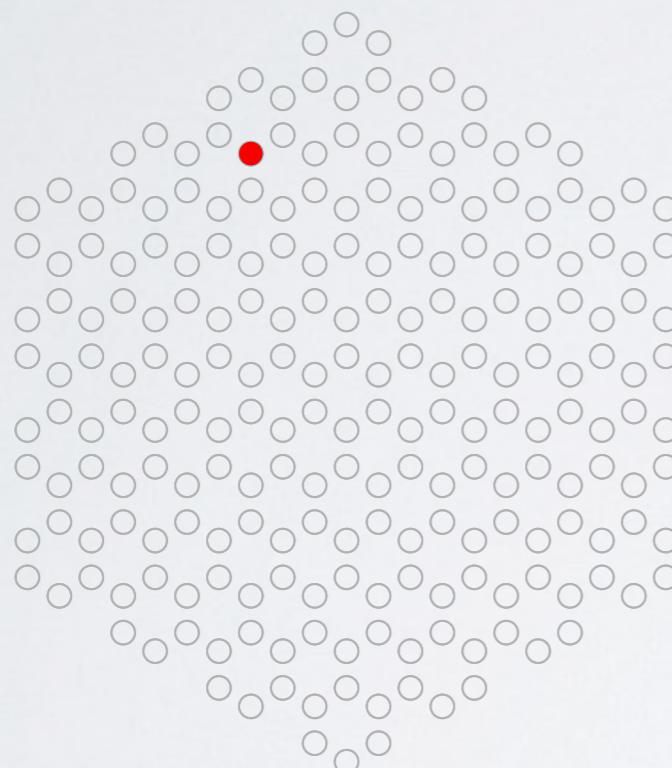
$$g(\mathbf{r}_1, \nu) = |S_{11}(\nu)|^2 \varphi'_{11}(\nu) \quad \arg [S_{11}(\nu)] = \varphi_{11}(\nu)$$

$$g(\mathbf{r}_1, \nu) \simeq \frac{\sigma}{\Gamma} \sum_n |\Psi_n(\mathbf{r}_1)|^2 \delta(\nu - \nu_n)$$

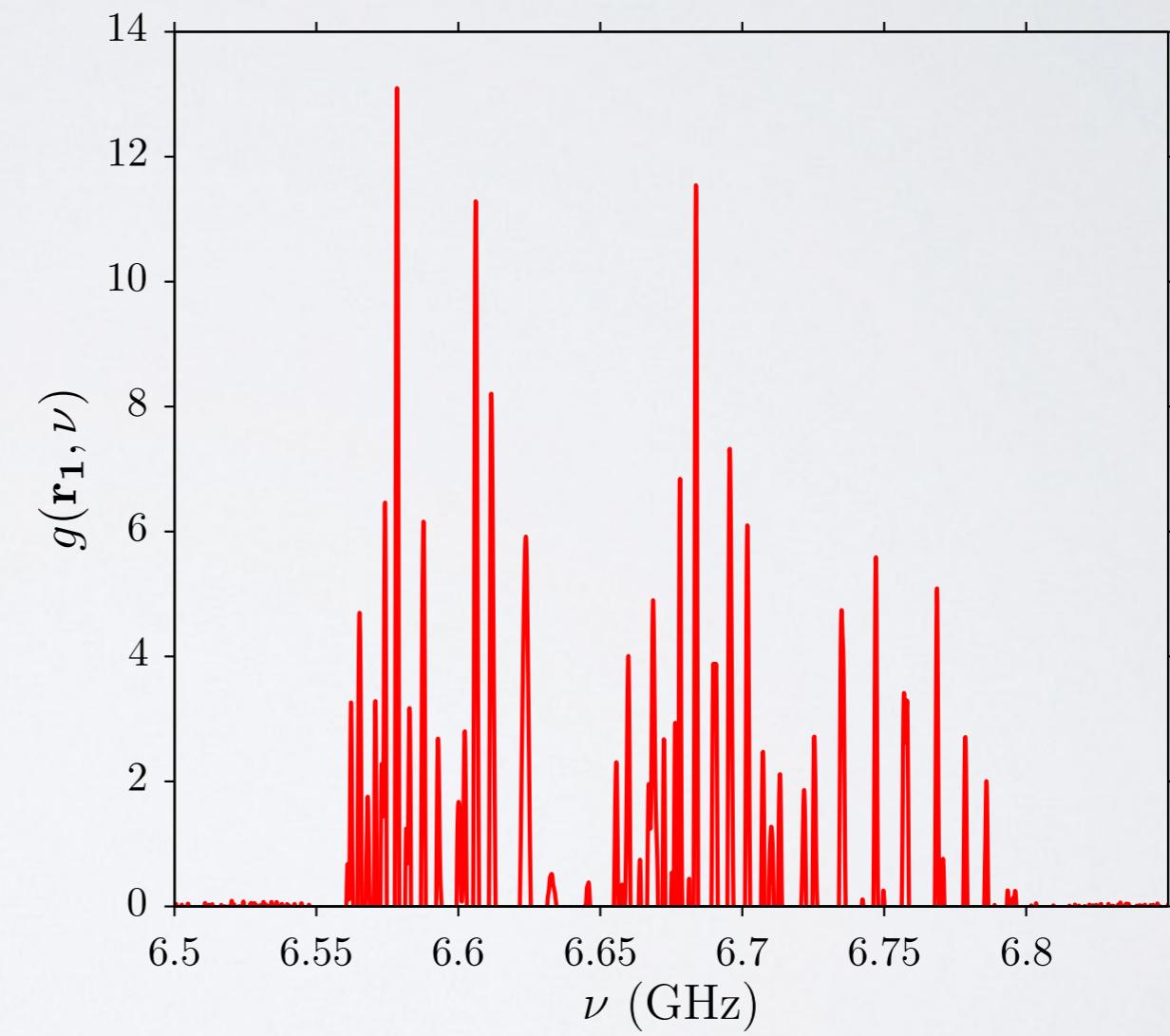
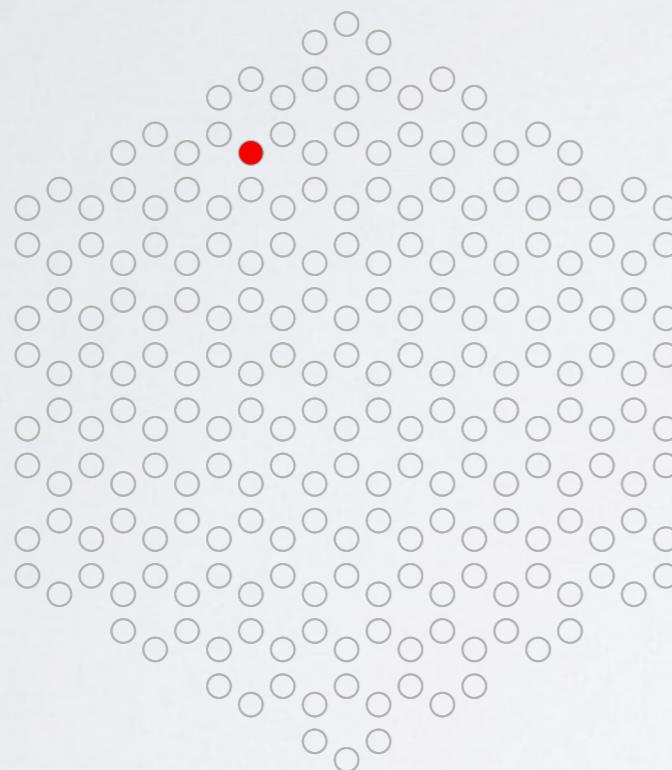
Local Density of States, DoS by averaging

for a given eigenfrequency: measure the local intensity

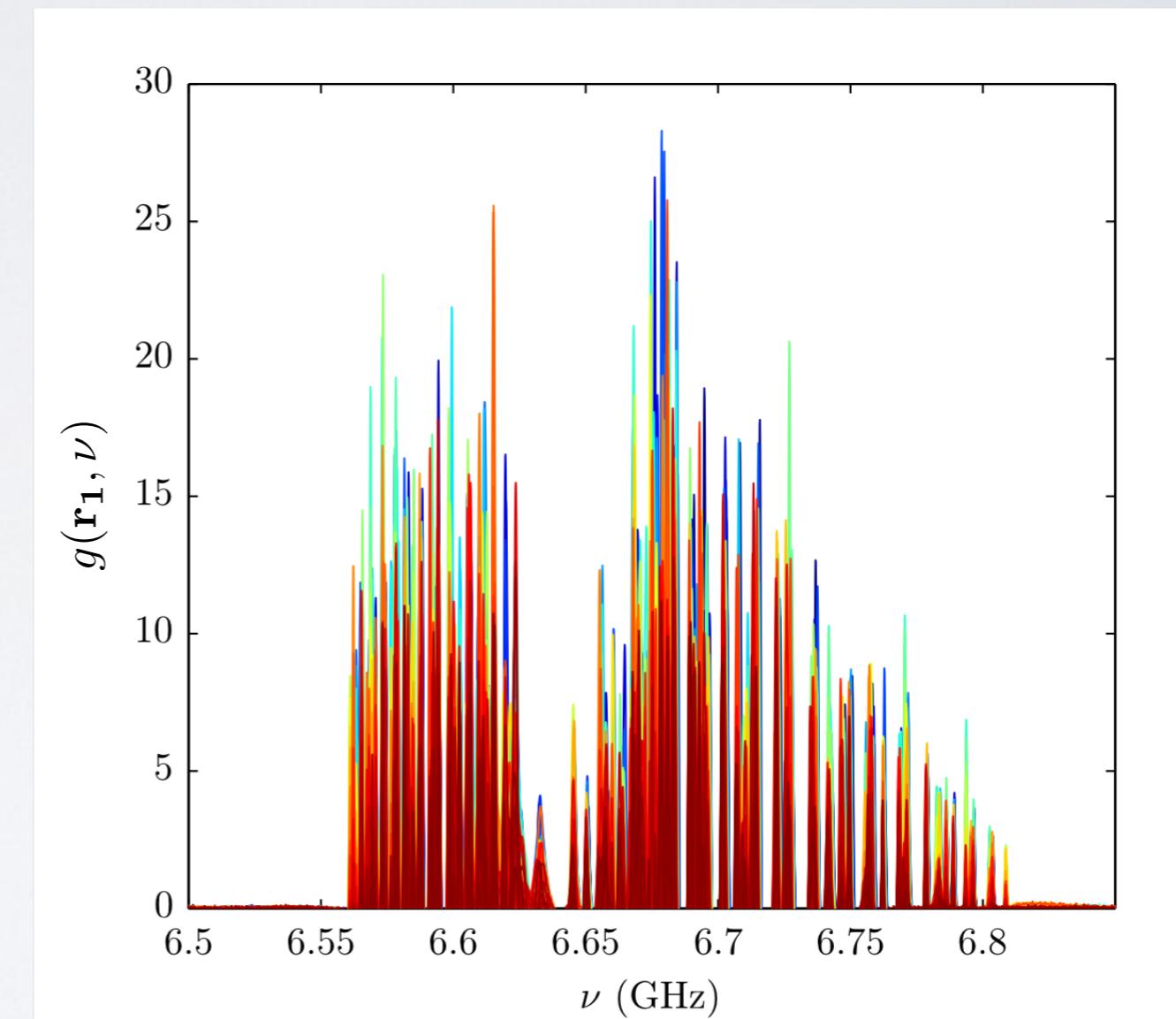
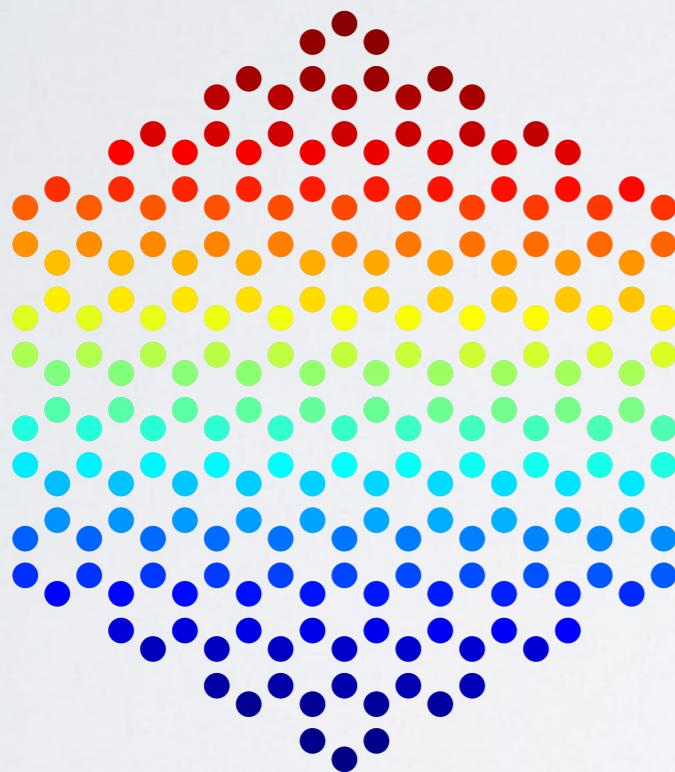
# (Local) Density of States



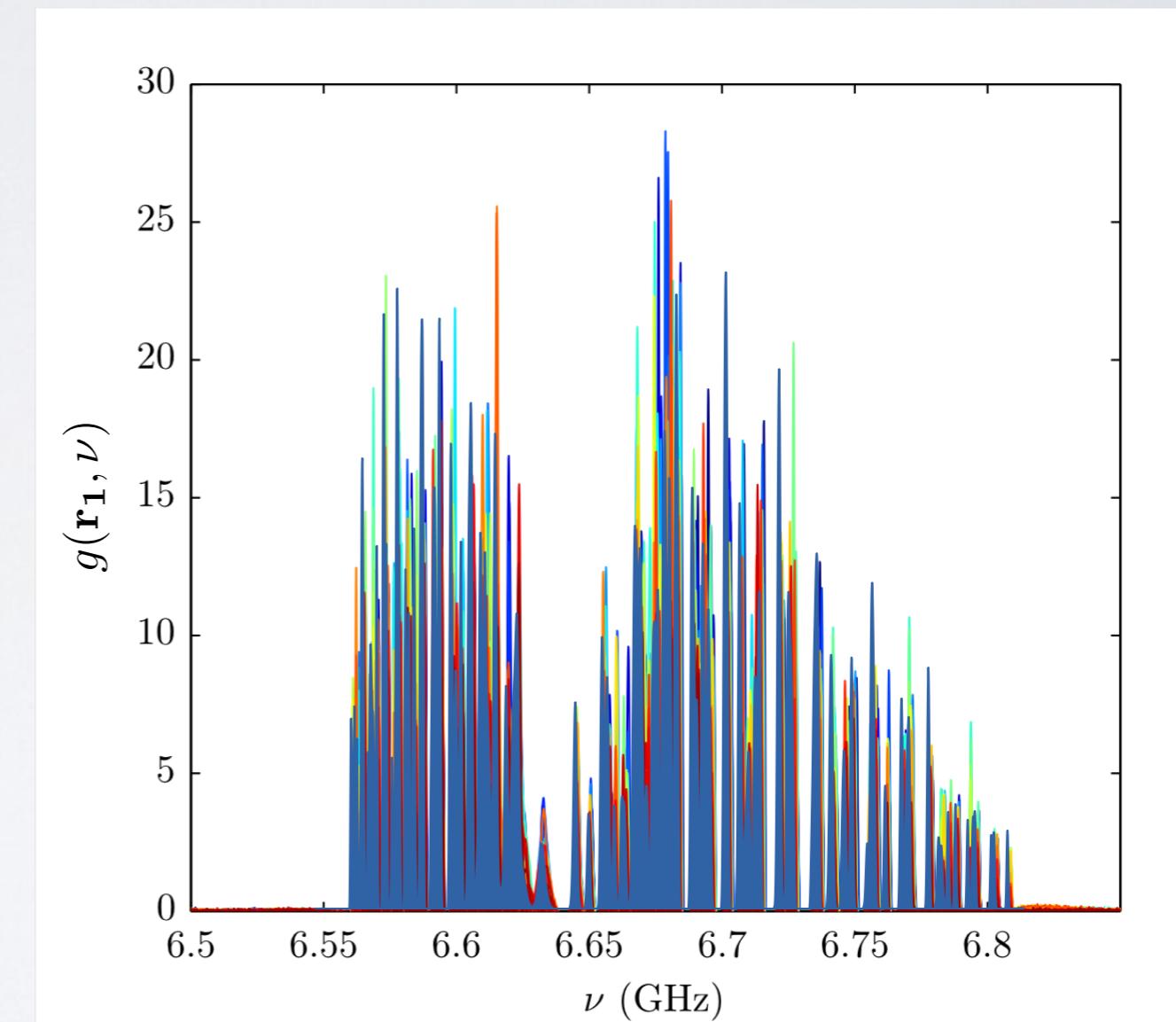
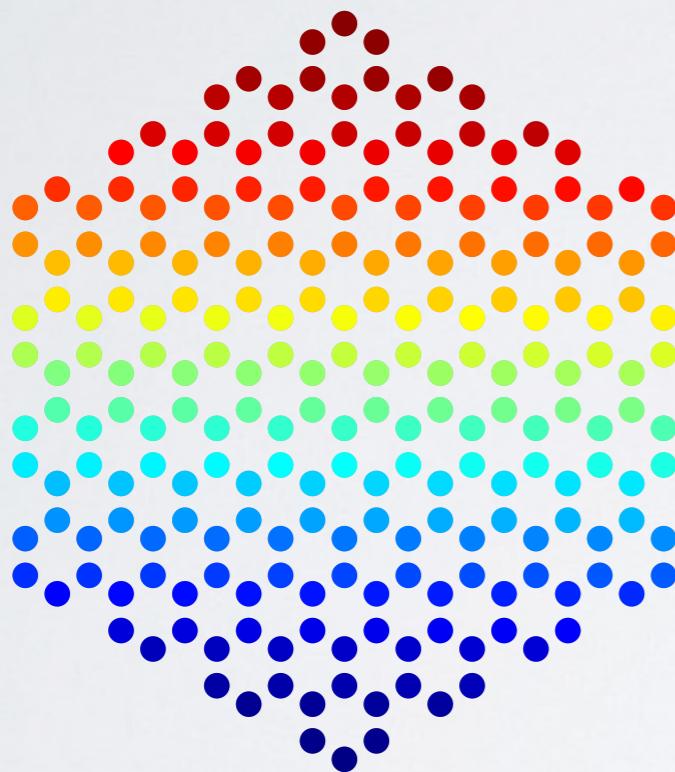
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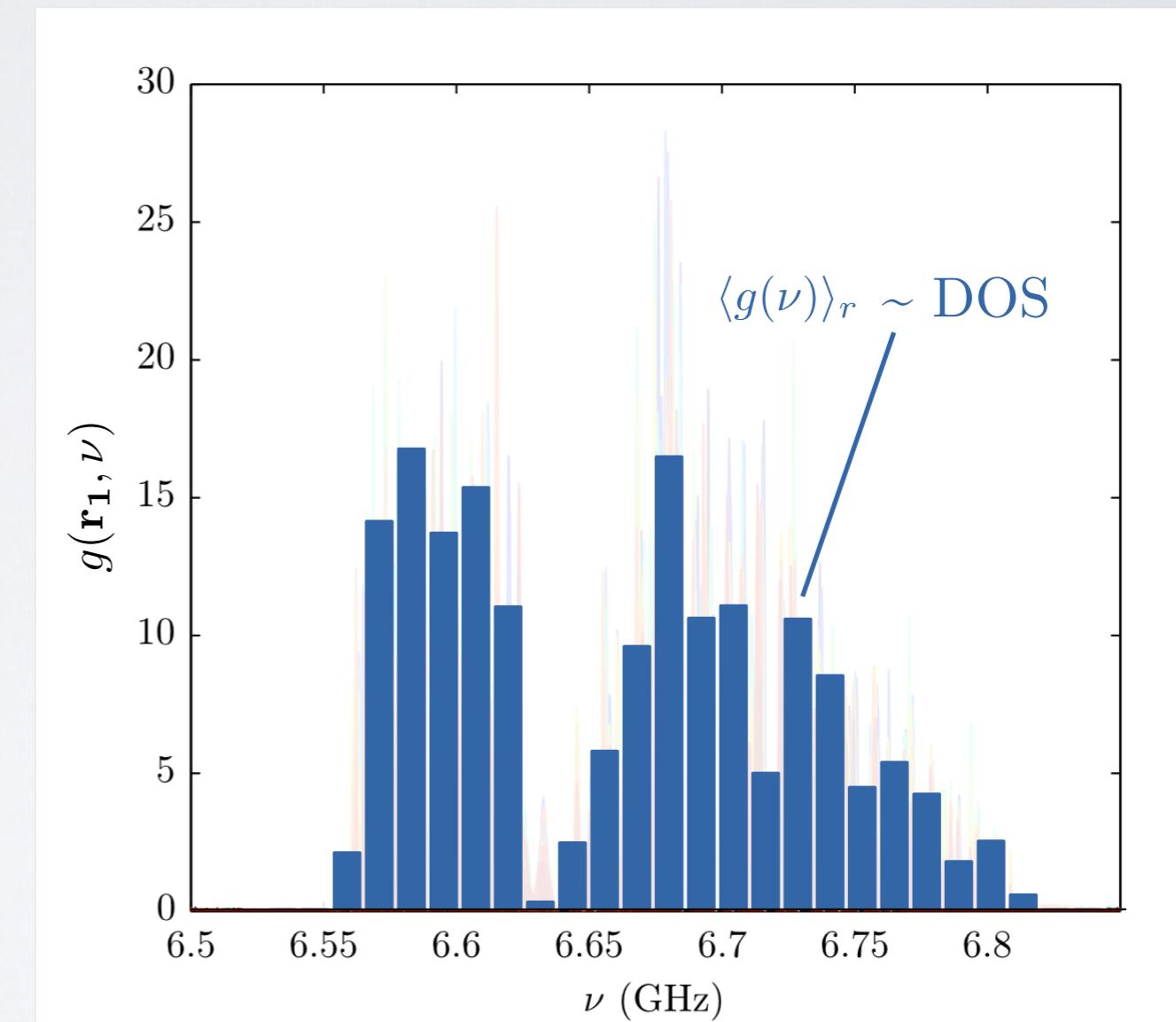
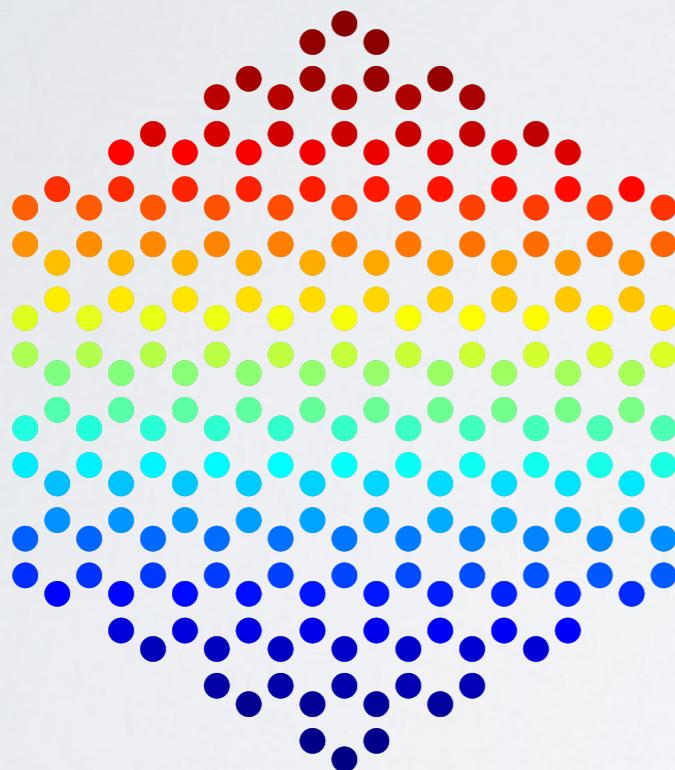
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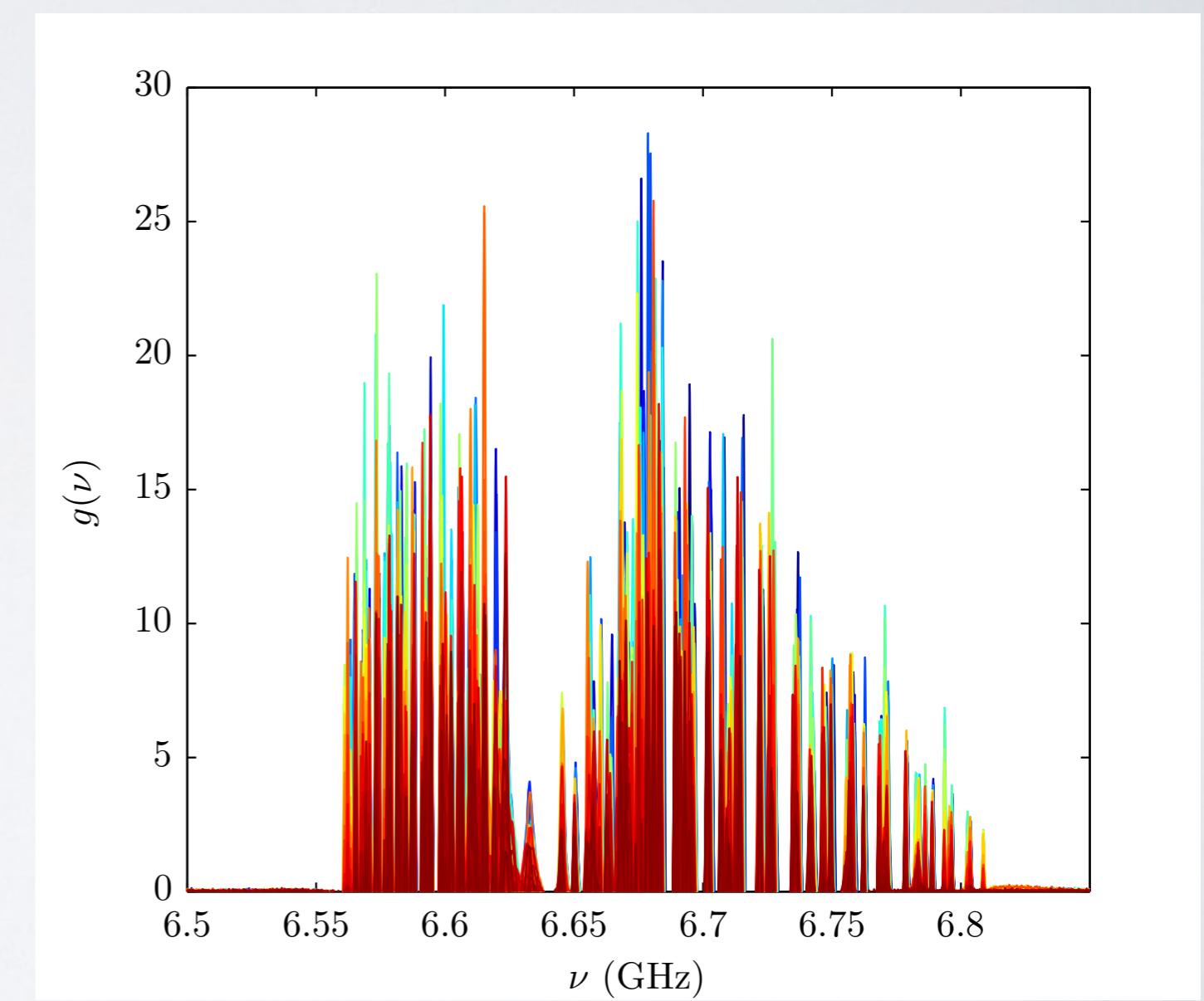
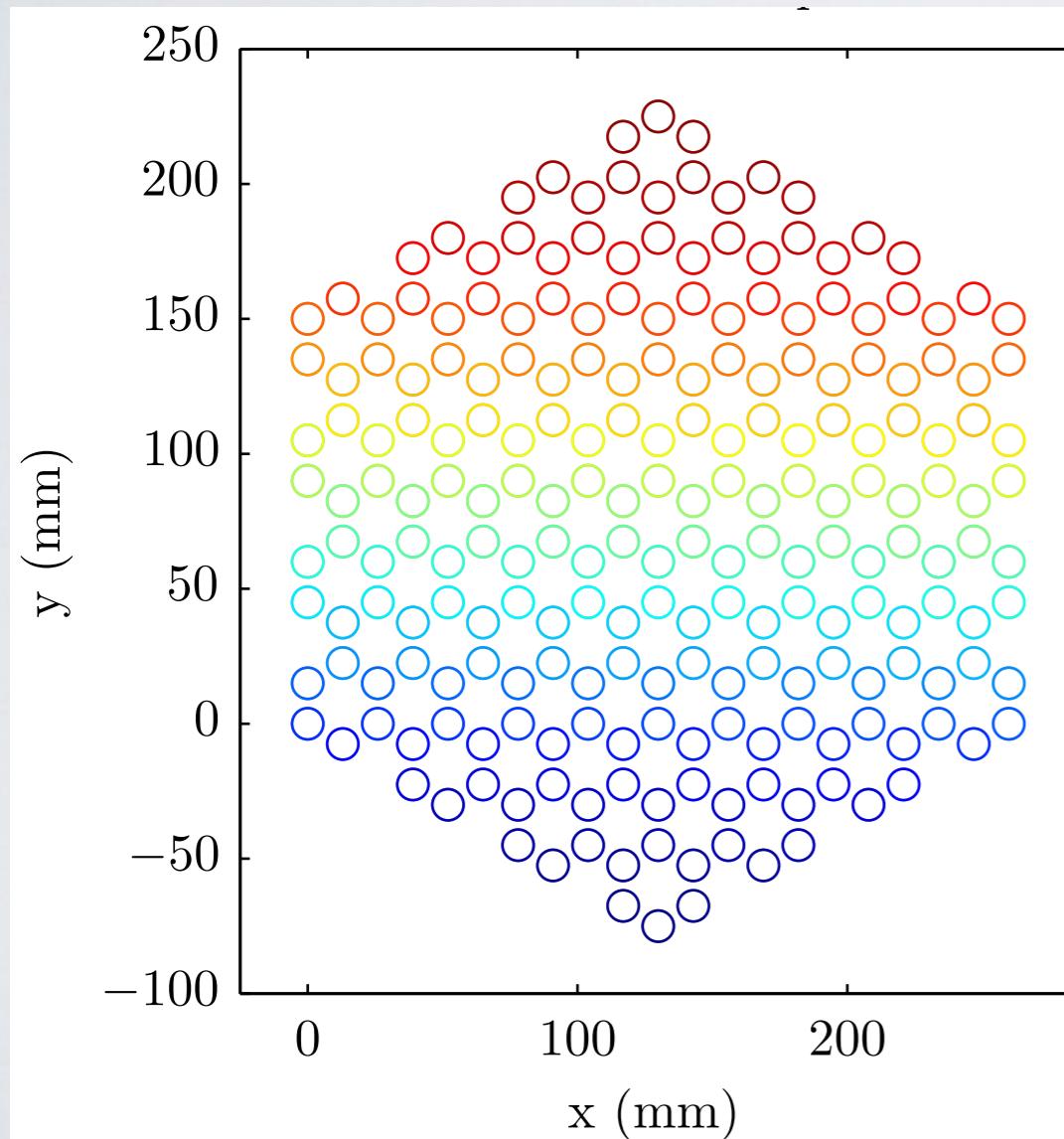
# (Local) Density of States



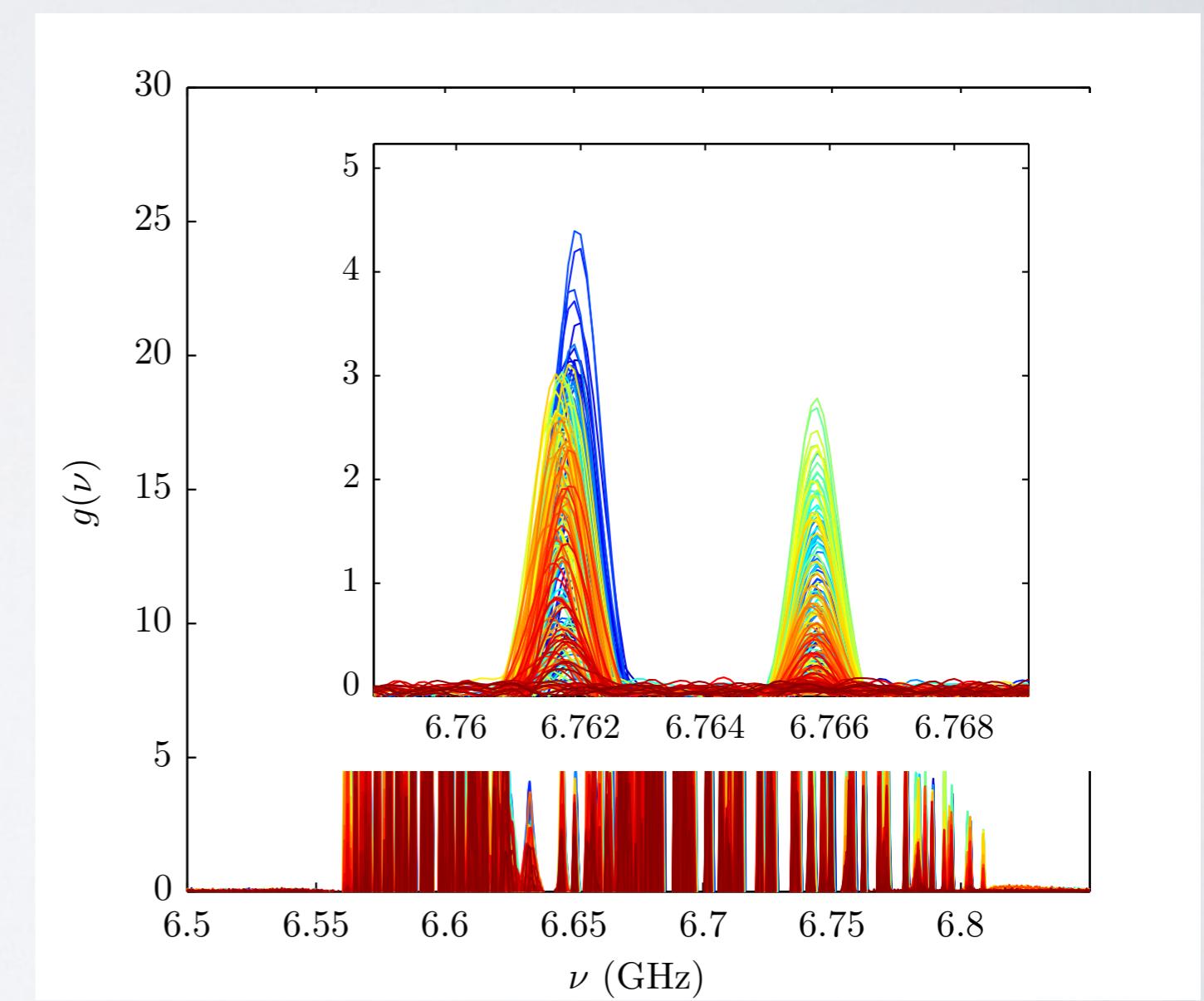
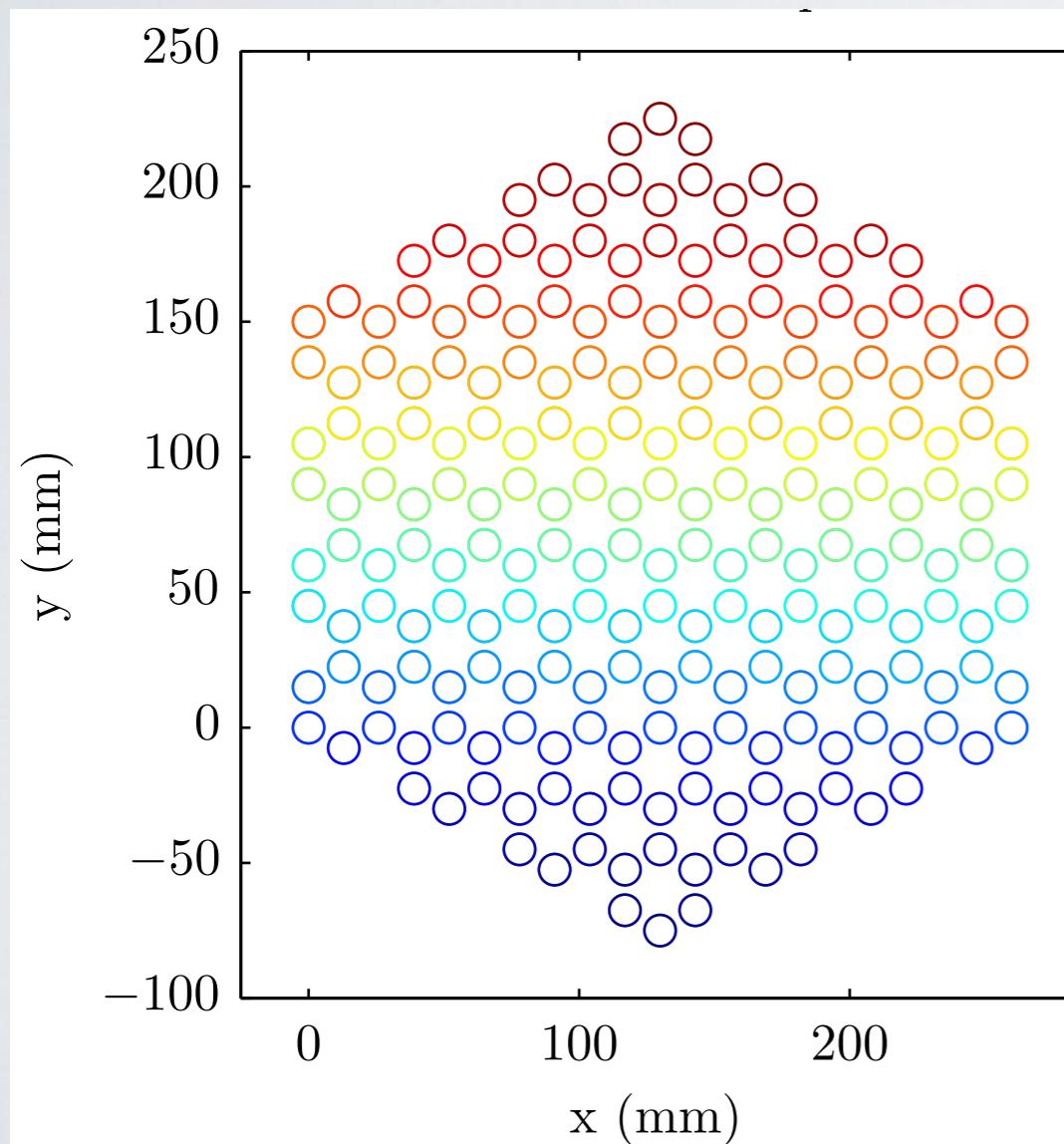
# (Local) Density of States



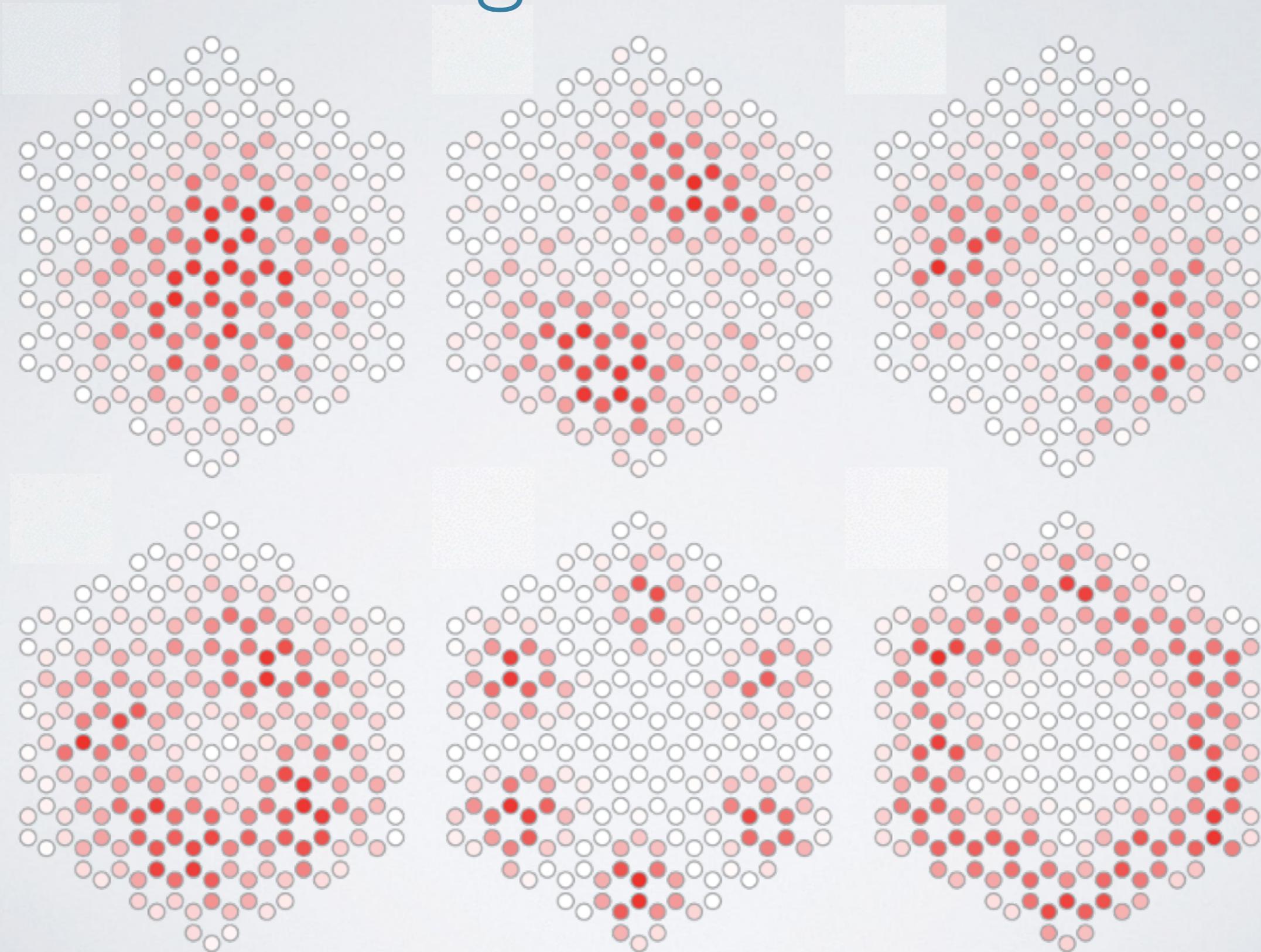
# Eigenstates



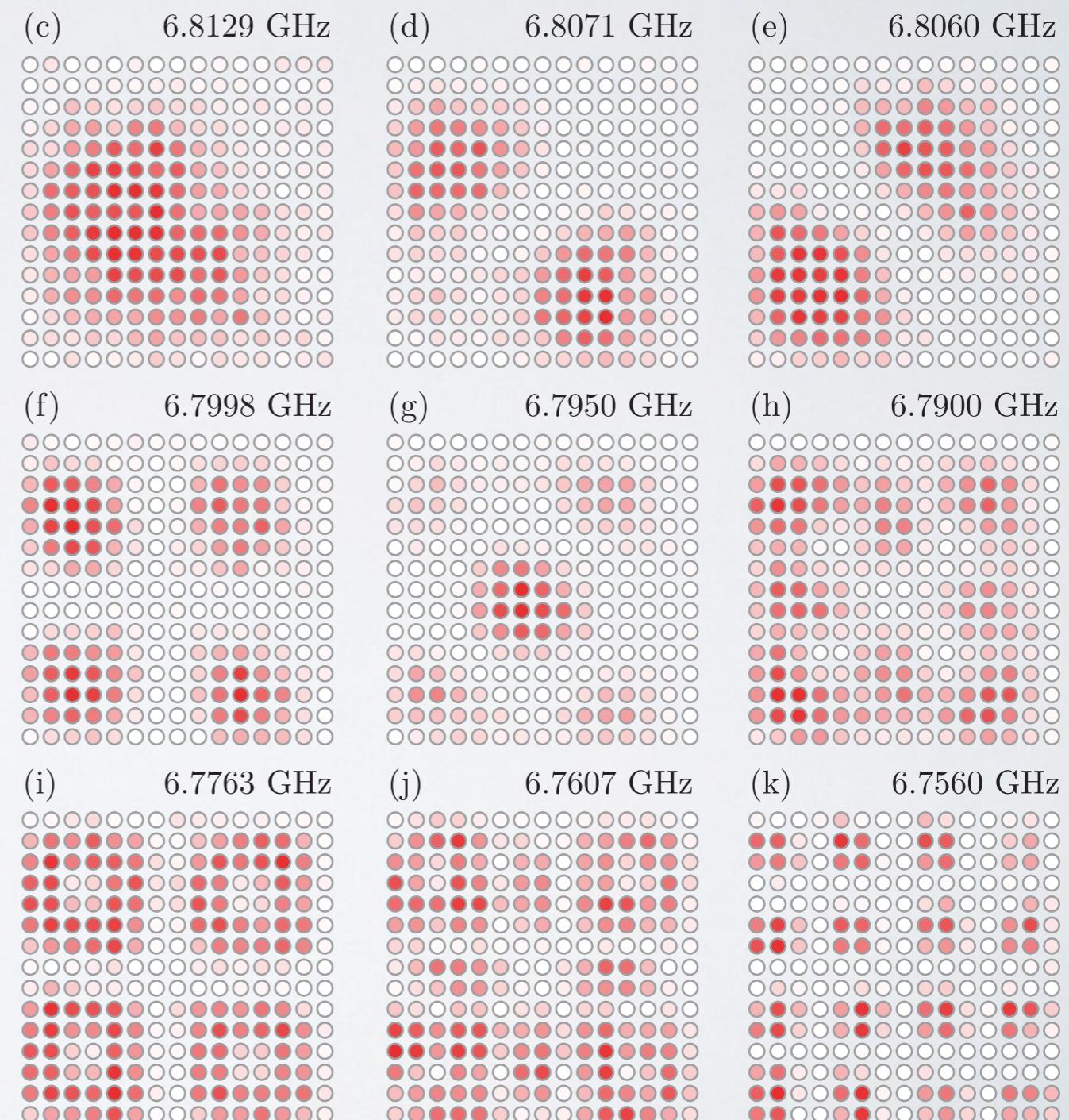
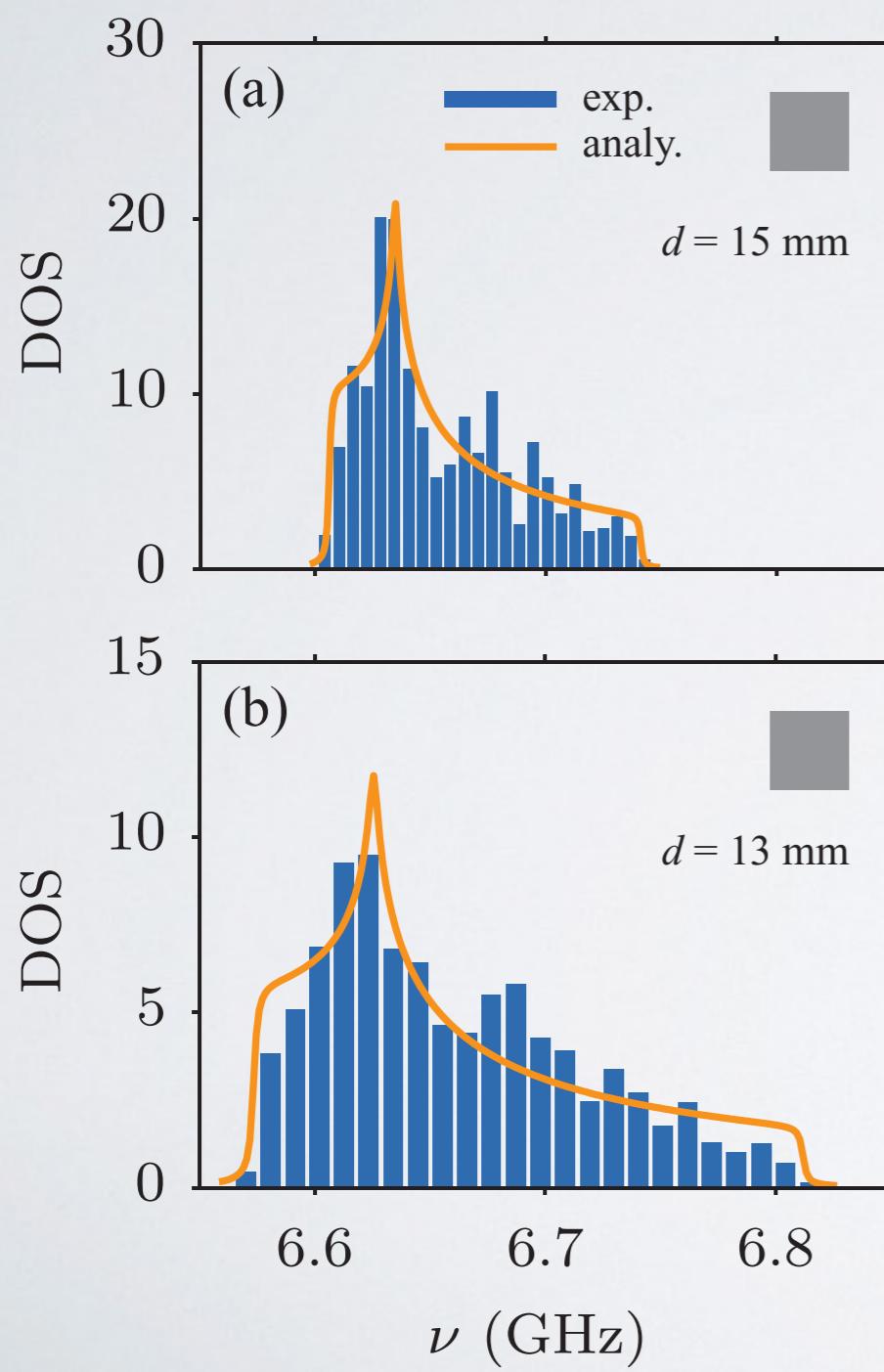
# Eigenstates



# Eigenstates



# A flexible and versatile experimental platform



# Outline

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dielectric resonators, TE mode, evanescent coupling, LDOS & eigenstates

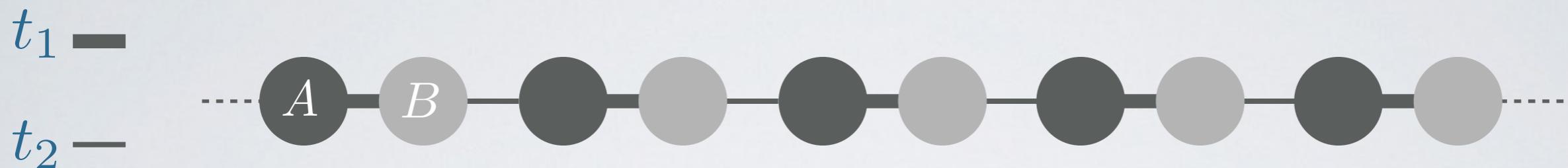
## 2. SSH chain: Control of topological interface states

zero-mode, selective enhancement, non-linear absorption, reflective limiter

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partial symmetry breaking, (not so) flat band, zero-mode, gap labeling (naive picture)

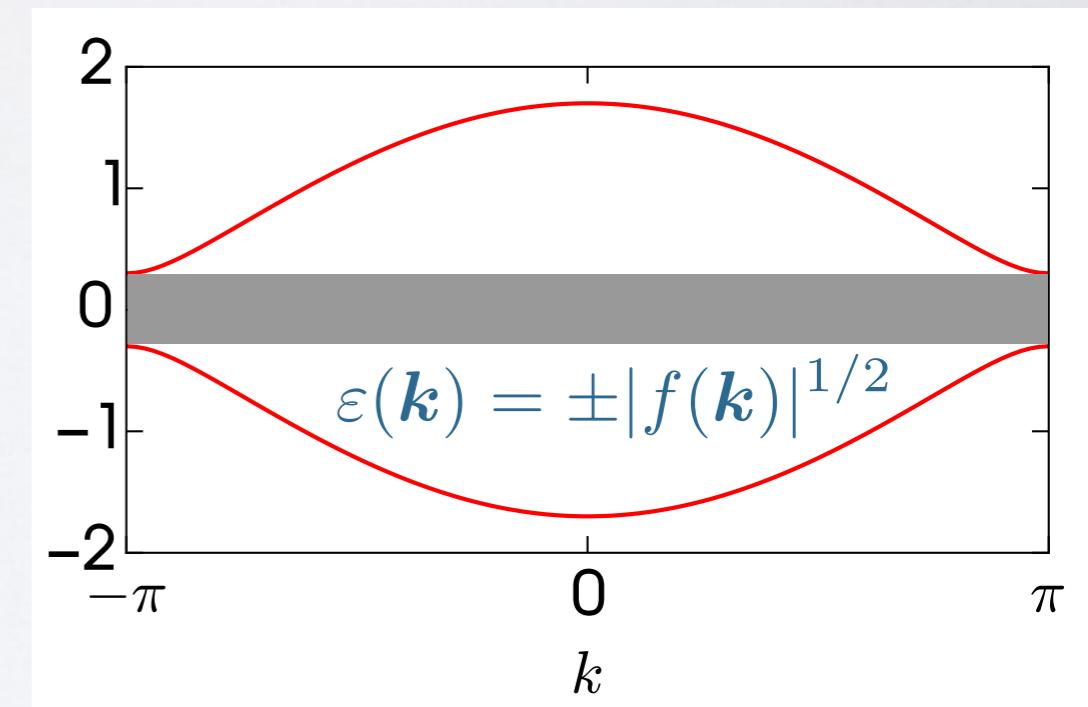
# The simplest topological system



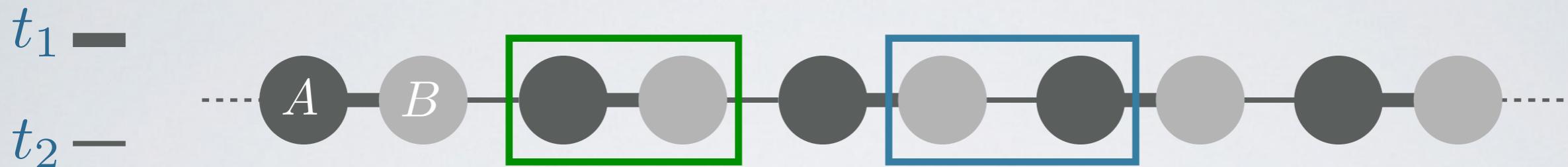
Bloch Hamiltonian:  $\mathcal{H}_k = \begin{pmatrix} 0 & f^*(k) \\ f(k) & 0 \end{pmatrix}$

Eigenstates:  $\psi_k^\pm(\mathbf{r}) = \frac{1}{\sqrt{2}} \begin{pmatrix} \pm 1 \\ e^{ik\cdot r} \end{pmatrix} e^{ik\cdot r}$

Topological quantity:  $\phi_k = \arg[f(k)]$



# The simplest topological system



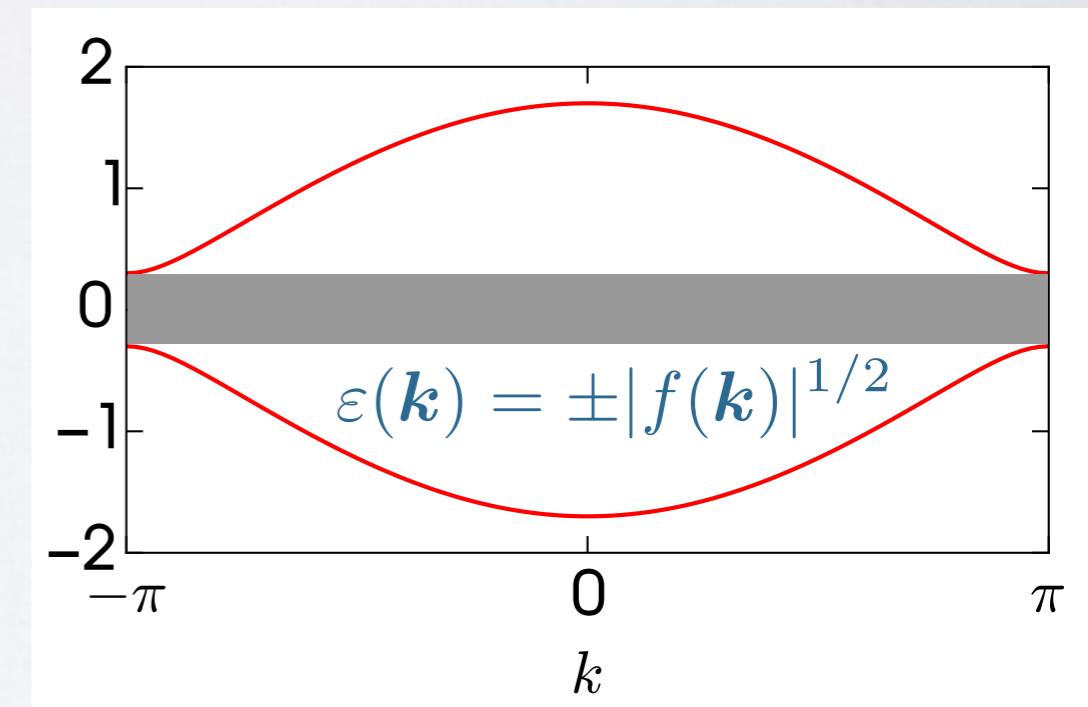
$$f_\alpha(\mathbf{k}) = t_1 + t_2 e^{i\mathbf{k}}$$

$$f_\beta(\mathbf{k}) = t_2 + t_1 e^{i\mathbf{k}}$$

Bloch Hamiltonian:  $\mathcal{H}_\mathbf{k} = \begin{pmatrix} 0 & f^*(\mathbf{k}) \\ f(\mathbf{k}) & 0 \end{pmatrix}$

Eigenstates:  $\psi_\mathbf{k}^\pm(\mathbf{r}) = \frac{1}{\sqrt{2}} \begin{pmatrix} \pm 1 \\ e^{i\phi_\mathbf{k}} \end{pmatrix} e^{i\mathbf{k}\cdot\mathbf{r}}$

Topological quantity:  $\phi_\mathbf{k} = \arg[f(\mathbf{k})]$

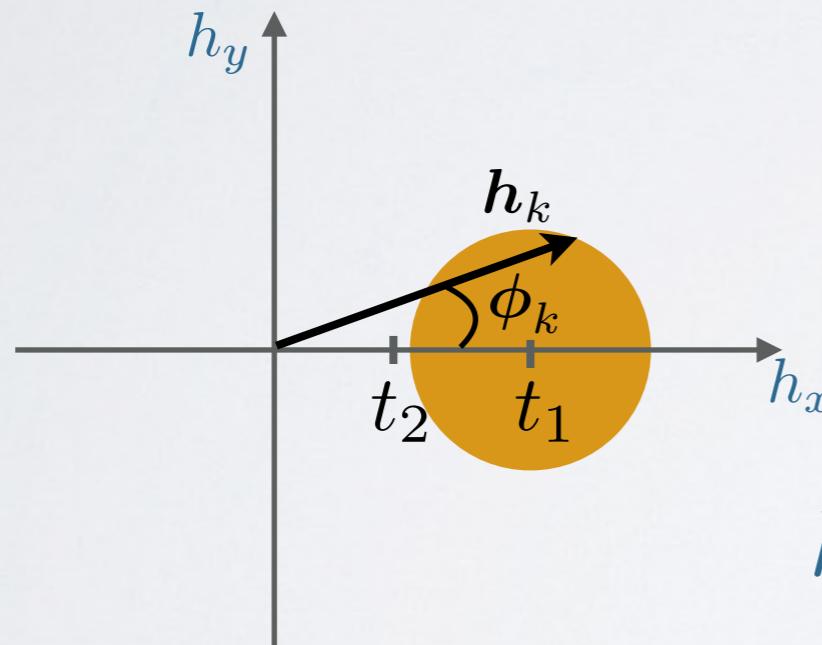


# Two topological phases

$$\mathcal{H}_{\alpha,\beta;\mathbf{k}} = \mathbf{h}_{\alpha,\beta} \cdot \boldsymbol{\sigma} \quad \text{with} \quad \mathbf{h}_\alpha = \begin{pmatrix} t_1 + t_2 \cos(k) \\ t_2 \sin(k) \\ 0 \end{pmatrix} \quad \mathbf{h}_\beta = \begin{pmatrix} t_2 + t_1 \cos(k) \\ t_1 \sin(k) \\ 0 \end{pmatrix}$$

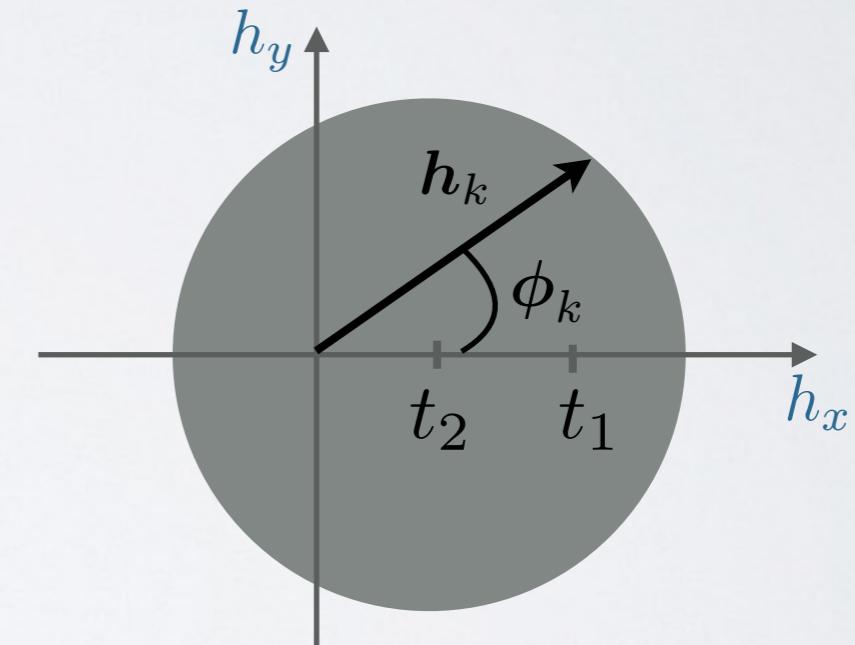
$$(\alpha) : (h_x - t_1)^2 + h_y^2 = t_2^2$$

$$(\beta) : (h_x - t_2)^2 + h_y^2 = t_1^2$$



$$k \in [-\pi, \pi]$$

winding number = 0,  $\mathcal{Z} = 0$



winding number = 1,  $\mathcal{Z} = \pi$

Zak phase corresponds to the Berry phase accumulated by the wavefunction along a path exploring the Brillouin zone.

# Topological interface state

In a semi-infinite system, the existence of **edge states** is determined by the topological property of the **bulk wavefunction**:



$$\mathcal{Z}_\alpha = 0 \Rightarrow \text{no edge state}$$



$$\mathcal{Z}_\beta = \pi \Rightarrow \text{edge state}$$

Interface between 2 distinct topological phases:

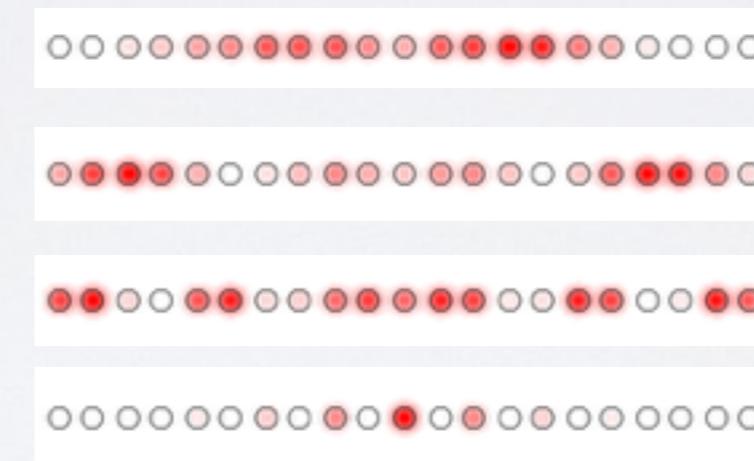
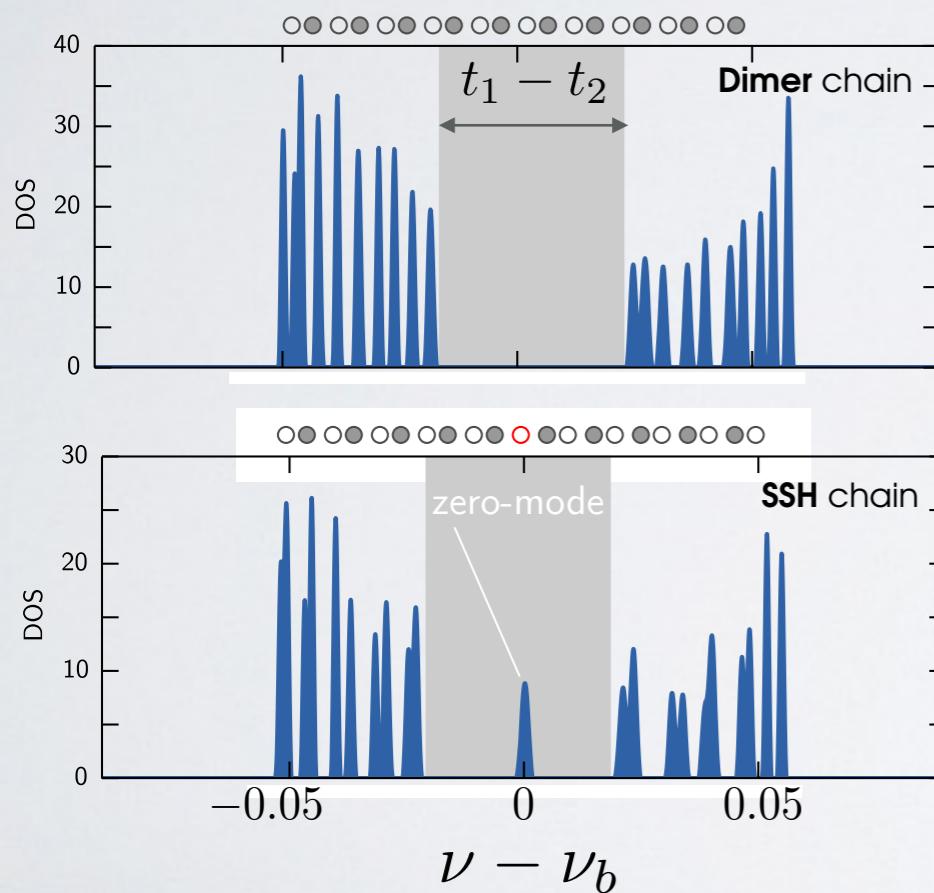
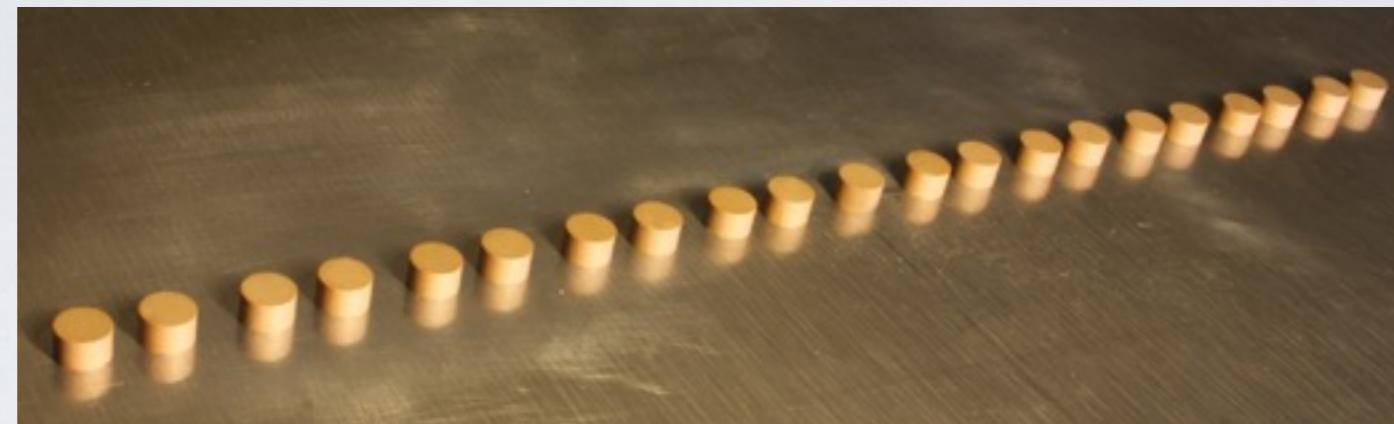
$$\mathcal{Z}_\alpha = 0$$



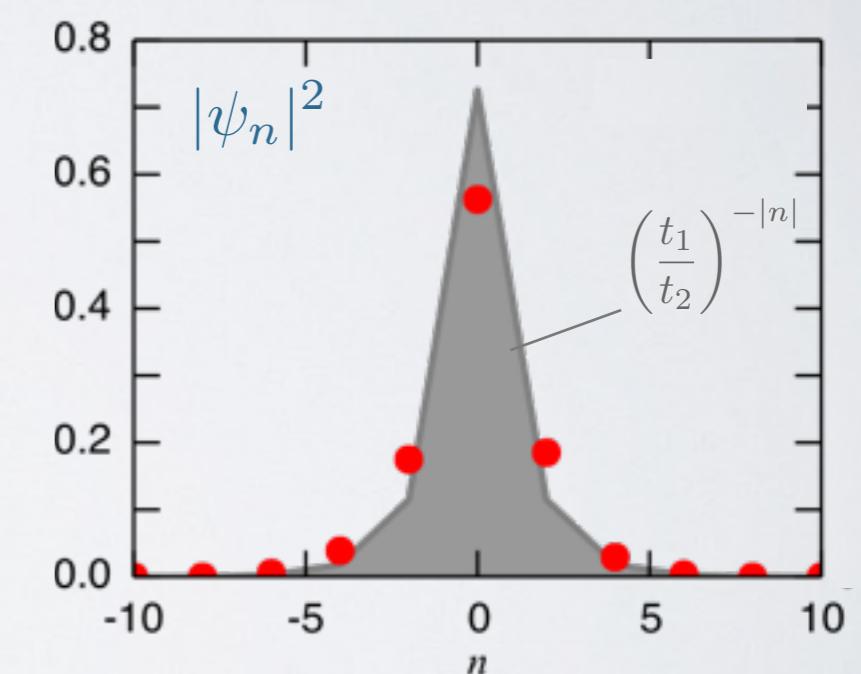
$$\mathcal{Z}_\beta = \pi$$

mid-gap topological interface state (zero-mode)

# Microwaves realization

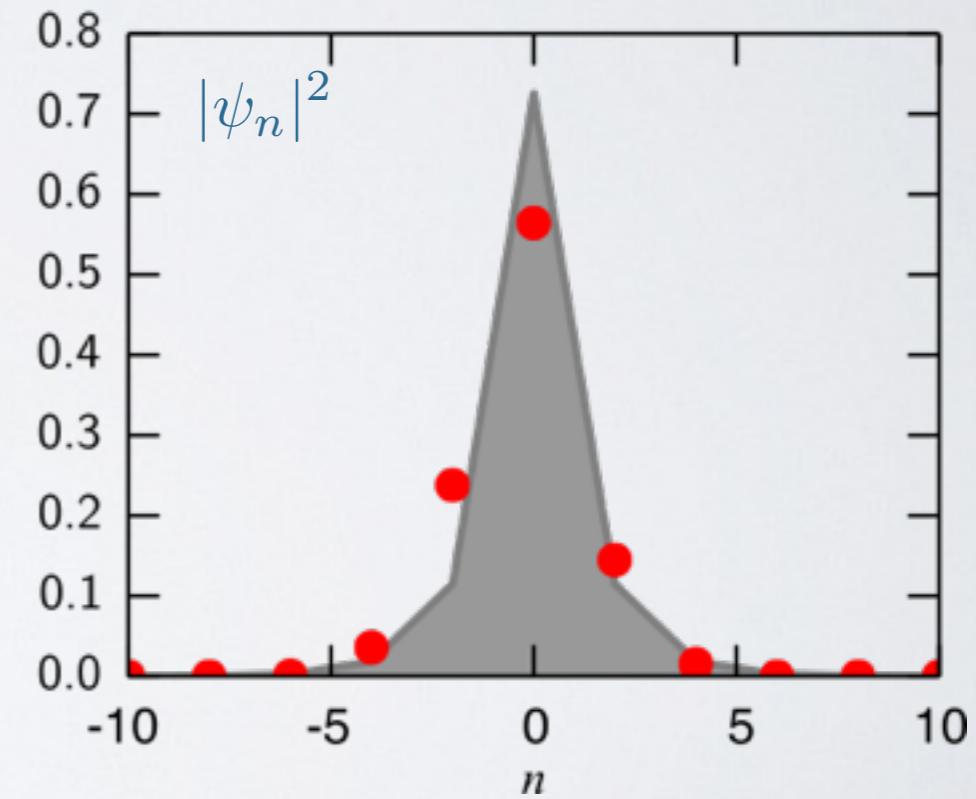
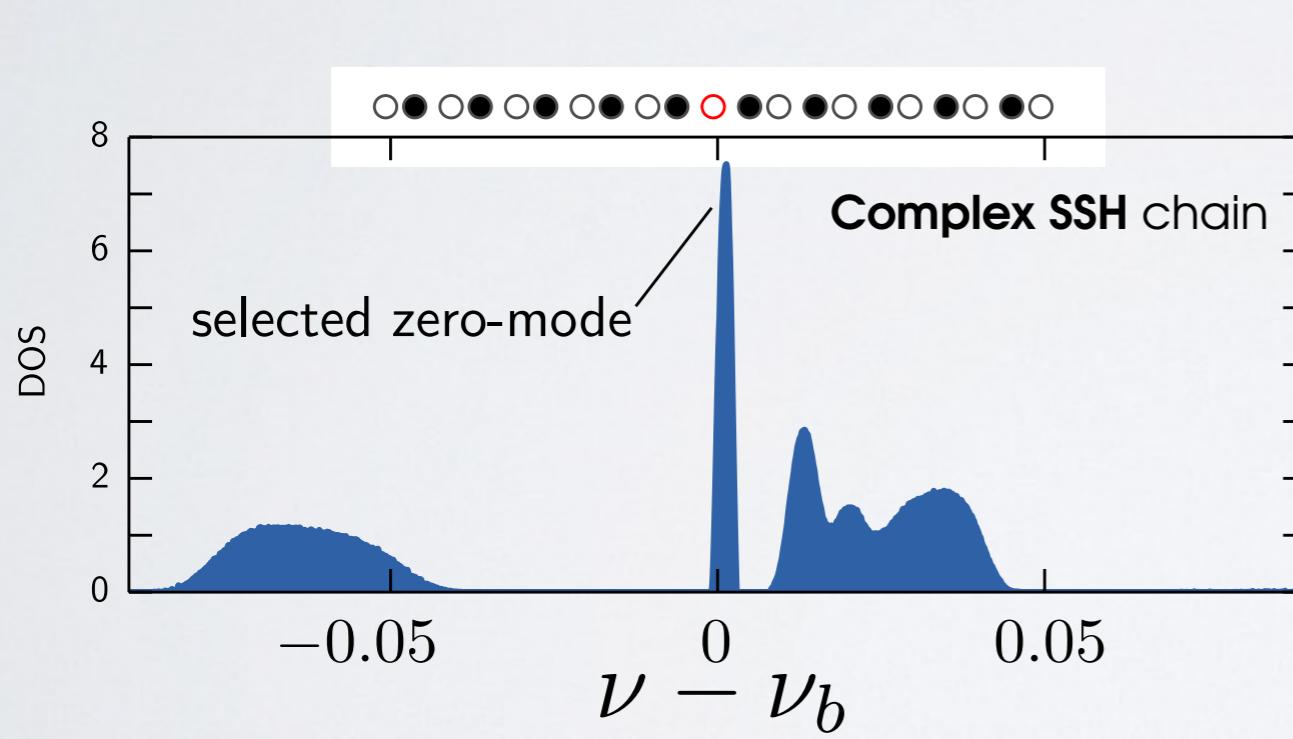
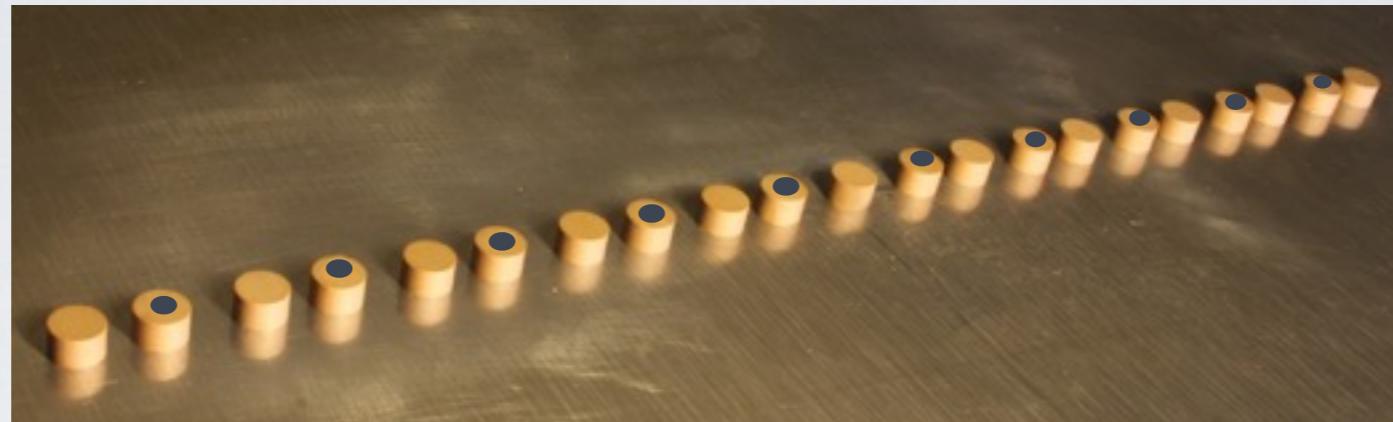


$$|\psi_n|^2$$



- the defect breaks the sublattice (chiral) symmetry
- the interface state is spectrally protected and spatially confined

# Selective enhancement by losses

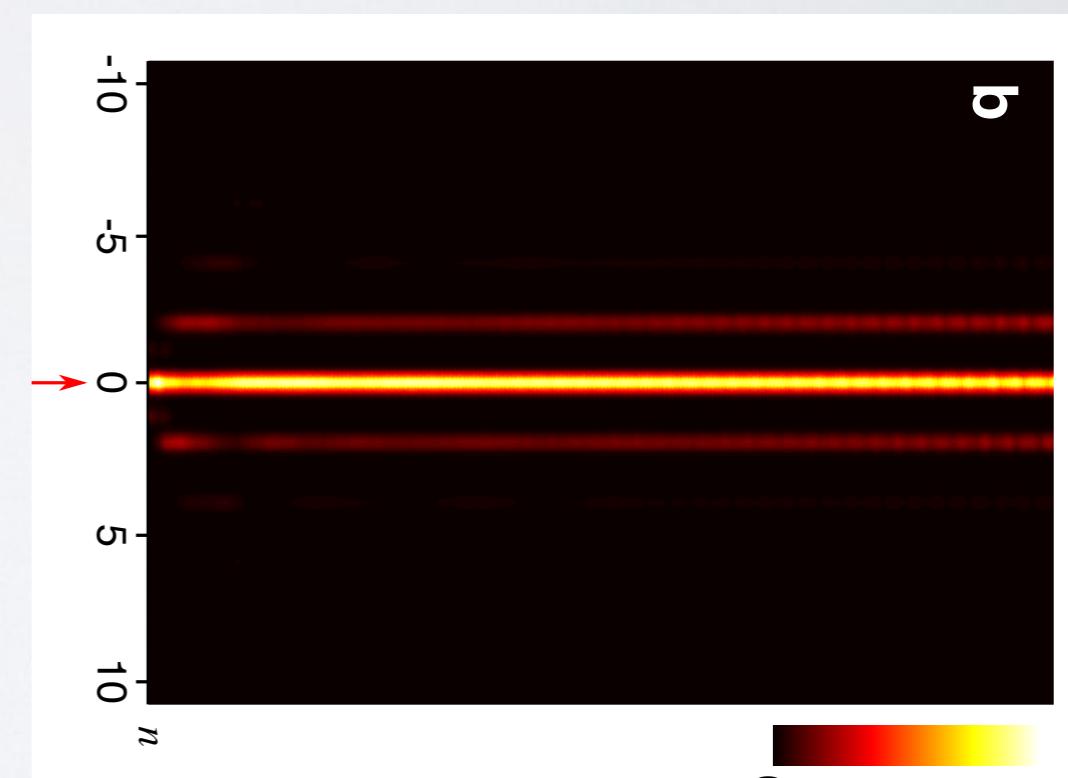
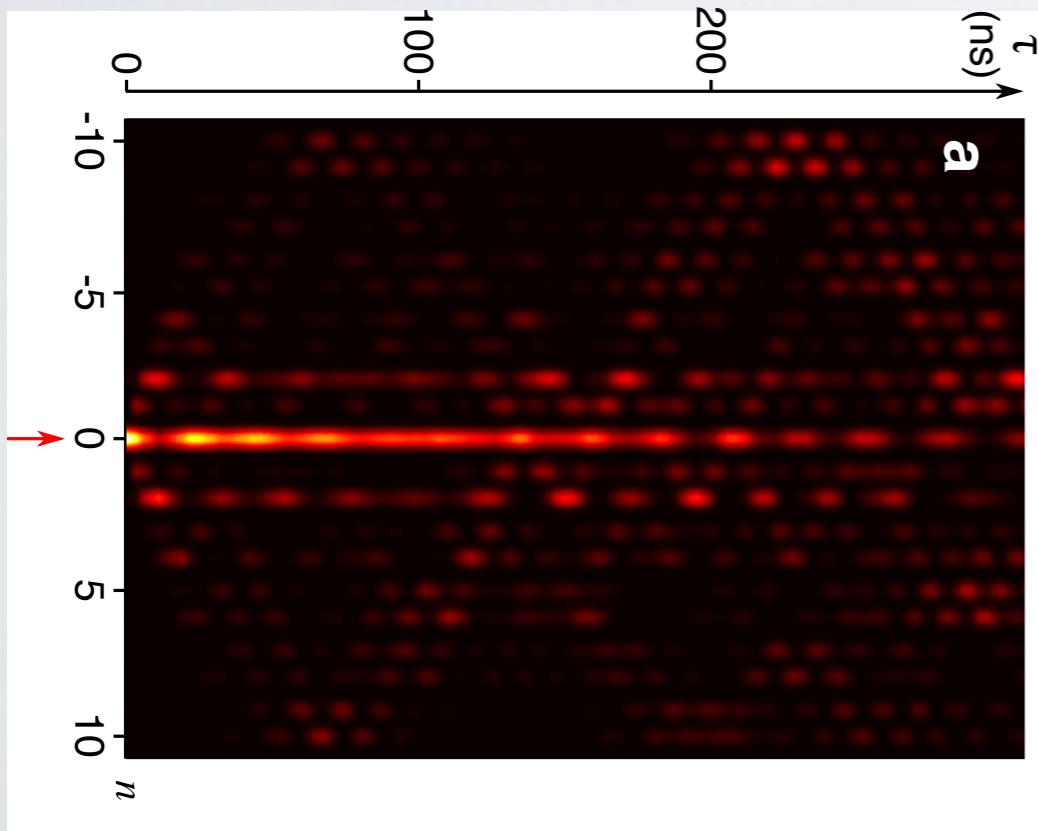
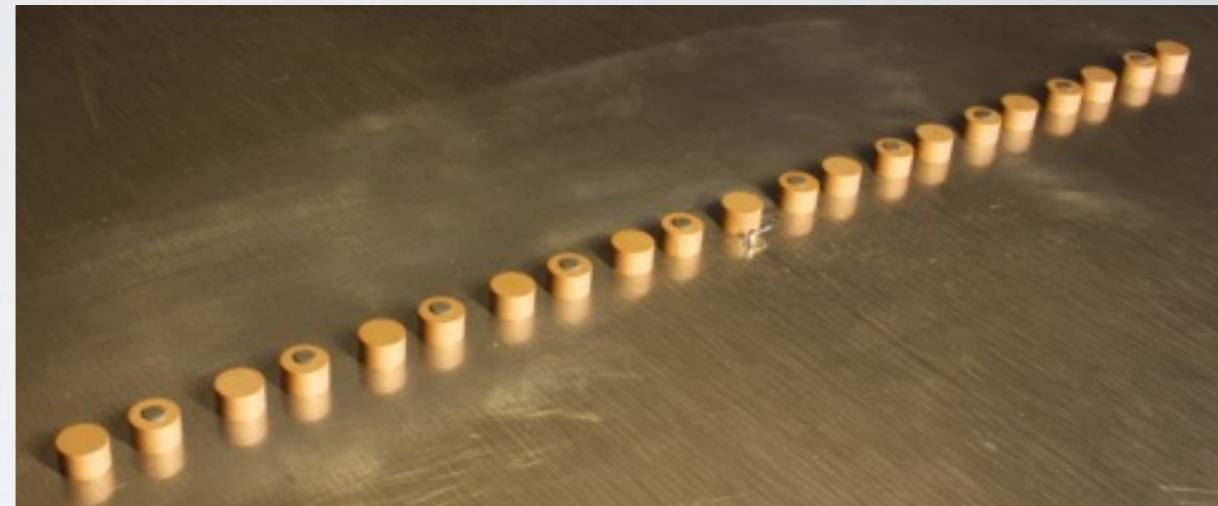


- losses on the B-sublattice through elastomer patches breaks T-symmetry
- the topological state is spectrally and spatially unaffected

# Loss-assisted propagation

transmissions between the defect resonator and all the others :

$$S_{12}(\vec{r}_i, \vec{r}_d; \nu) \xrightarrow{FT} s_i(t)$$



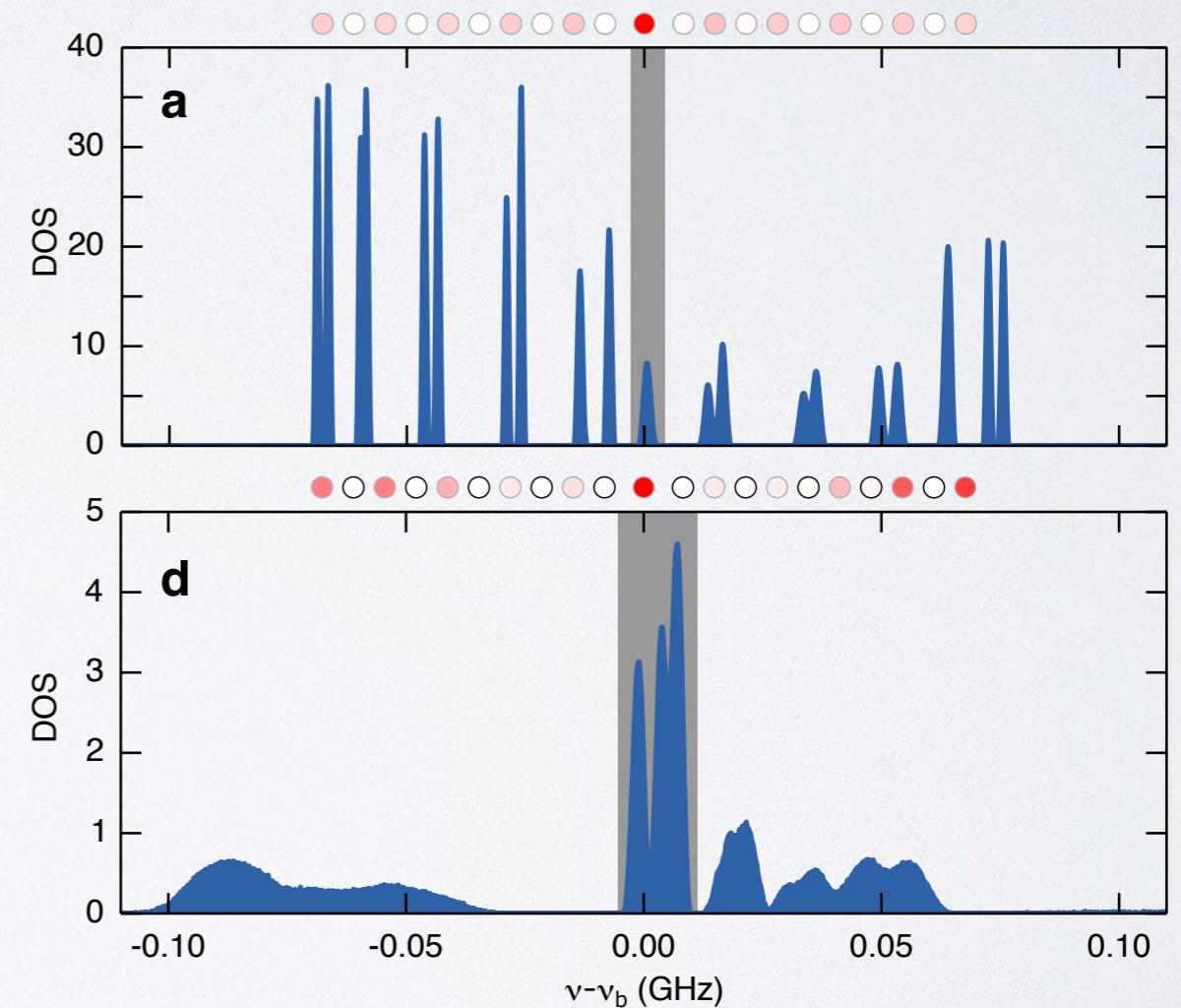
without absorption, diffraction and interferences spoil the propagation

with absorption, the enhanced defect mode dominates the propagation

# Topology is crucial

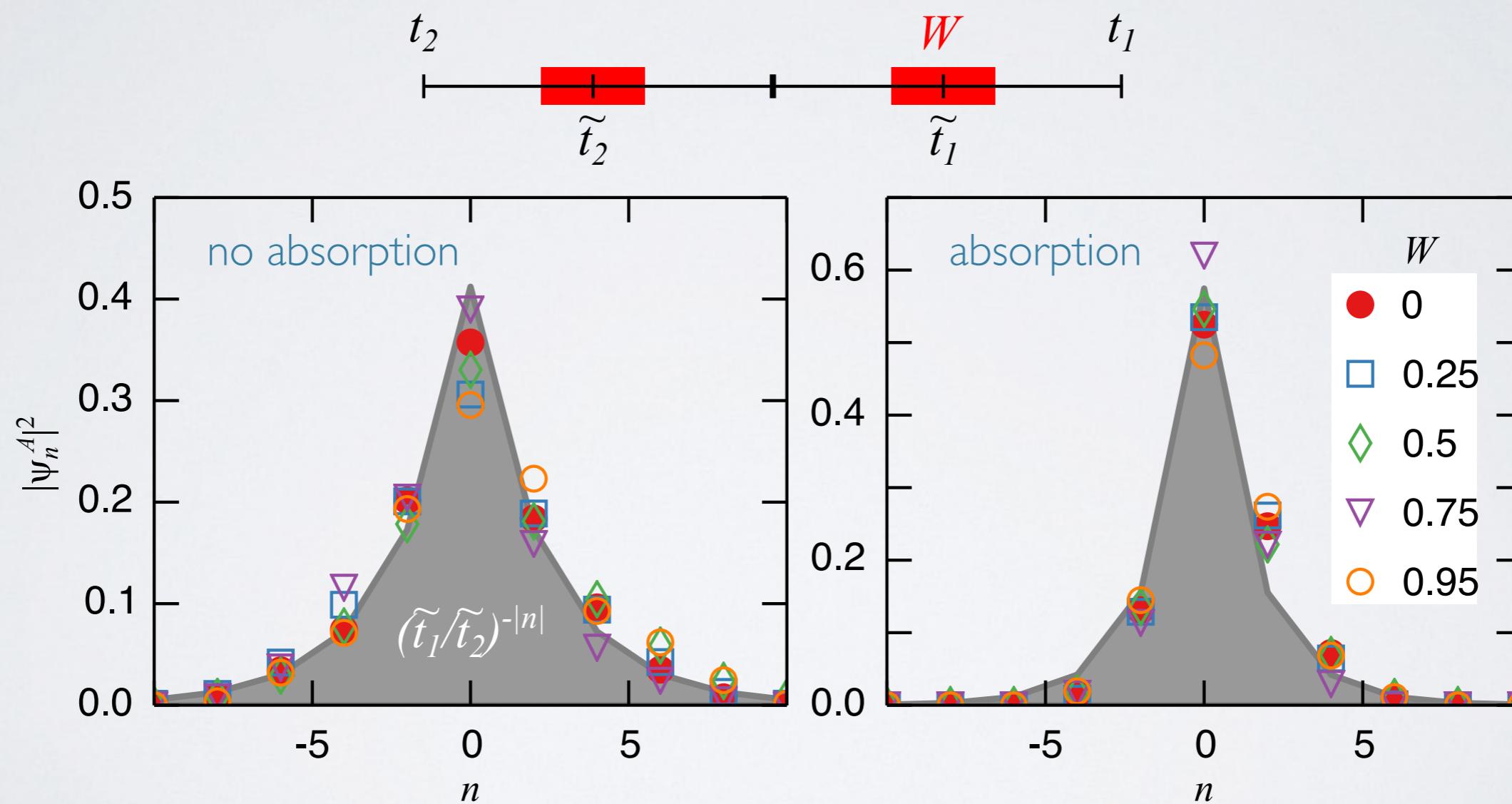
regular chain with central defect:

- localized absorption or disorder hybridizes defect and extended states
- no spectral and spatial topological protections



# Robust to disorder

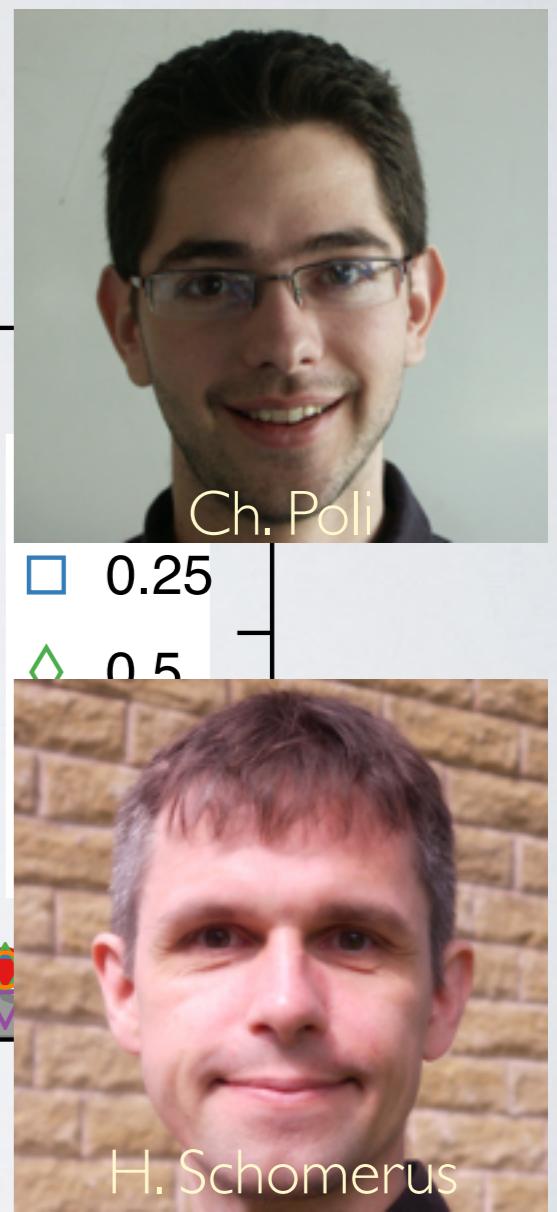
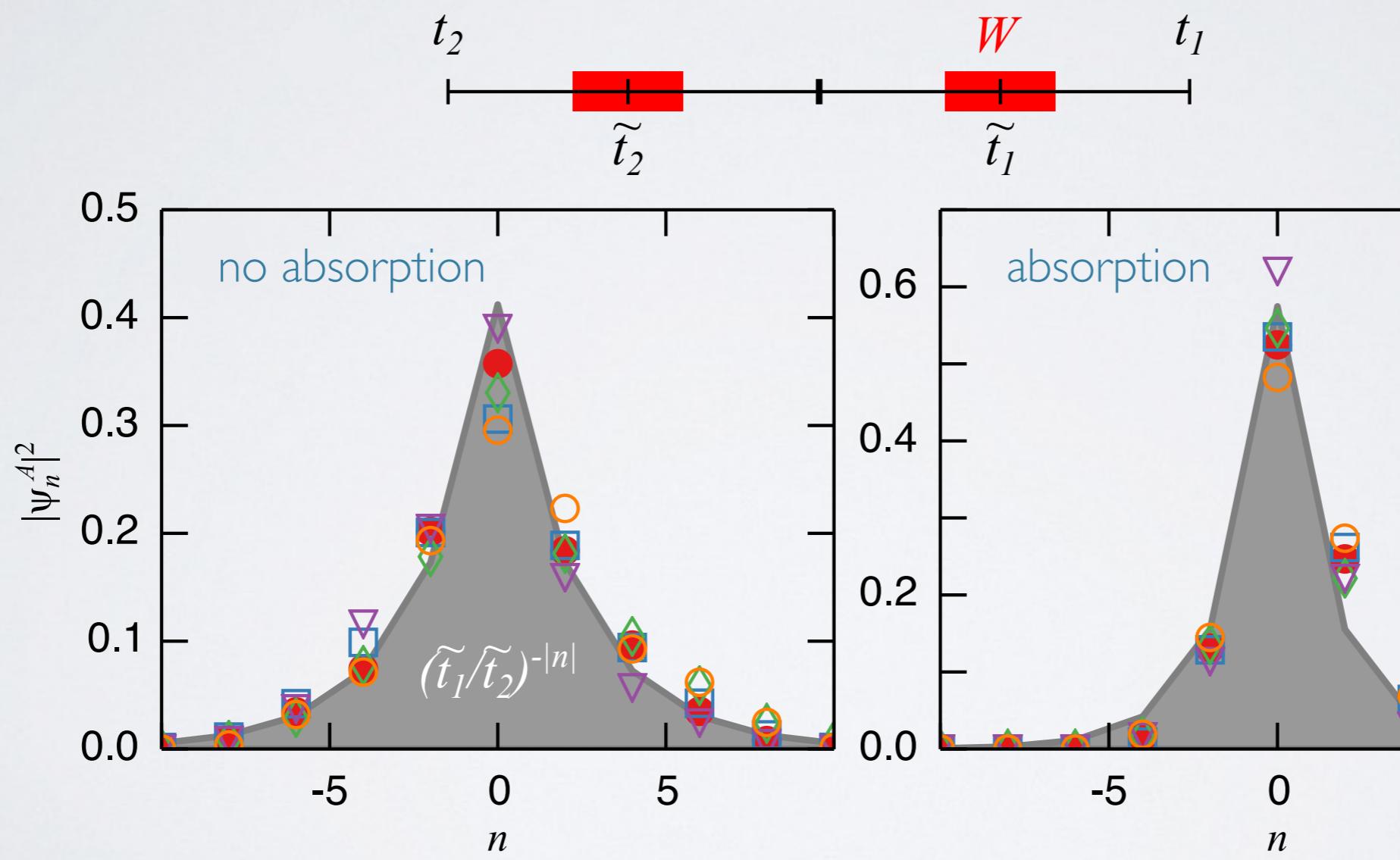
random couplings which preserve the dimer structure



with or without absorption, the topologically protected defect mode is insensitive to structural disorder

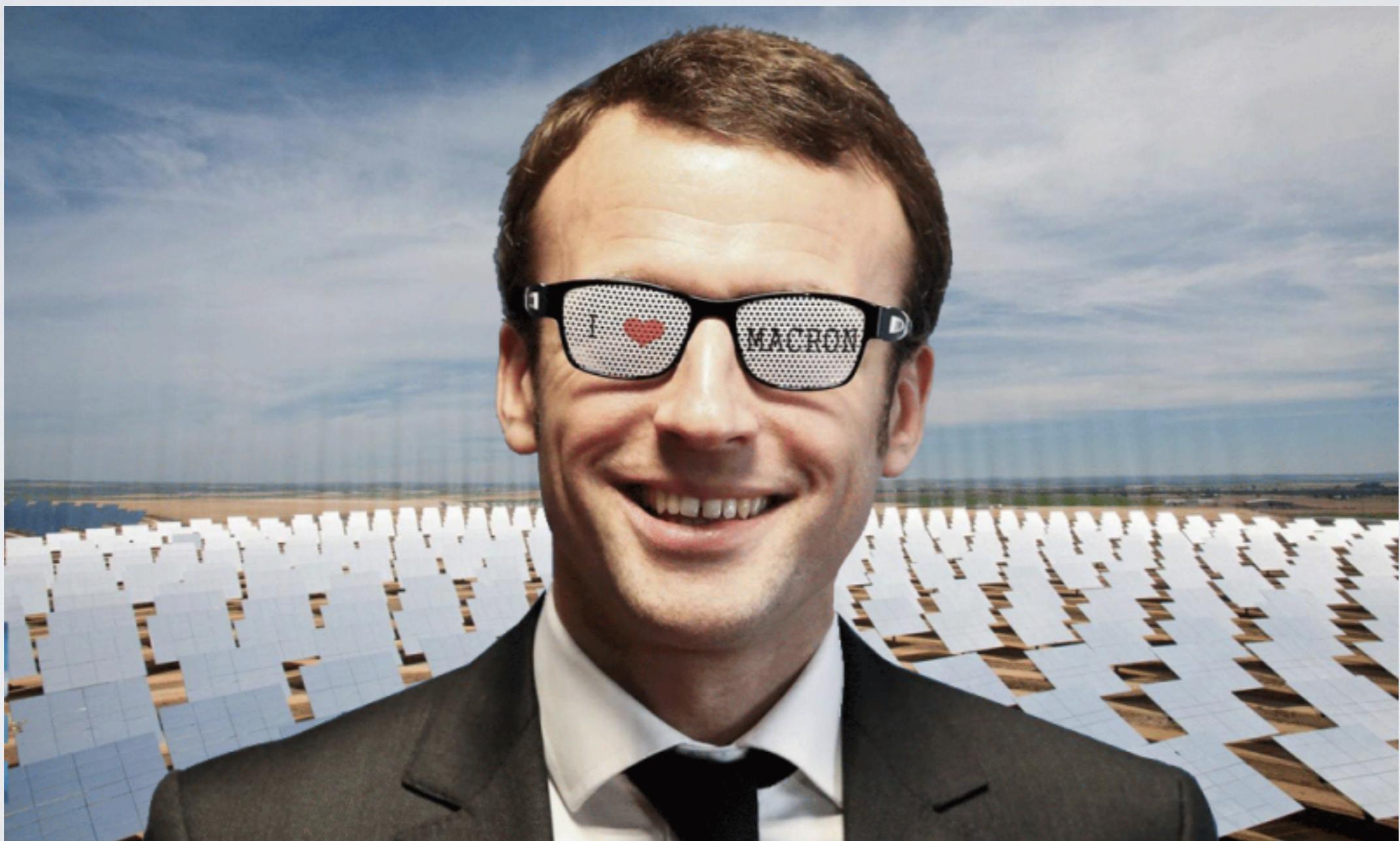
# Robust to disorder

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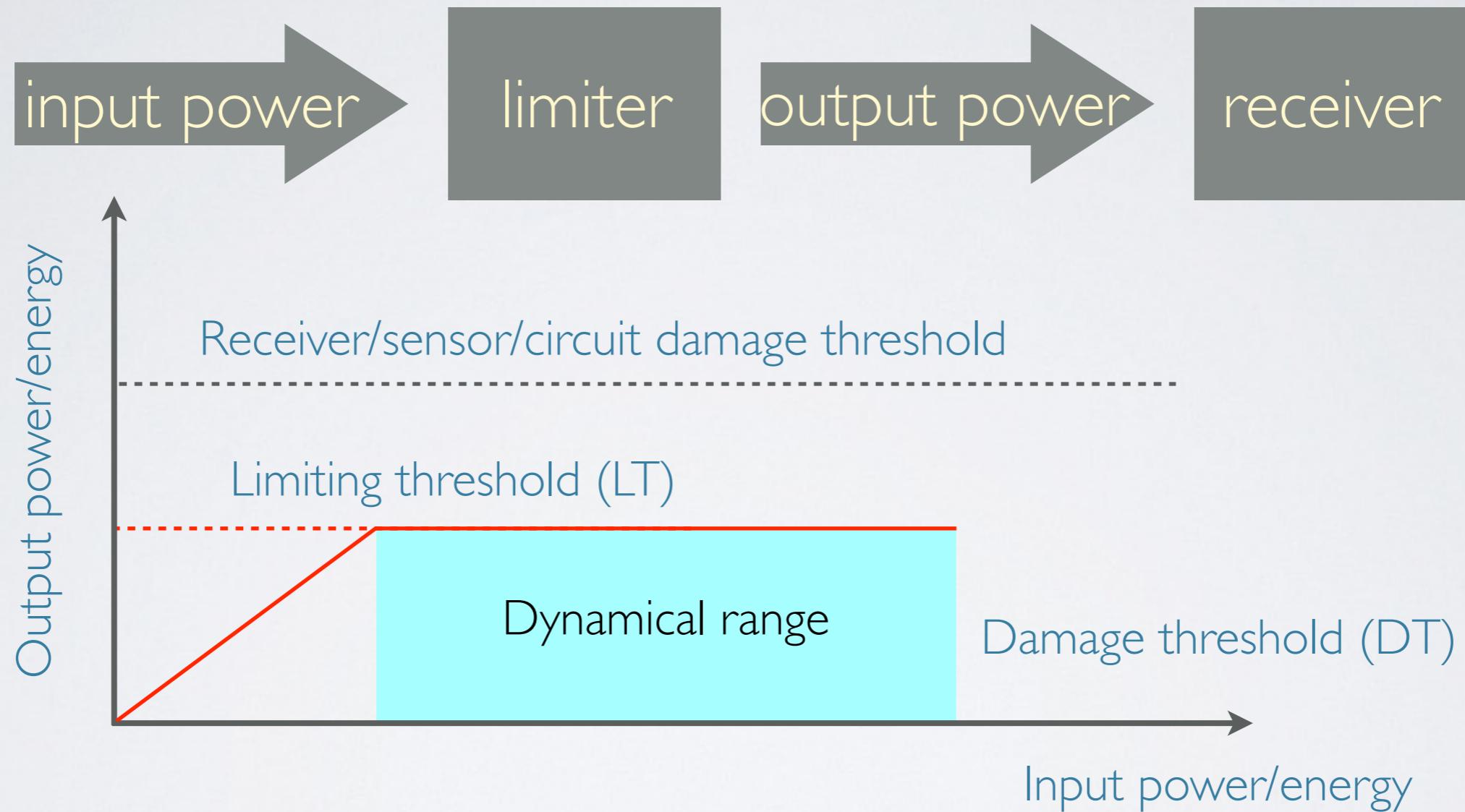


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# Optical limitation

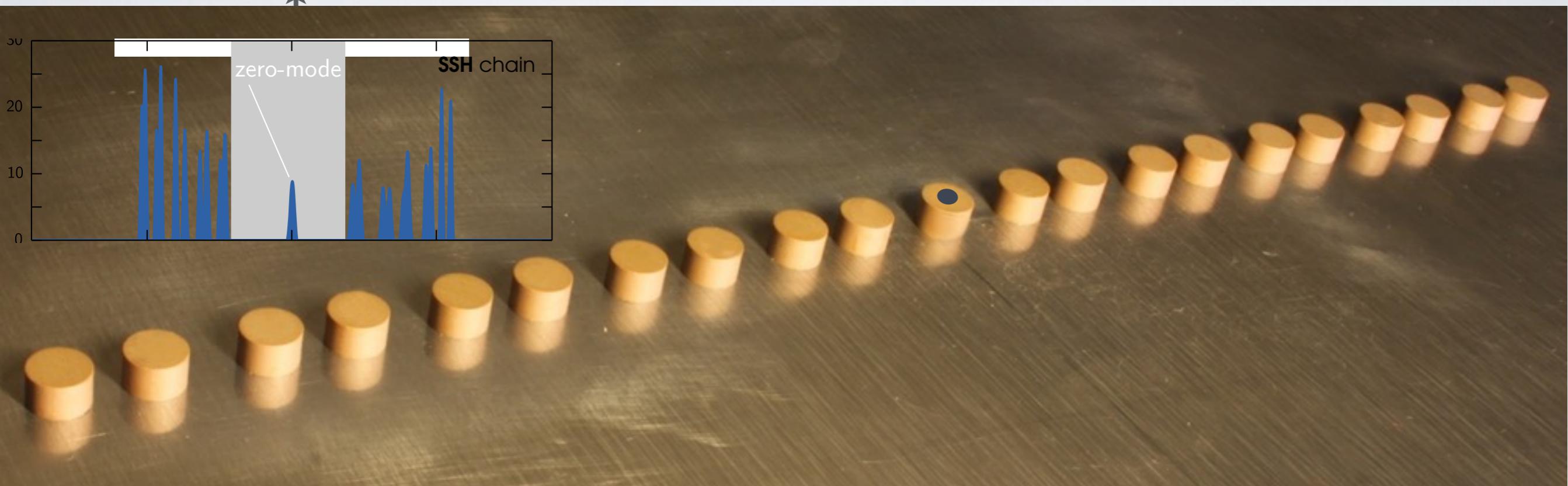


# Ideal optical limiter



The larger the dynamical range, the better the limitation.

# Ideal optical limiter

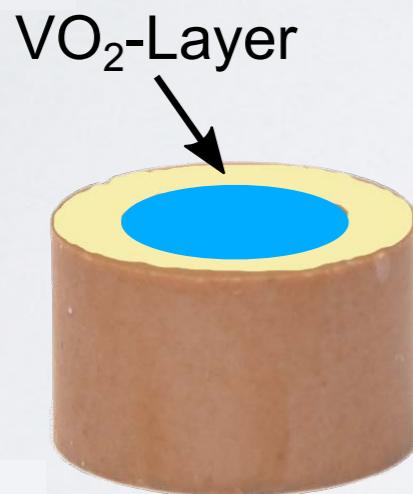


The larger the dynamical range, the better the limitation.  
New concept: Topological reflective limiter

# Non linear absorption

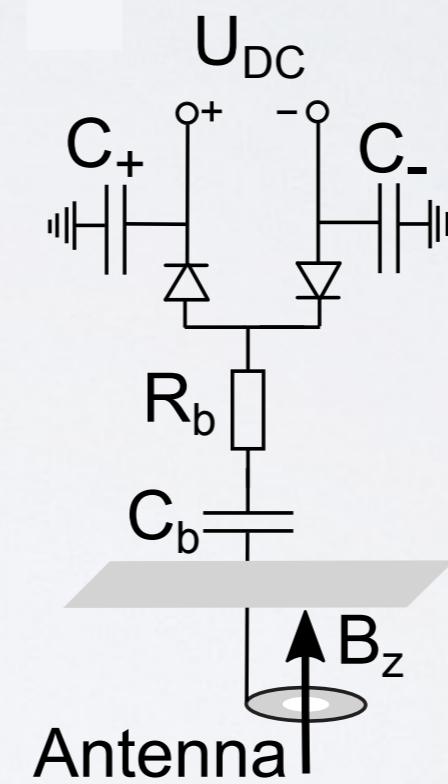
Losses depend on the strength of the incident radiation

Self-regulated losses



Material with a dielectric to metallic phase transition at some critical temperature.

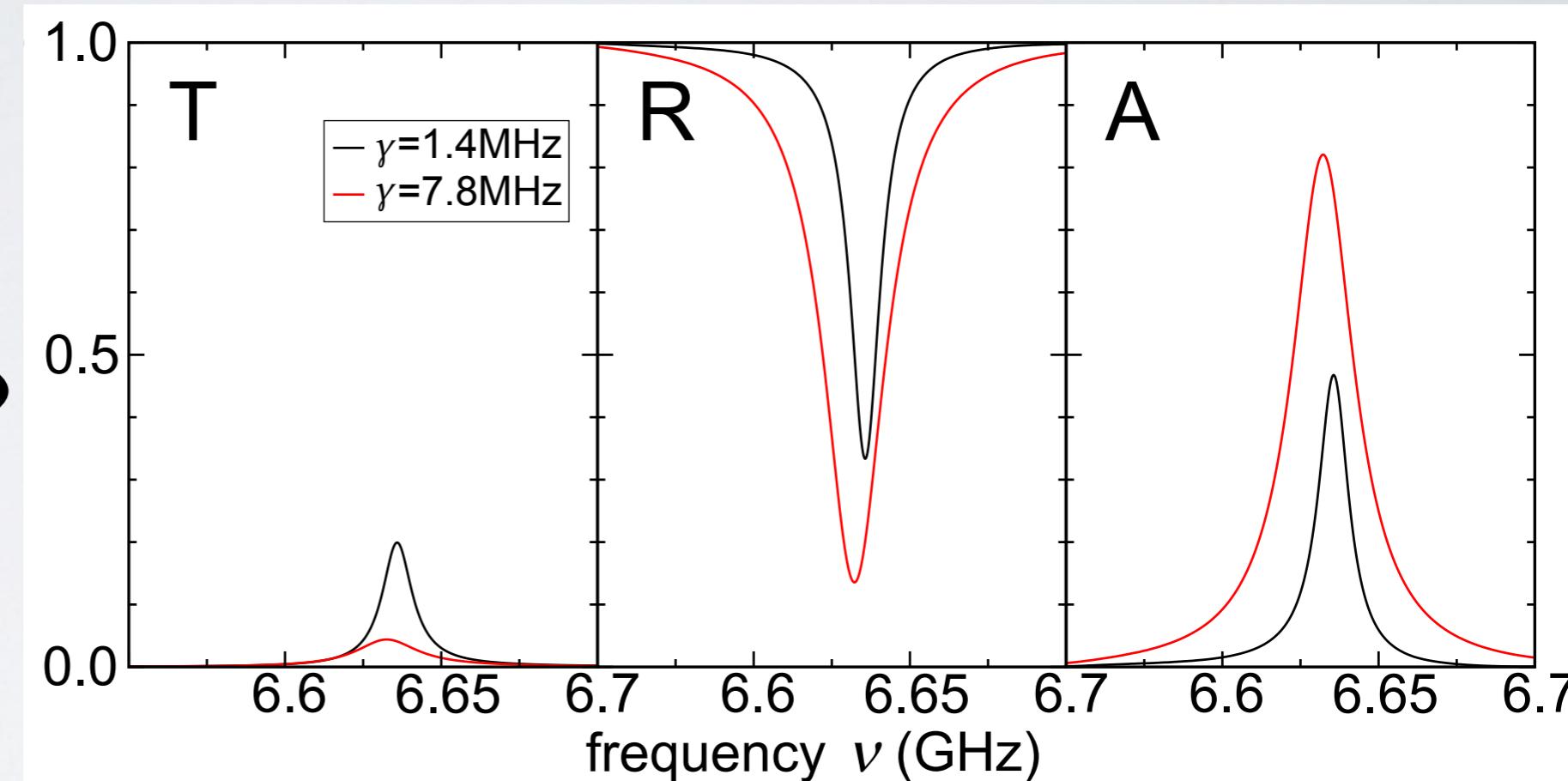
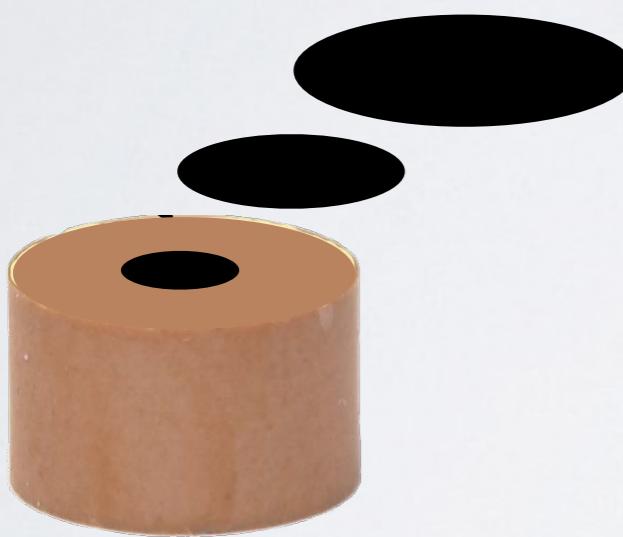
Reconfigurable losses



Fast diode providing reconfigurability of the limiting threshold via an externally tuned DC voltage.

# Lossy resonator

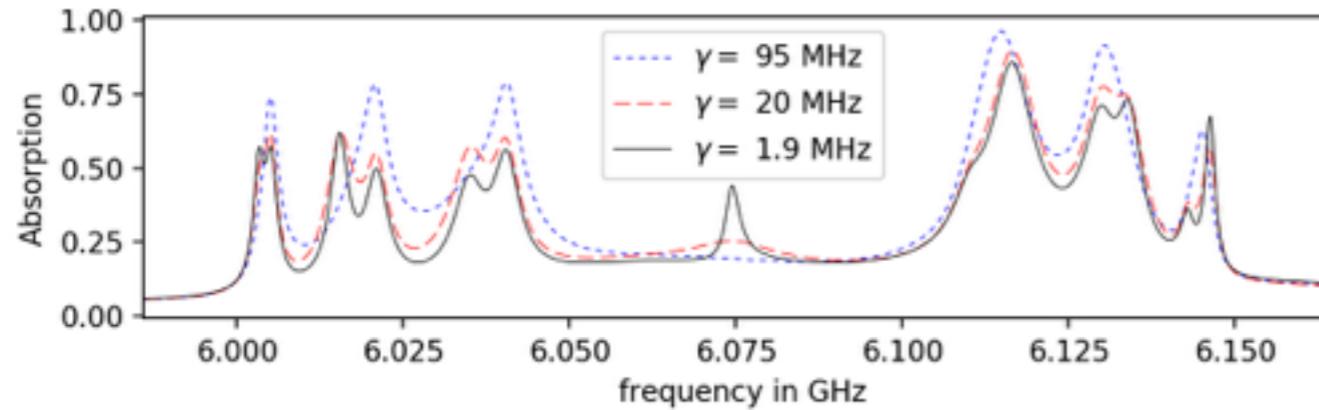
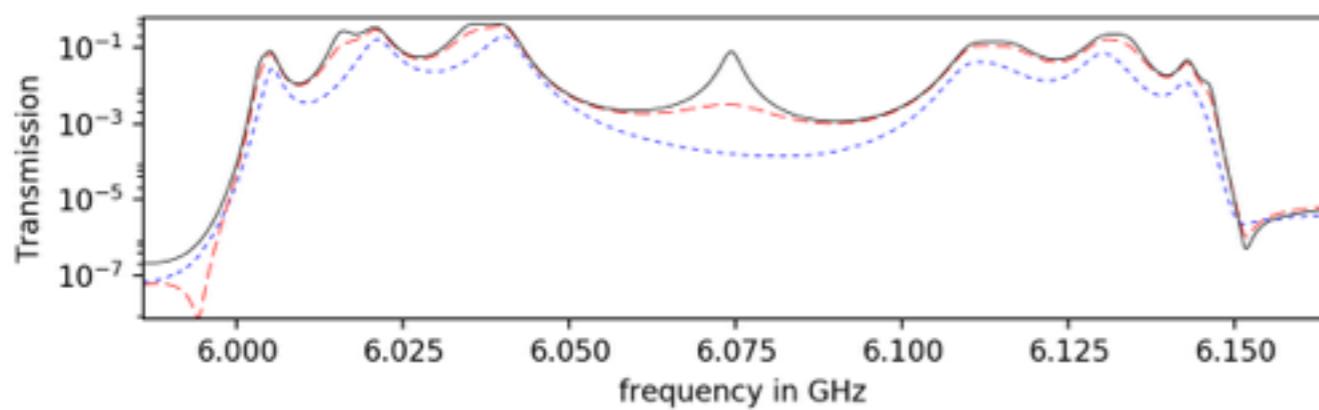
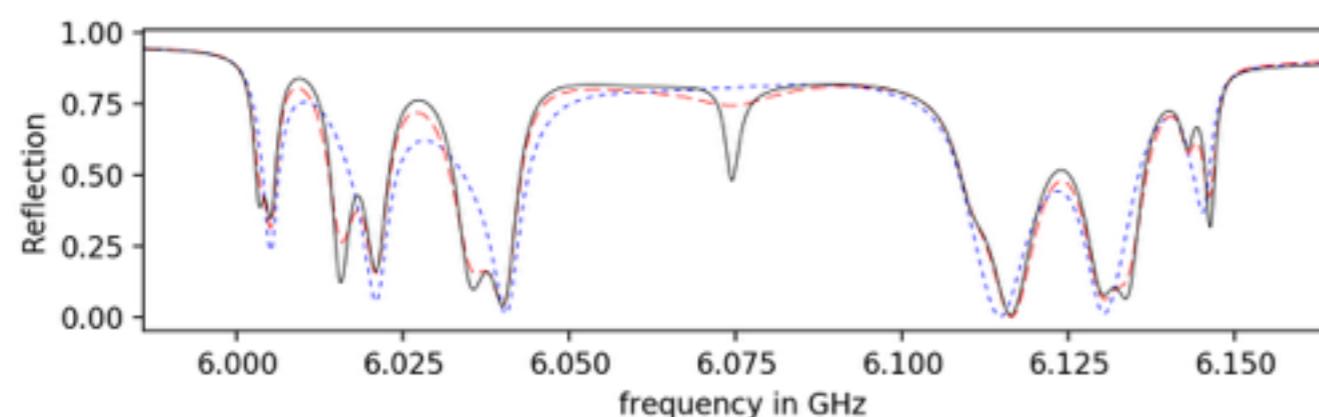
Varying the size of the absorbing patch:



Focus on demonstrating the effect of losses at the defect resonator on the transport properties.

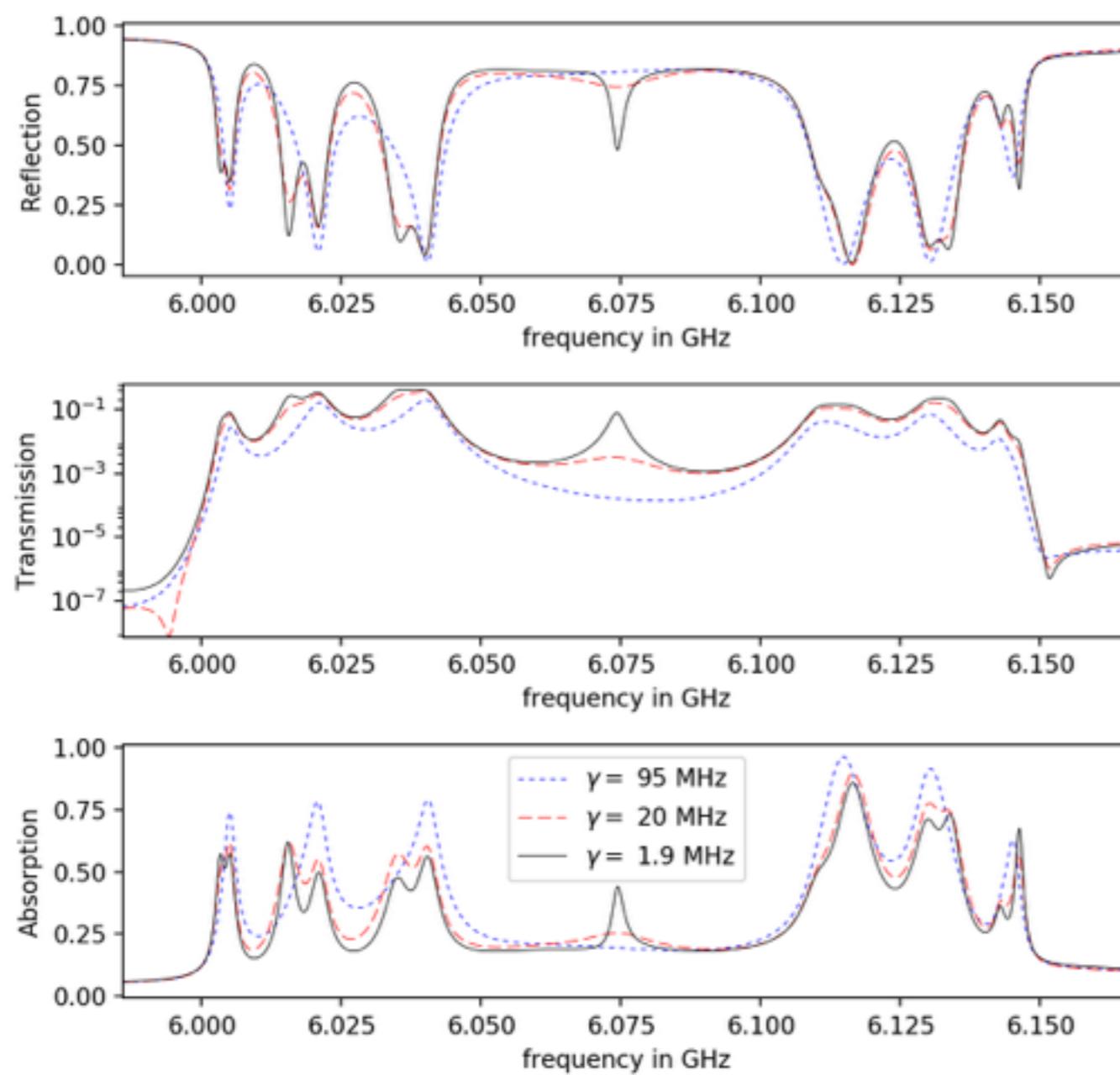
Standalone lossy resonator acts as a sacrificial limiter.

# Topology-assisted reflective limiter

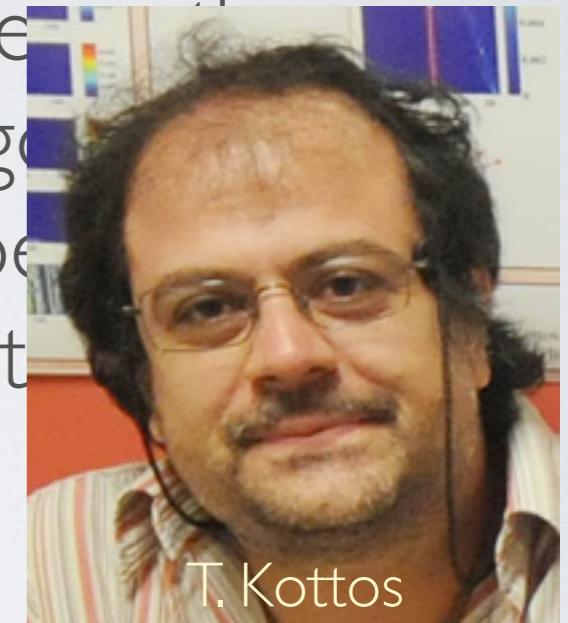


- As losses increase the transmission goes down and absorption goes down, meaning that the reflection goes up.
- The topological structure does not overheat because it ‘protects’ the lossy defect by decreasing the value of the field intensity as losses are increasing.

# Topology-assisted reflective limiter



- As losses increase, transmission goes down, absorption goes up, meaning that transmission goes up.
- The topological structure does not overlap, ‘protects’ the loss, decreasing the field intensity as increasing.



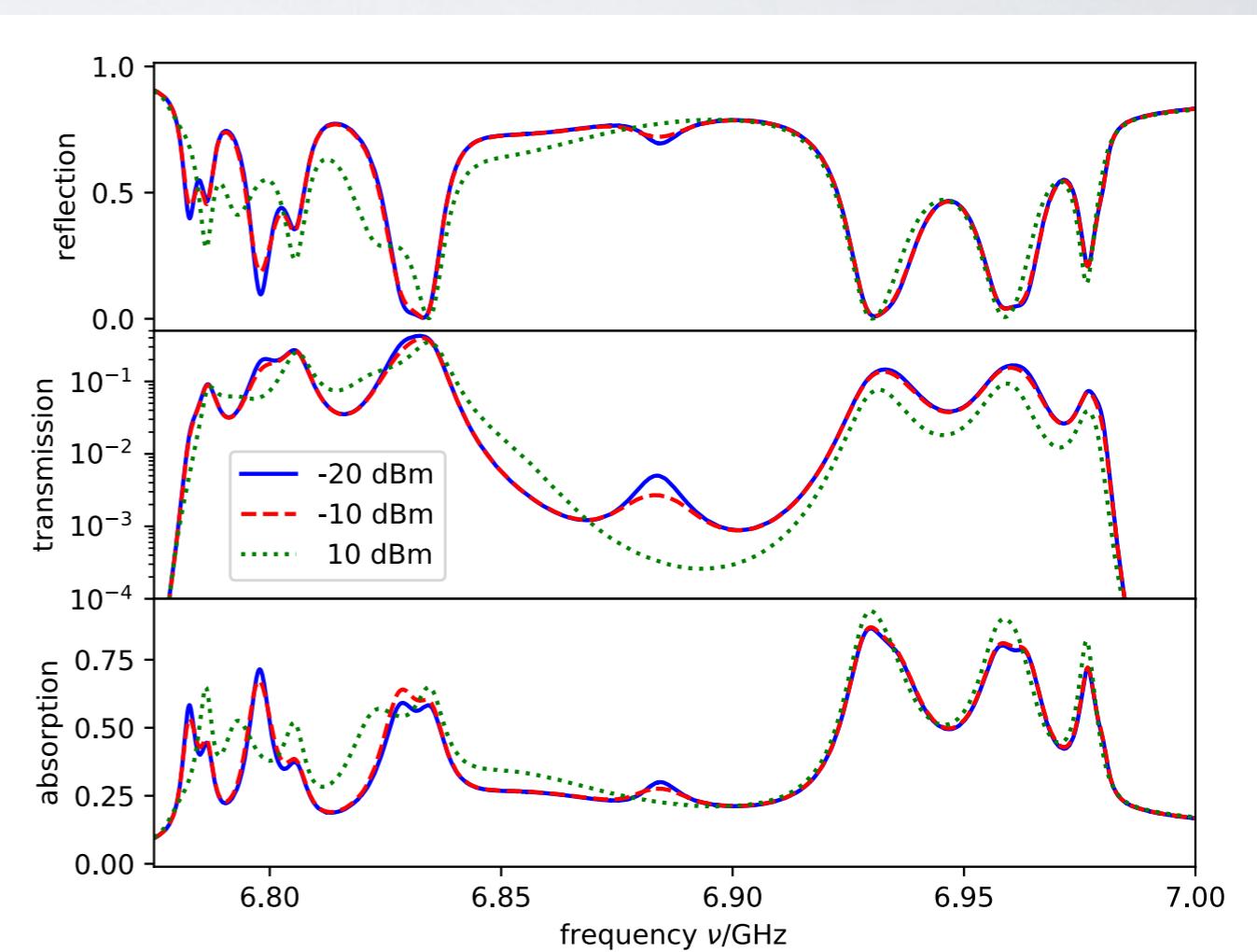
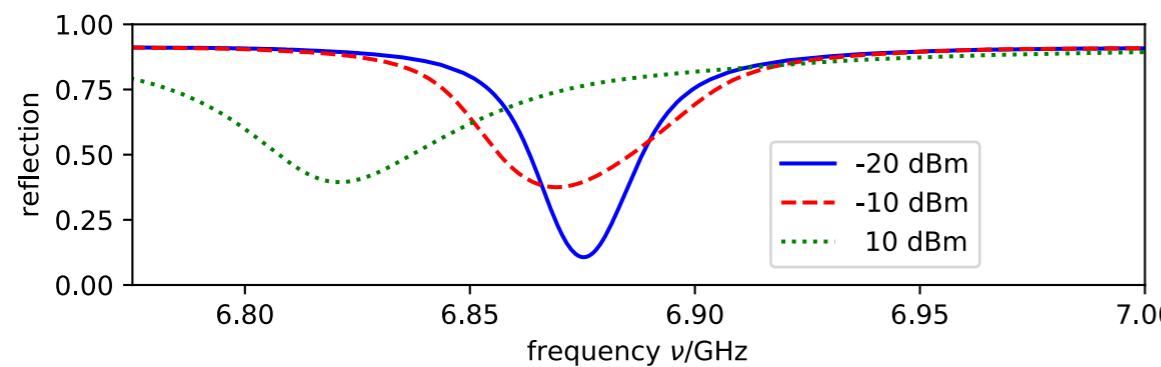
T. Kottos



E. Makri

# Genuine non-linear losses

Silicon Schottky diode  
(Skyworks SMS7630)



Preliminary results: It works !

# Outline

## I. Microwave realization of tight-binding model

dielectric resonators, TE mode, evanescent coupling, LDOS & eigenstates

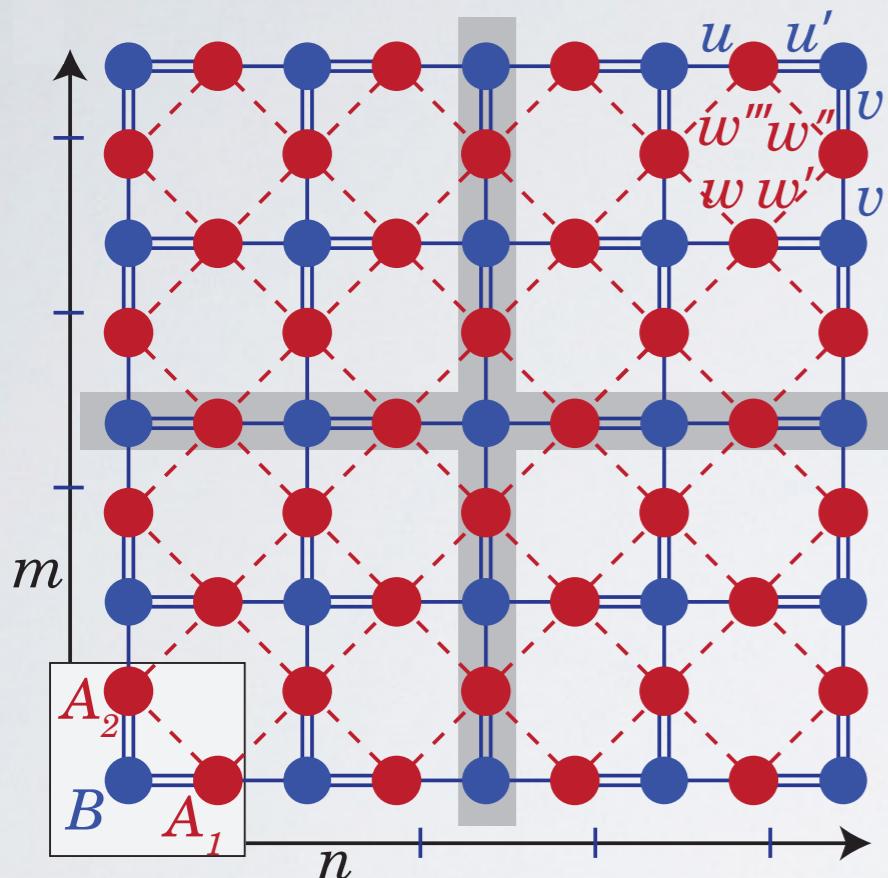
## 2. SSH chain: Control of topological interface states

zero-mode, selective enhancement, non-linear absorption, reflective limiter

## 3. 2D lattices : Lieb (and Penrose)

partial symmetry breaking, (not so) flat band, zero-mode, gap labeling (naive picture)

# Lieb lattice

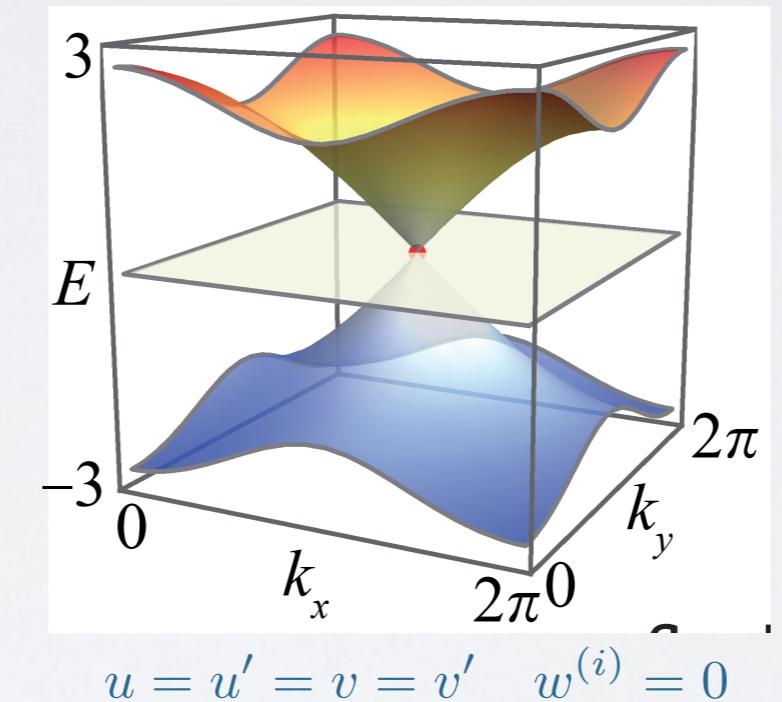


Global chiral symmetry:

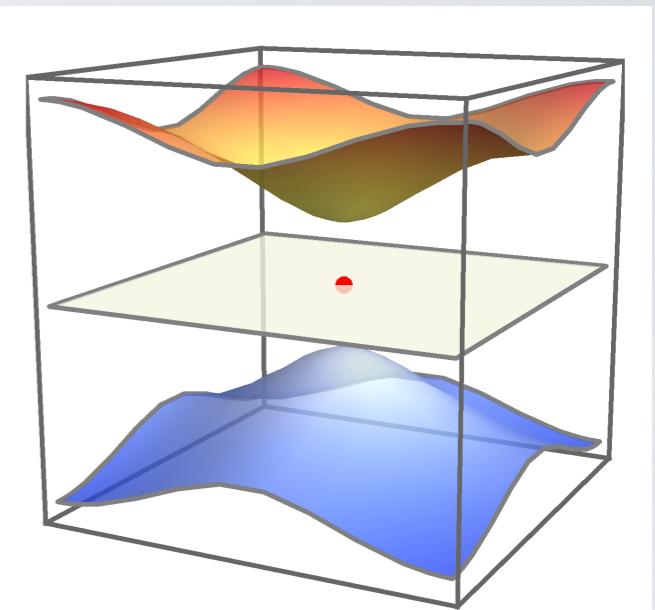
$$H_{\text{TB}}(\vec{k}) = \begin{pmatrix} 0 & t_{AB}(\vec{k}) \\ t_{BA}(\vec{k}) & 0 \end{pmatrix}$$

$$\sigma_z H_{\text{TB}}(\vec{k}) \sigma_z = -H_{\text{TB}}(\vec{k})$$

uniform couplings:  
topologically boring...

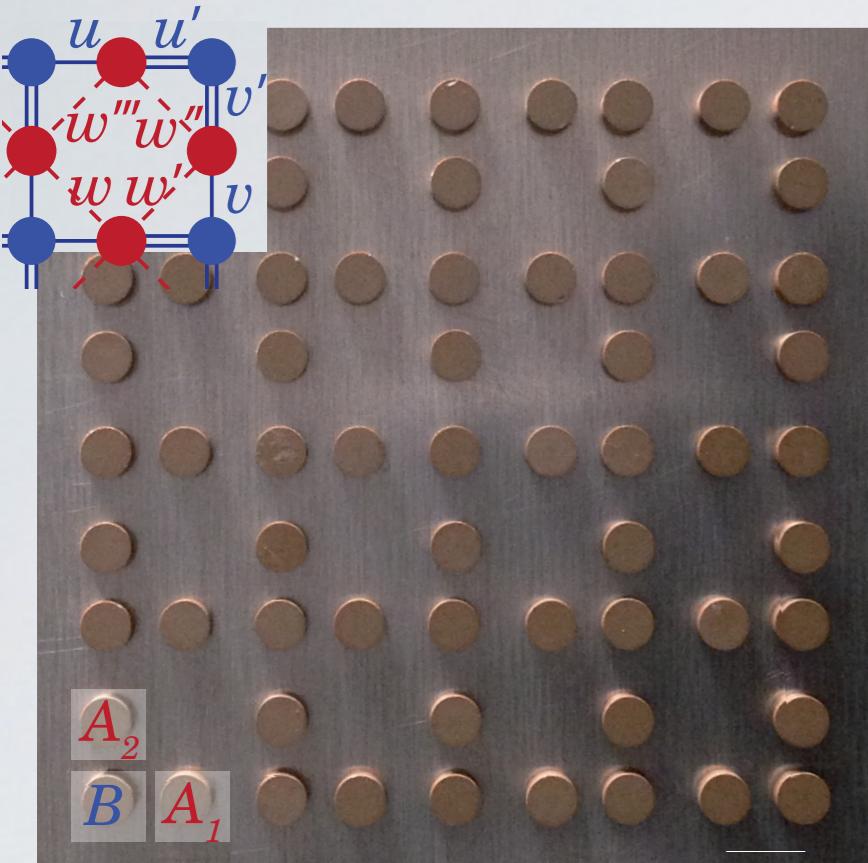


more interesting  
when dimerized:



- flat band on the majority sublattice
- with an appropriate choice of boundary conditions: one extra zero-mode on the minority sublattice (B sites)... but still degenerated with the flat band.

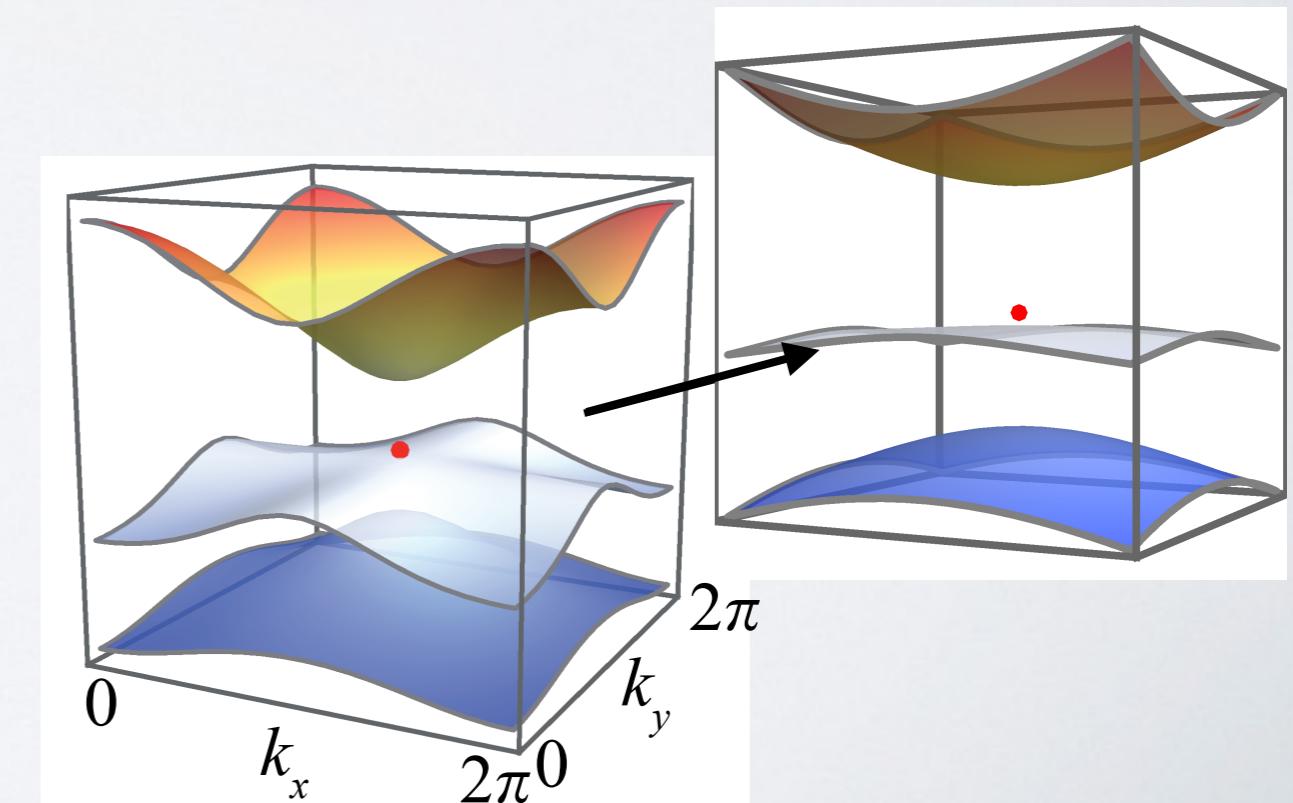
# Partial chiral symmetry



In the experiment, next-nearest neighbor couplings are effective:  $w'' > w' = w''' > w$

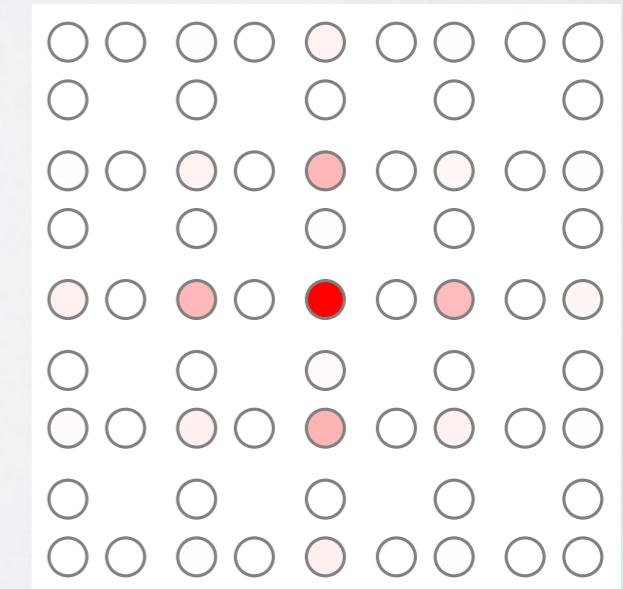
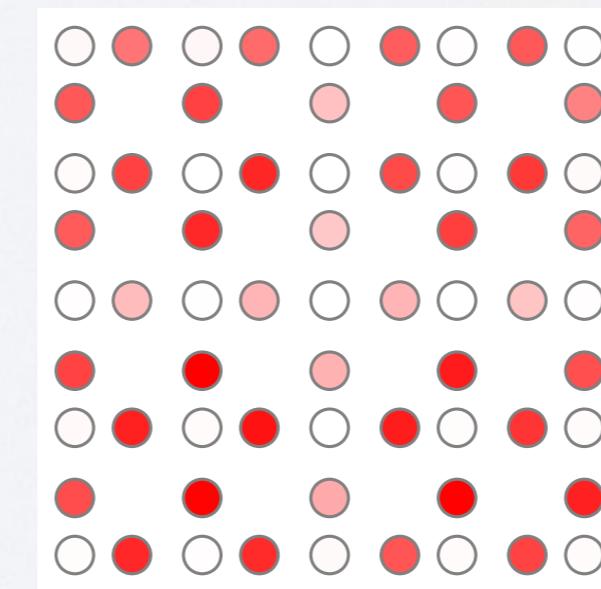
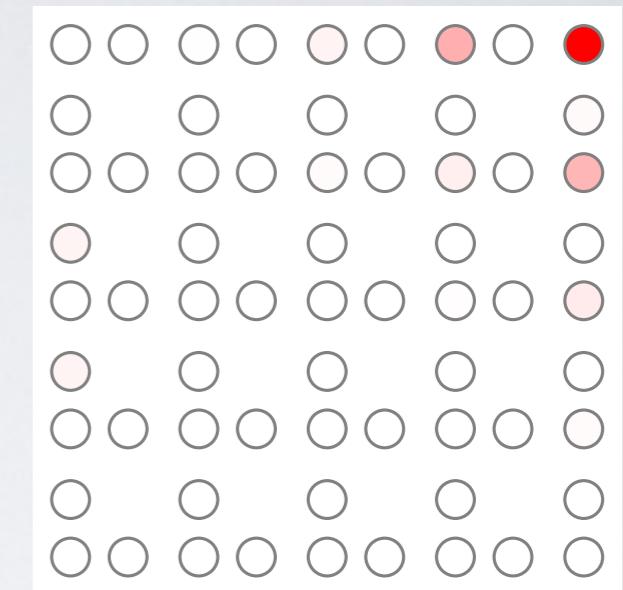
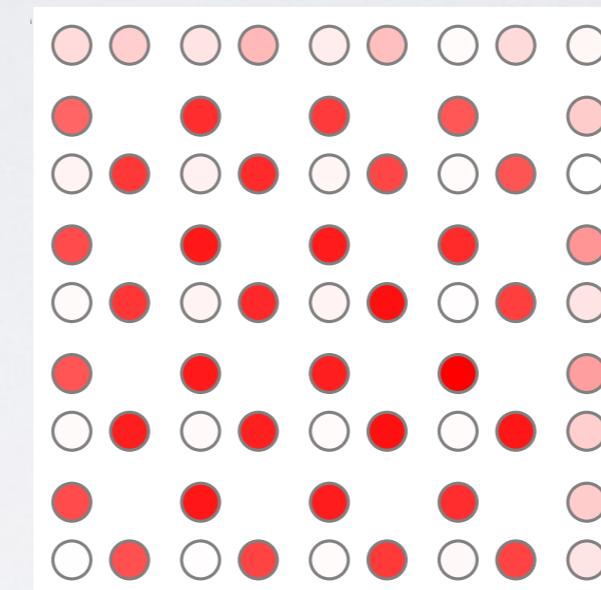
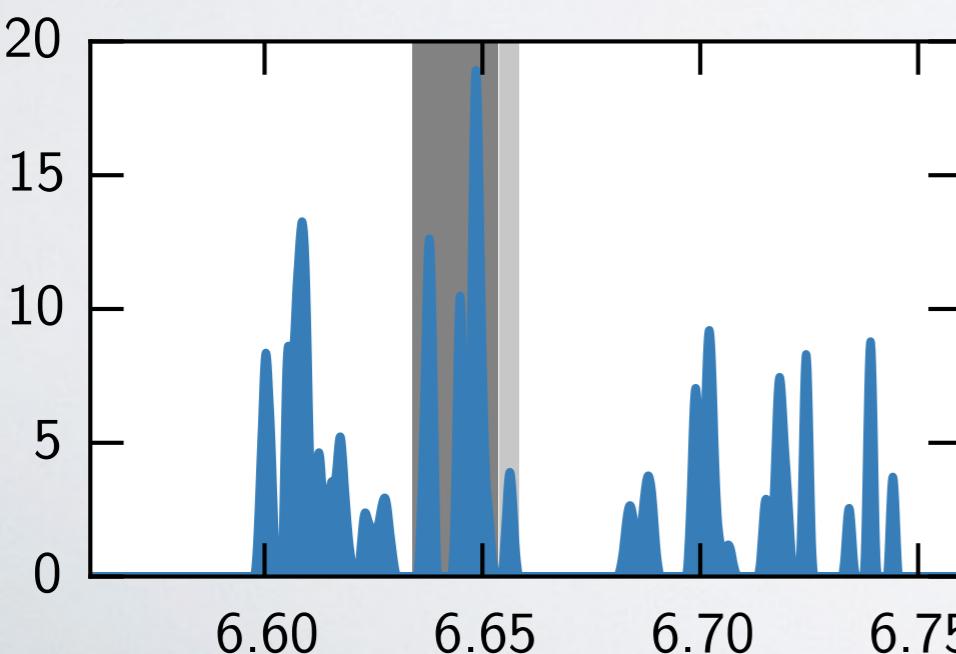
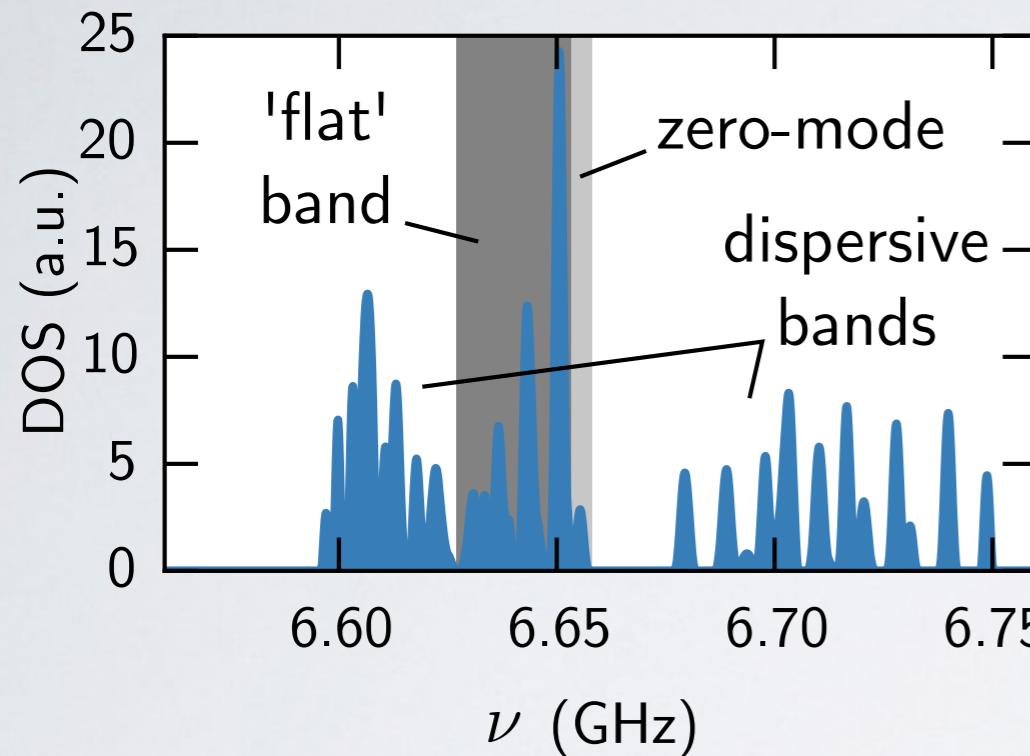
$$H_{\text{TB}}(\vec{k}) = \begin{pmatrix} t_{AA}(\vec{k}) & t_{AB}(\vec{k}) \\ t_{BA}(\vec{k}) & 0 \end{pmatrix}$$

$$[\sigma_z H_{\text{TB}}(\vec{k}) \sigma_z]_{BB} = [-H_{\text{TB}}(\vec{k})]_{BB}$$



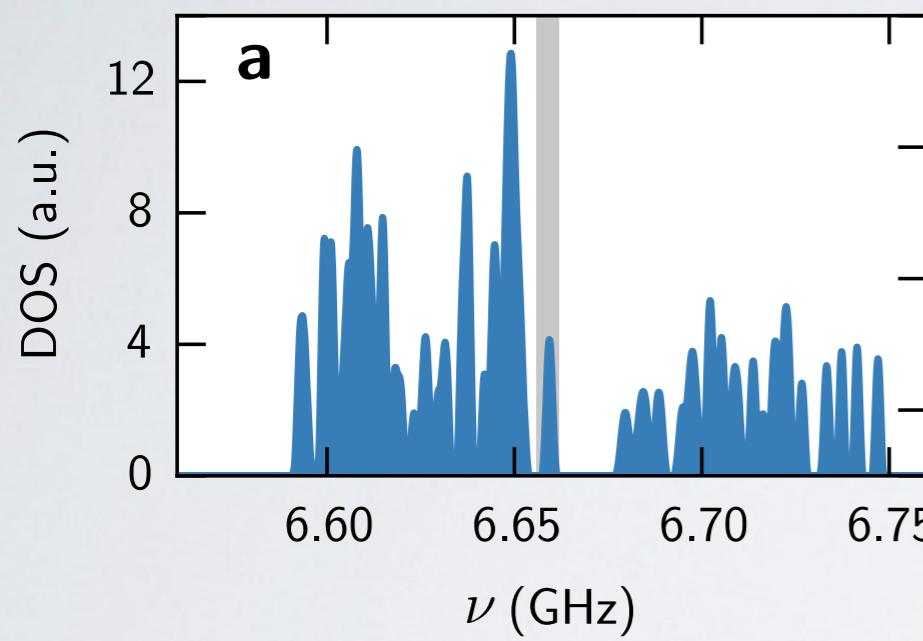
- the chiral symmetry of the majority sublattice is broken
- the flat band becomes dispersive
- the zero-mode is lifted away

# Engineering of defect states

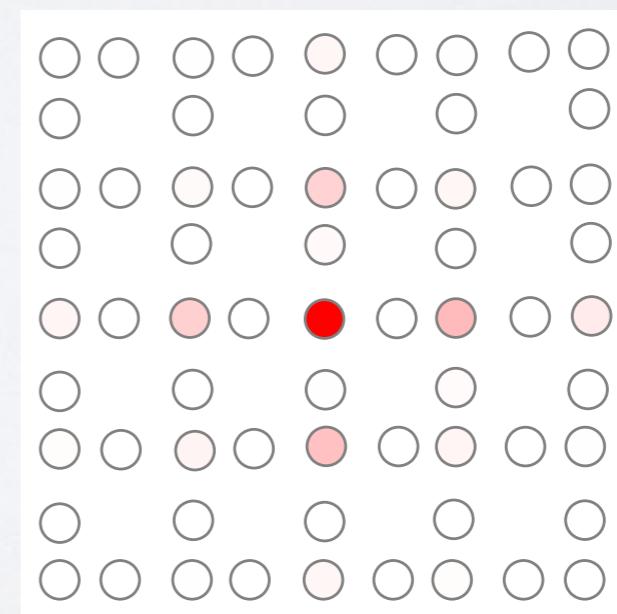
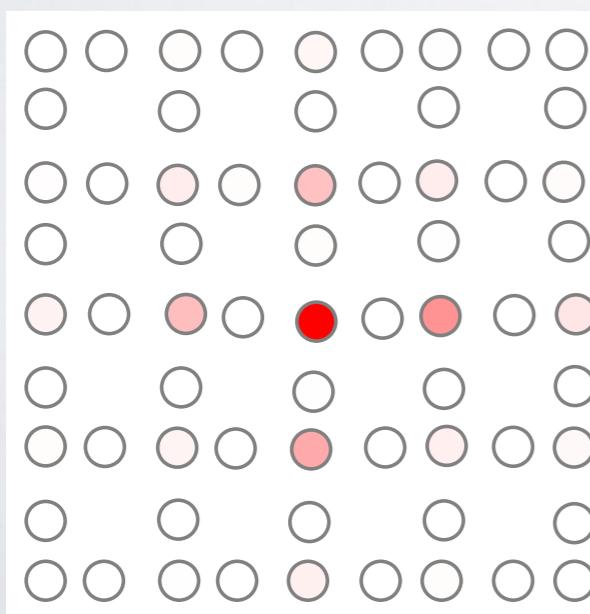
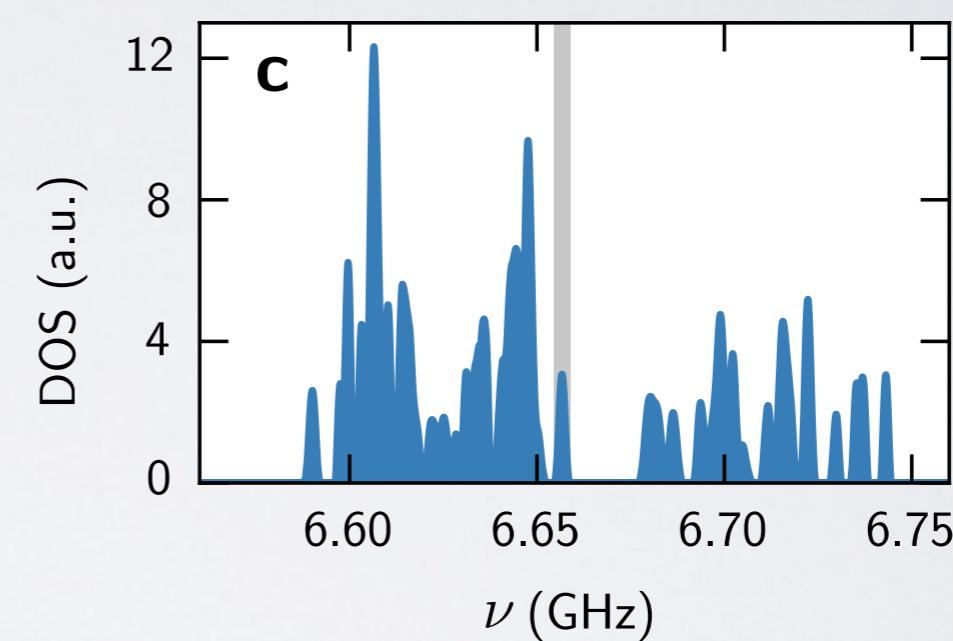


# Topological protection

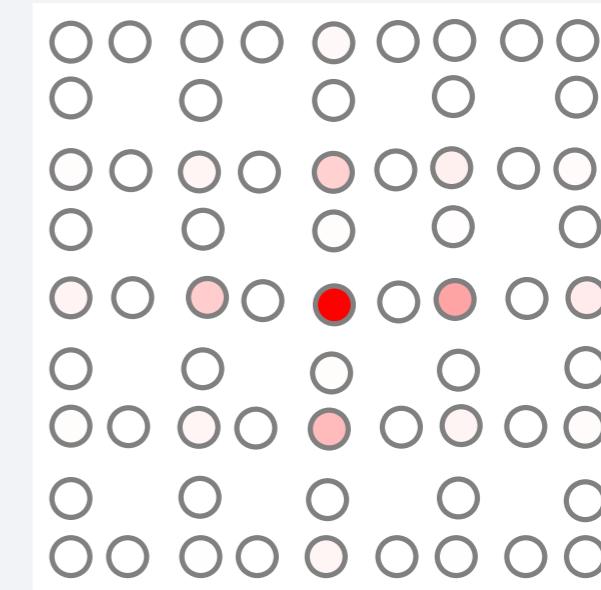
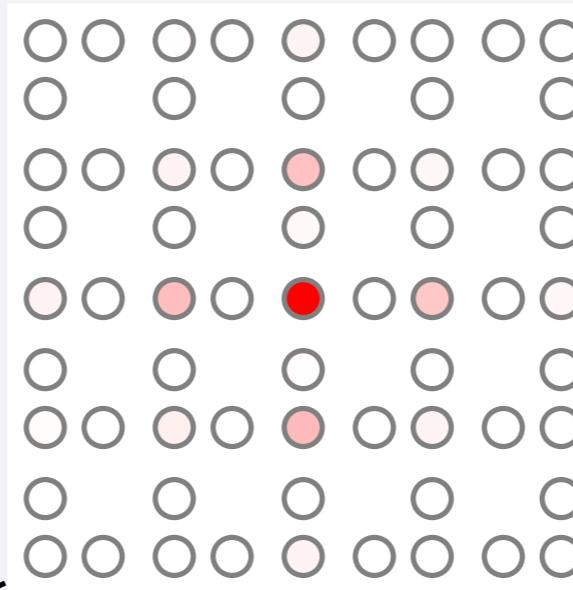
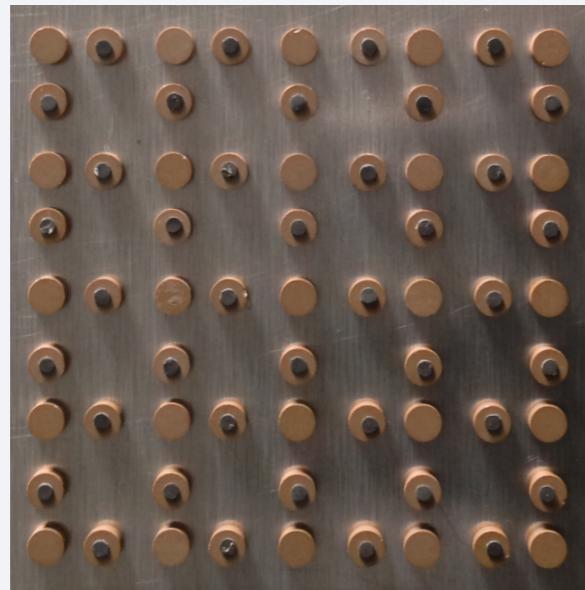
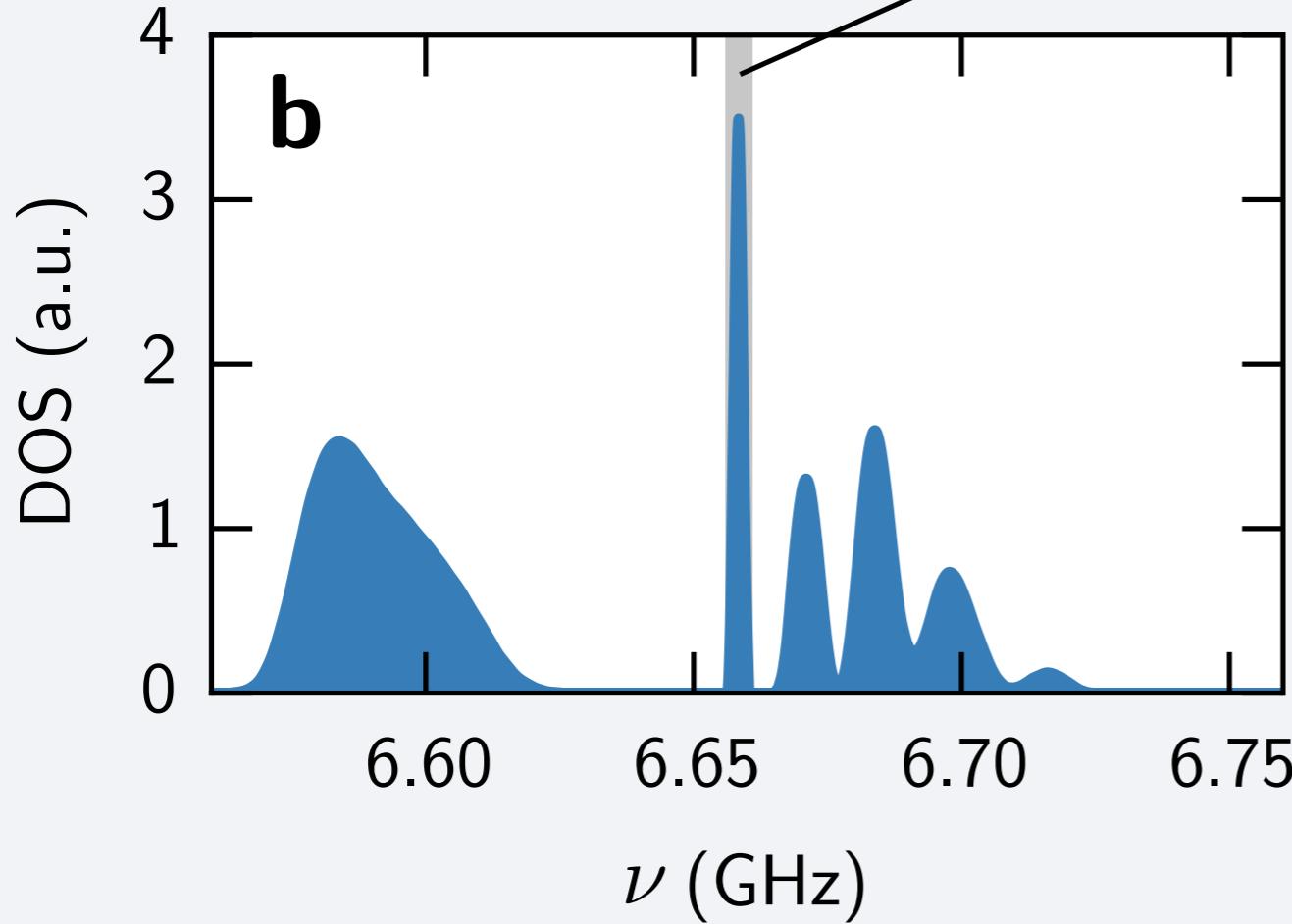
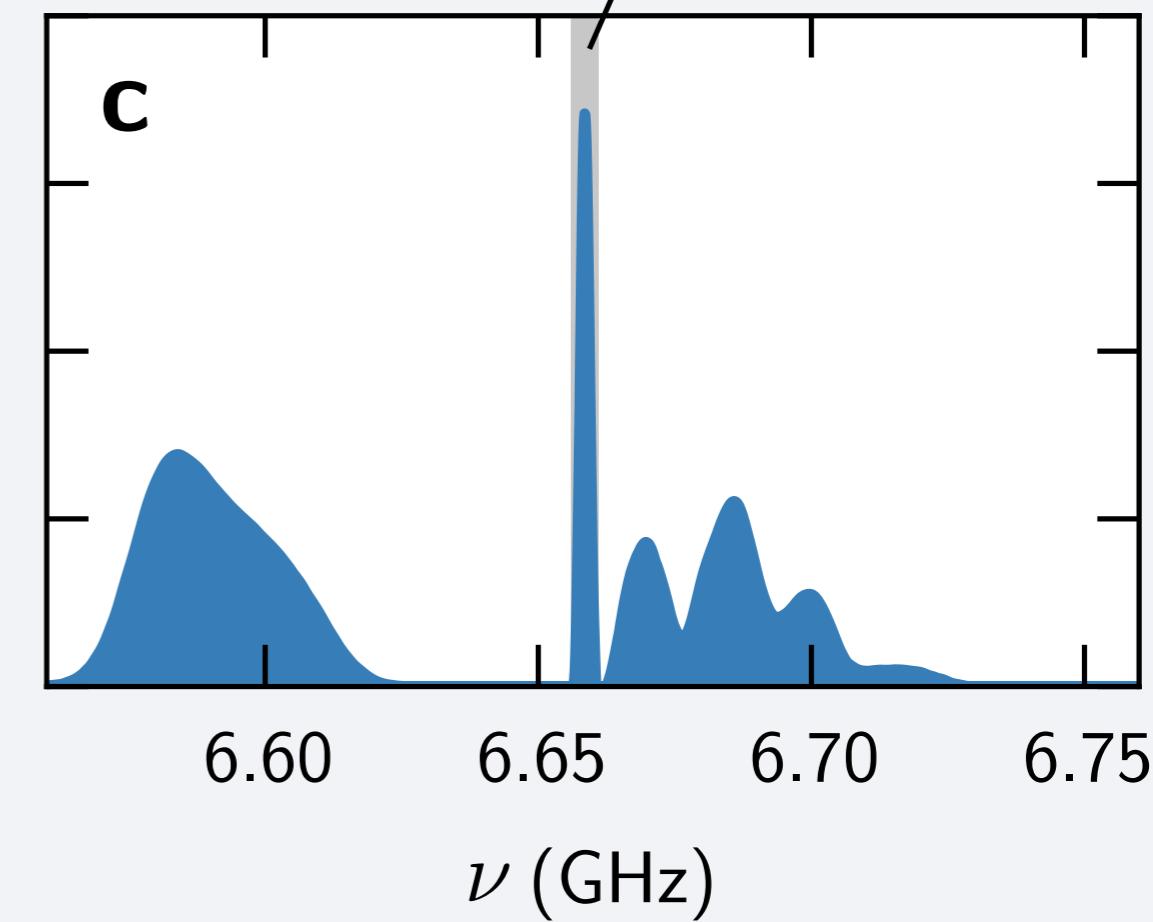
constrained disorder



generic disorder

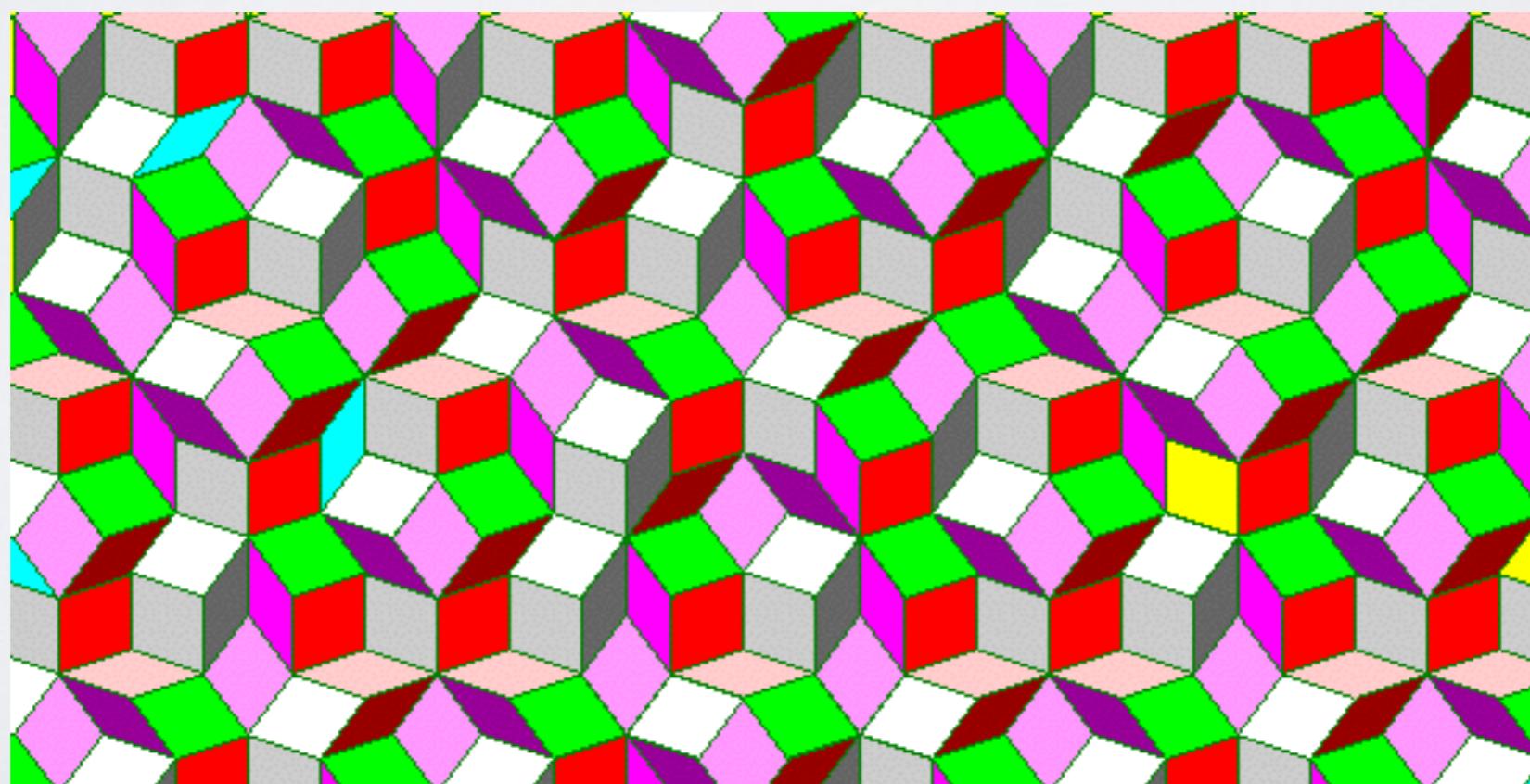


# Selective enhancement

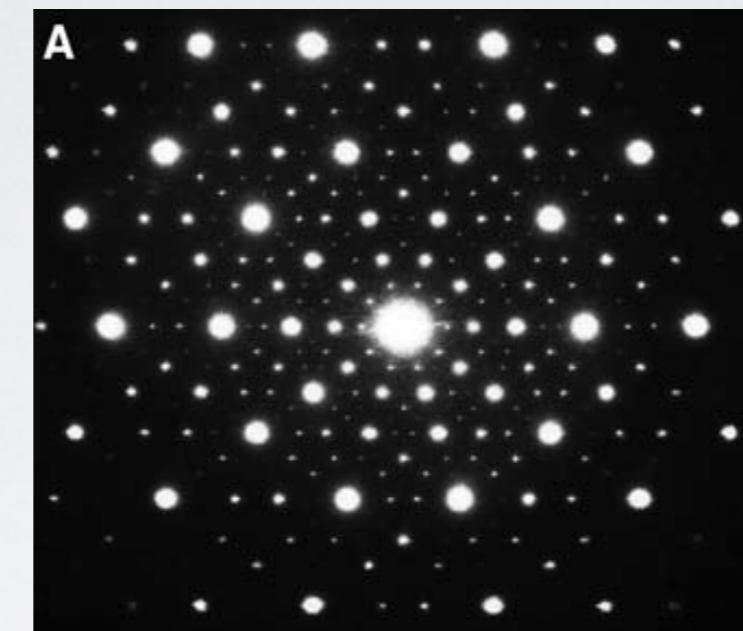
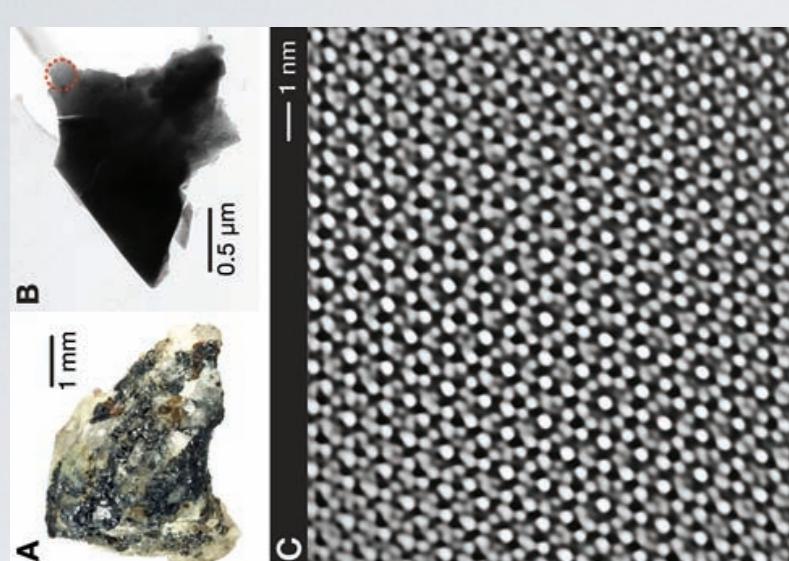
**a****b****c**

# Intriguing quasicrystal

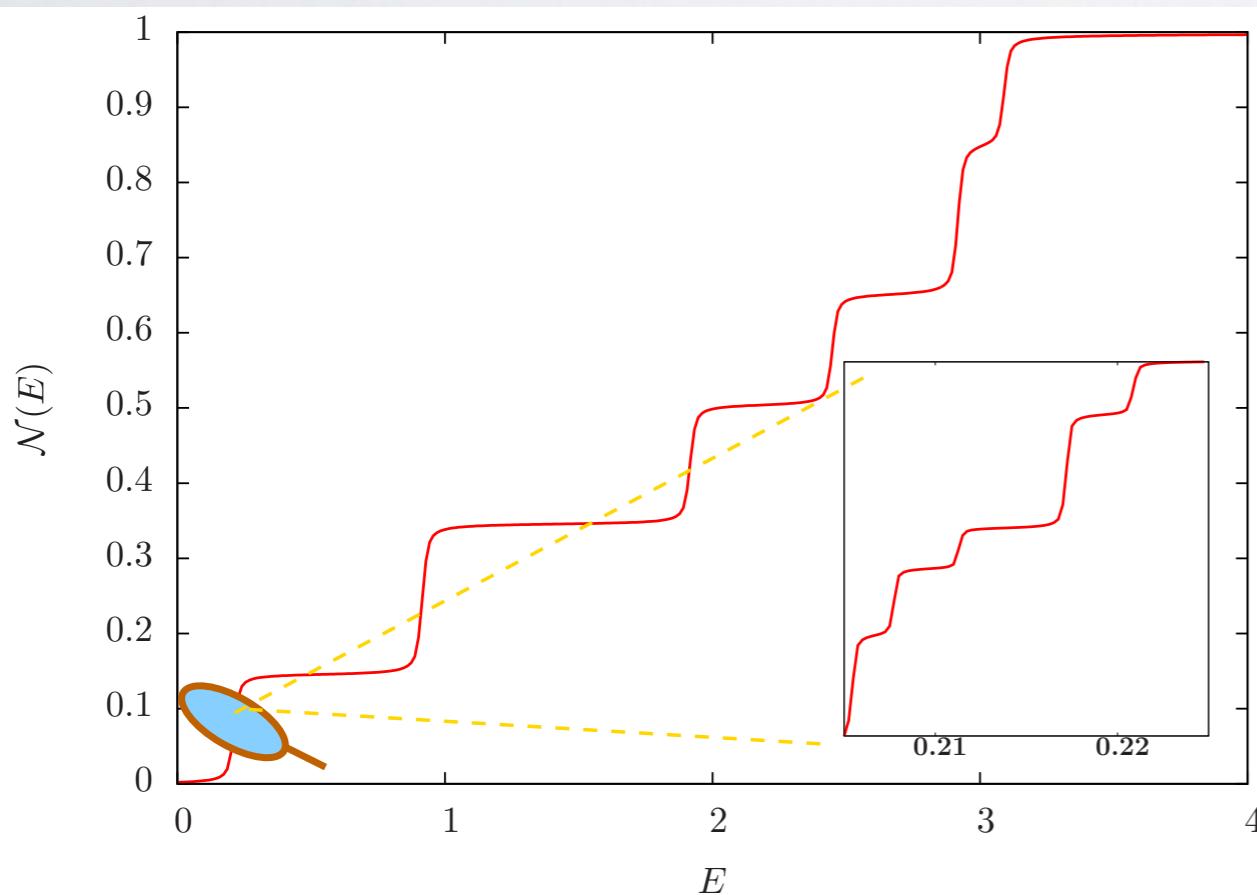
In a quasiperiodic cristal, the atomic positions along each symmetry axis are described by a sum of two or more periodic functions whose wavelengths have an irrational ratio (Bindi et al.)



# Intriguing quasicrystal



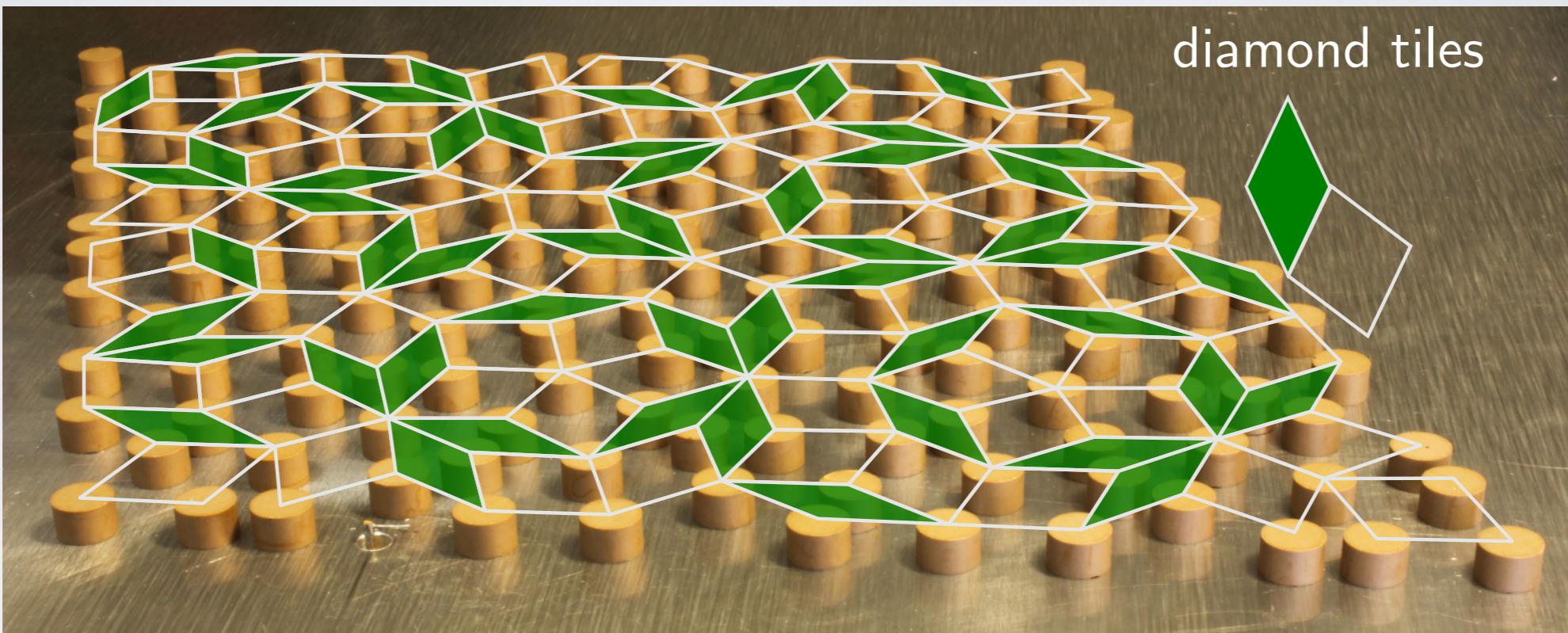
Diffraction pattern  
with 5-fold symmetry !



Integrated density of states  
with a staircase structure:

- irregular step heights
- smaller steps at higher energy resolution
- footsteps labeled by Chern numbers

# Microwave Penrose tiling

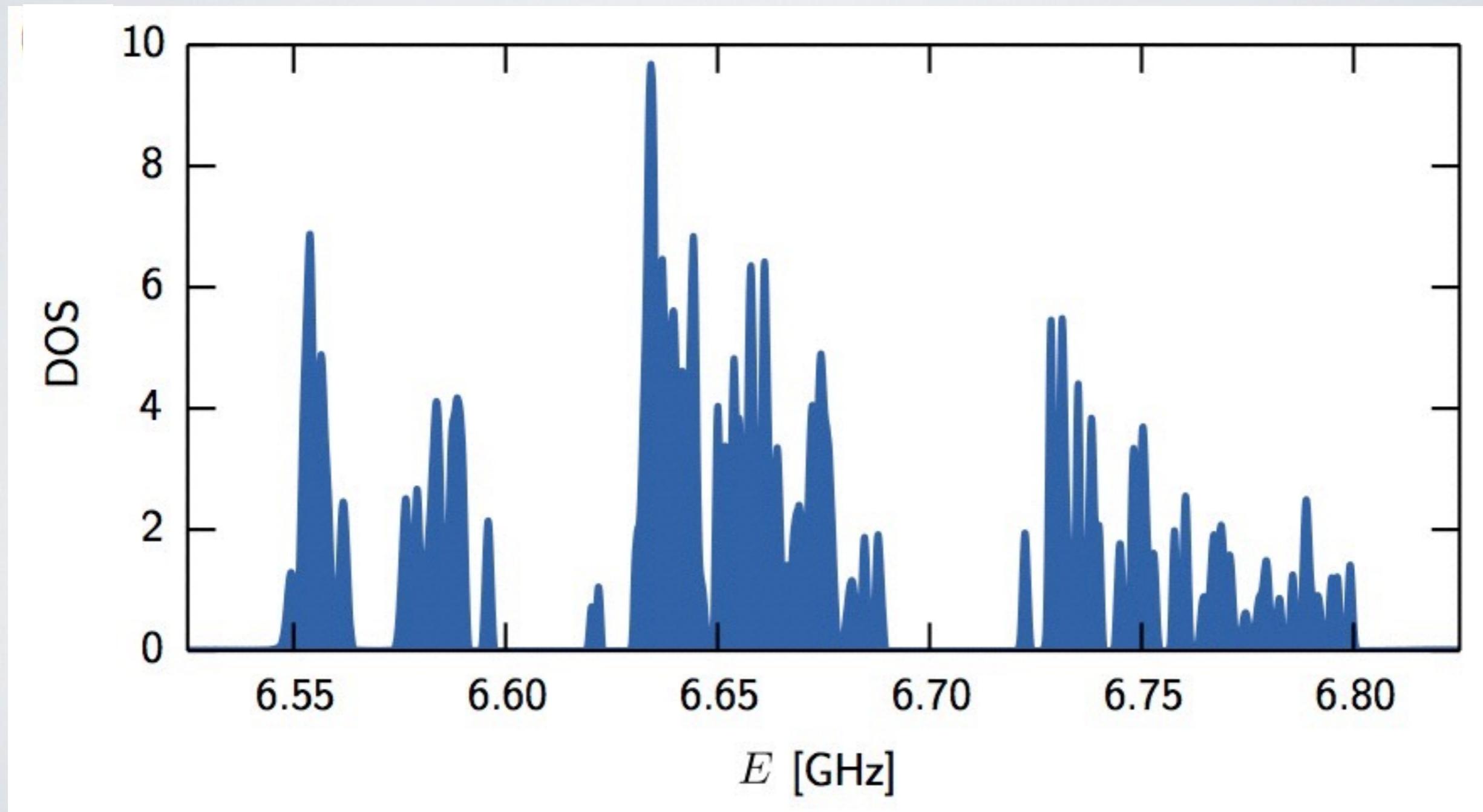


- Penrose lattice with rhombic tiles
- 164 resonators placed at each rhombus vertex

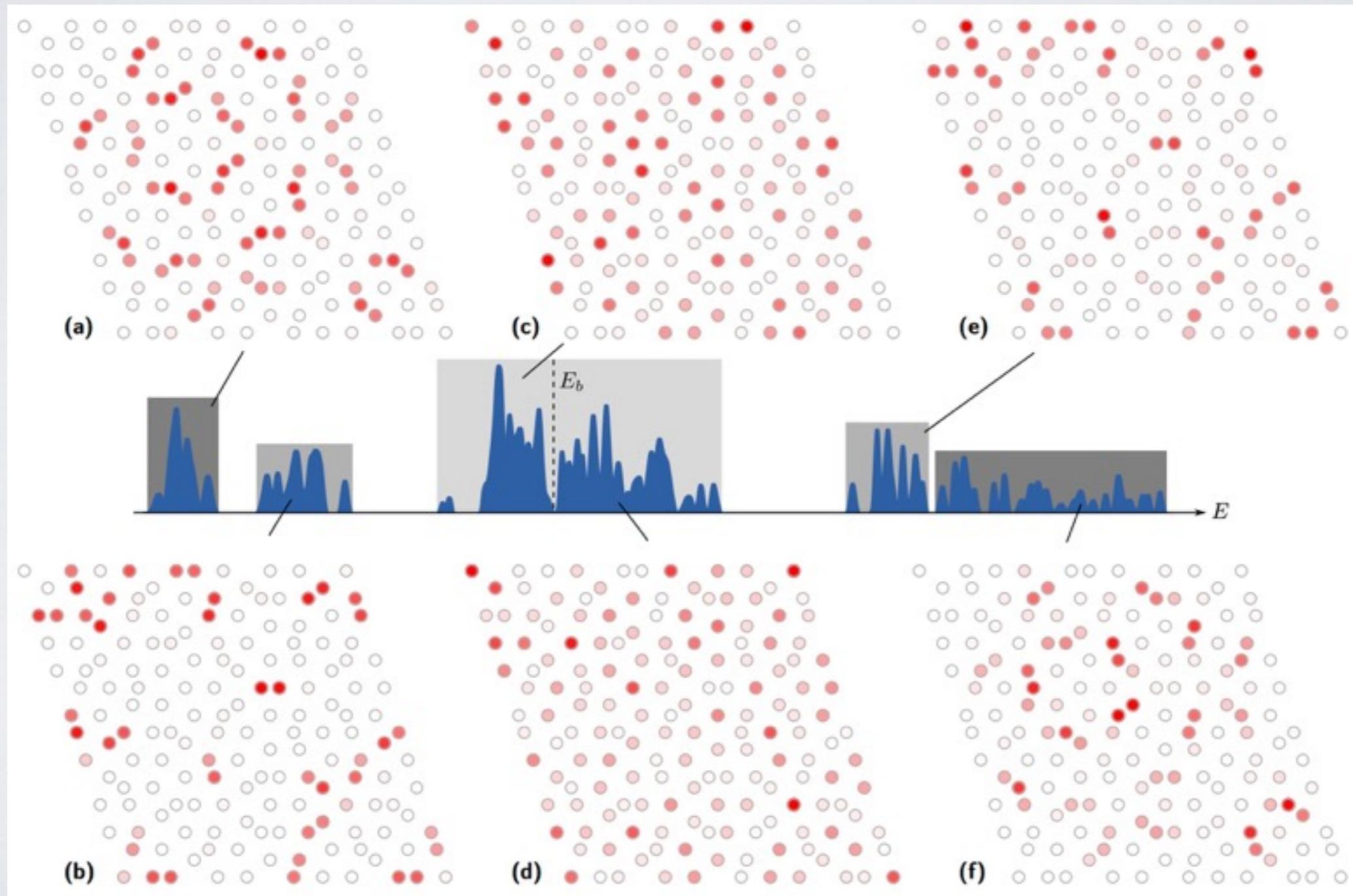
$$H = E_b \sum_i |i\rangle\langle i| + \sum_{i,j,i\neq j} t_{ij} |i\rangle\langle j|$$



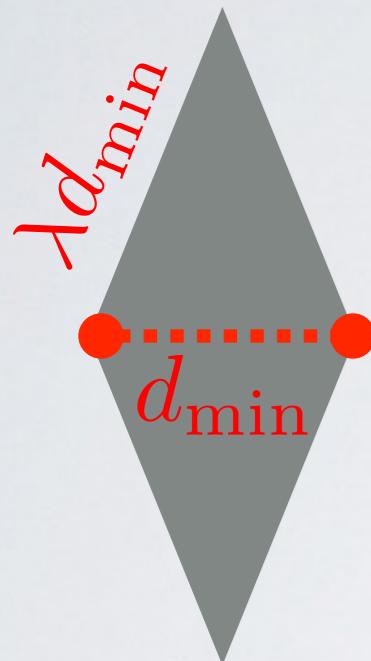
# Density of states



# Band wavefunctions

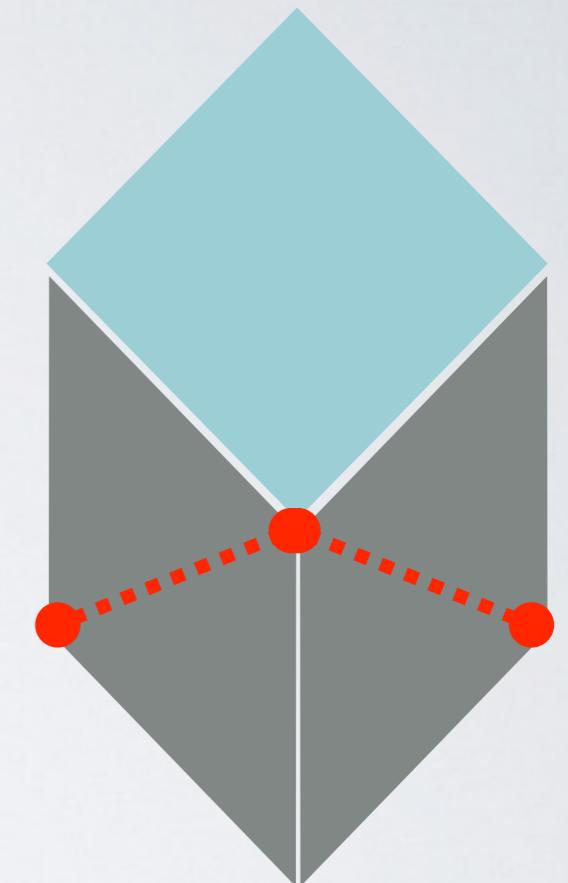


# Dominant couplings



dimer

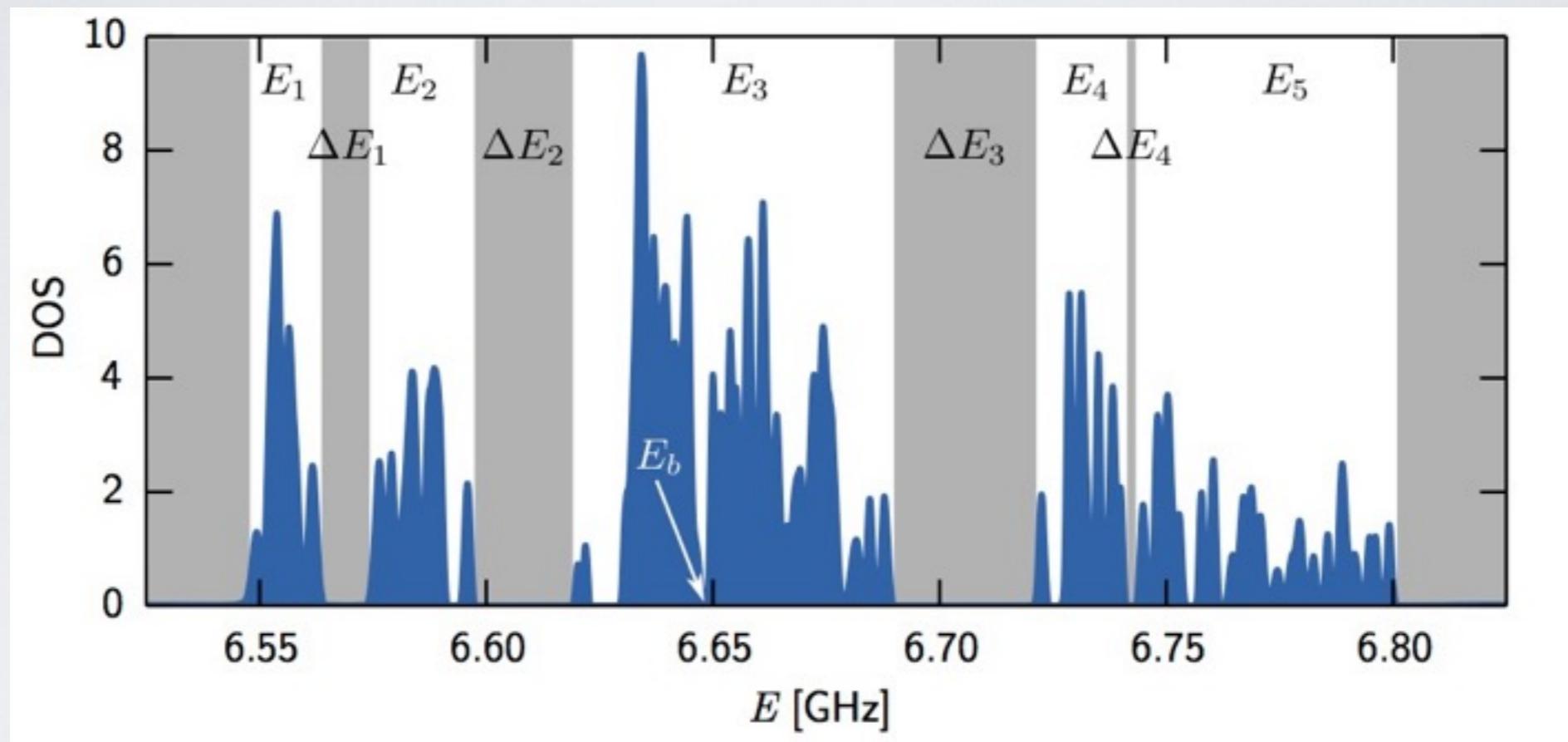
$$d_{\min} = 10 \text{ mm} \Rightarrow t_{\max} \simeq 73 \text{ MHz}$$



trimer

dominant coupling along the diagonal of the thin rhombus

# Band structure



$$E_1 = E_b - \sqrt{2}t_{\max} \simeq 6.55 \text{ GHz}$$

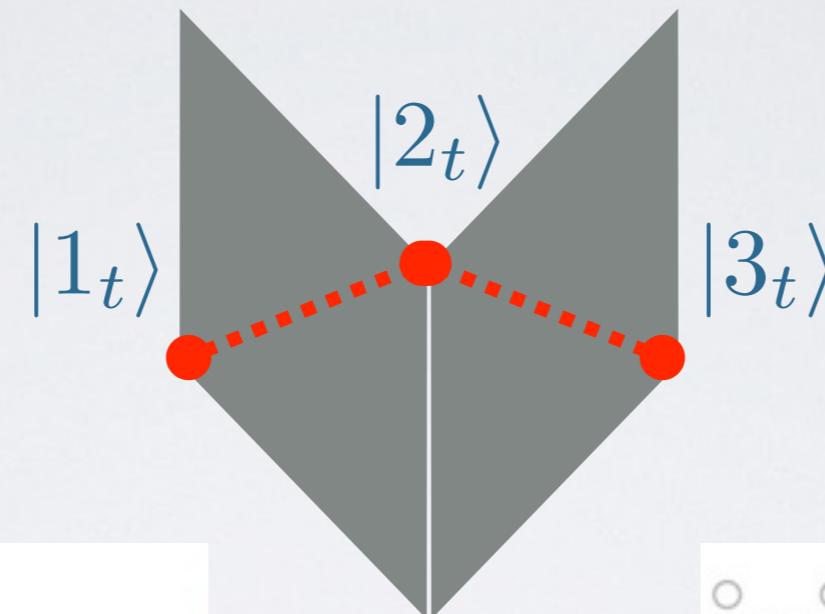
$$E_5 = E_b + \sqrt{2}t_{\max} \simeq 6.75 \text{ GHz}$$

$$E_2 = E_b - t_{\max} \simeq 6.58 \text{ GHz}$$

$$E_4 = E_b + t_{\max} \simeq 6.73 \text{ GHz}$$

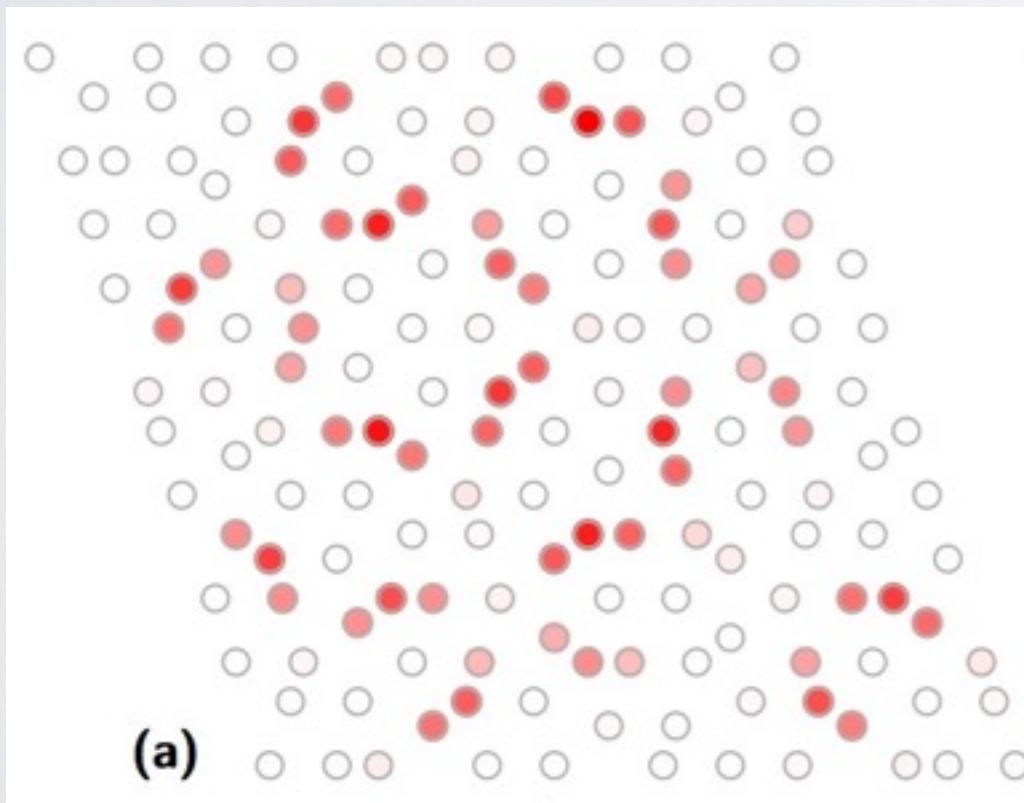
$$E_3 = E_b = 6.65 \text{ GHz}$$

# Trimer motif

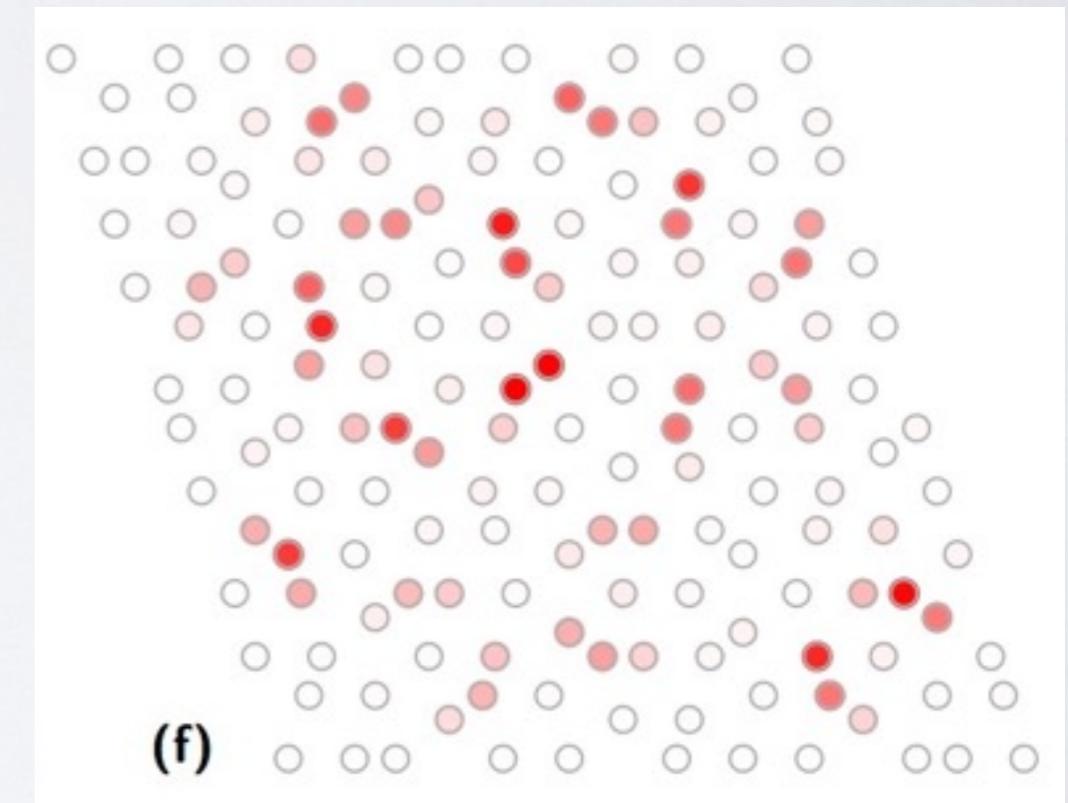


$$E_1 = E_b - \sqrt{2}t_{\max}$$

$$E_5 = E_b + \sqrt{2}t_{\max}$$

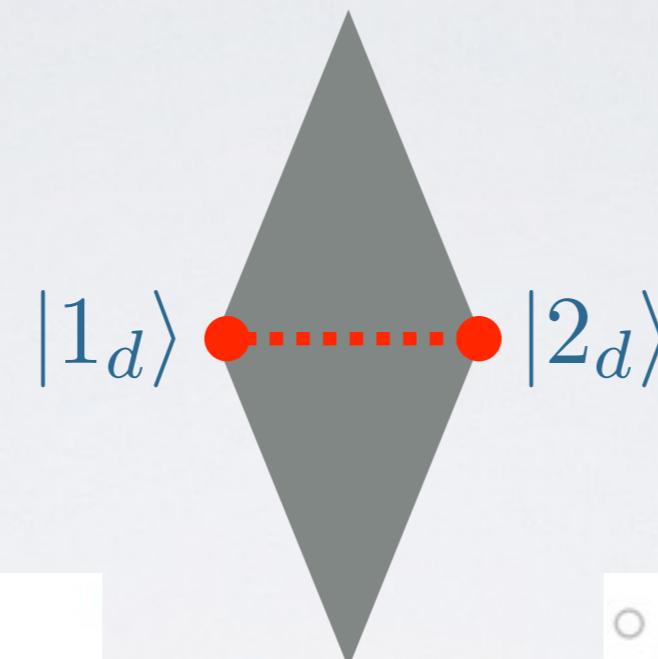


$$|\phi_1\rangle = |1_t\rangle - \sqrt{2}|2_t\rangle + |3_t\rangle$$

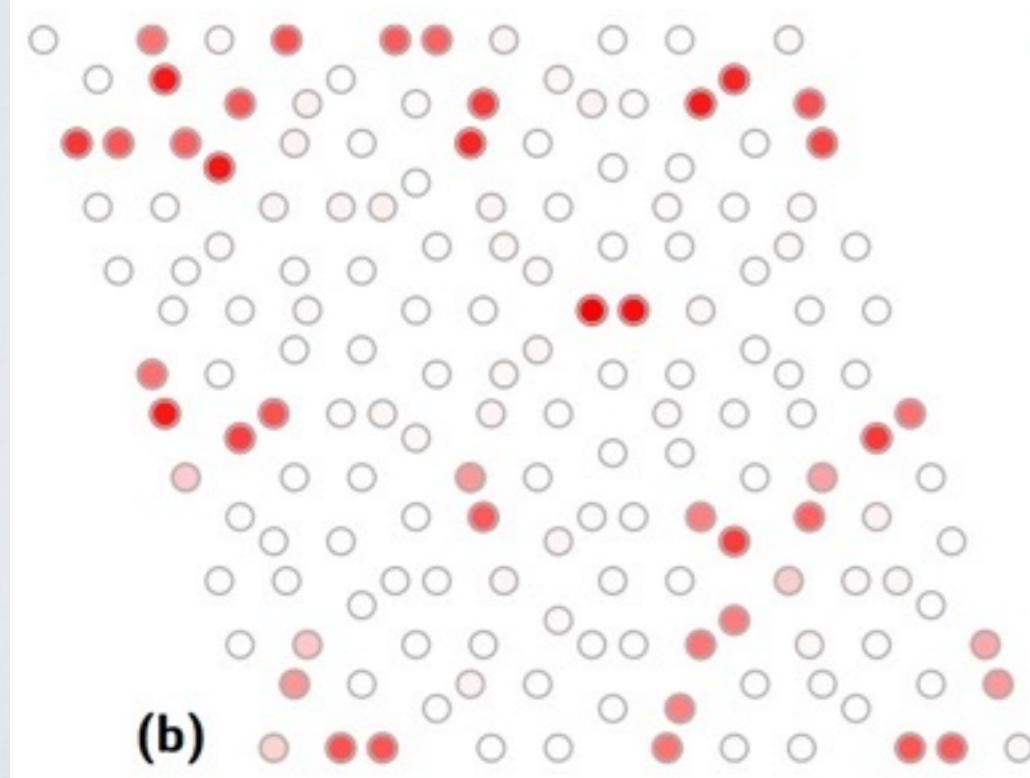


$$|\phi_5\rangle = |1_t\rangle + \sqrt{2}|2_t\rangle + |3_t\rangle$$

# Dimer motif

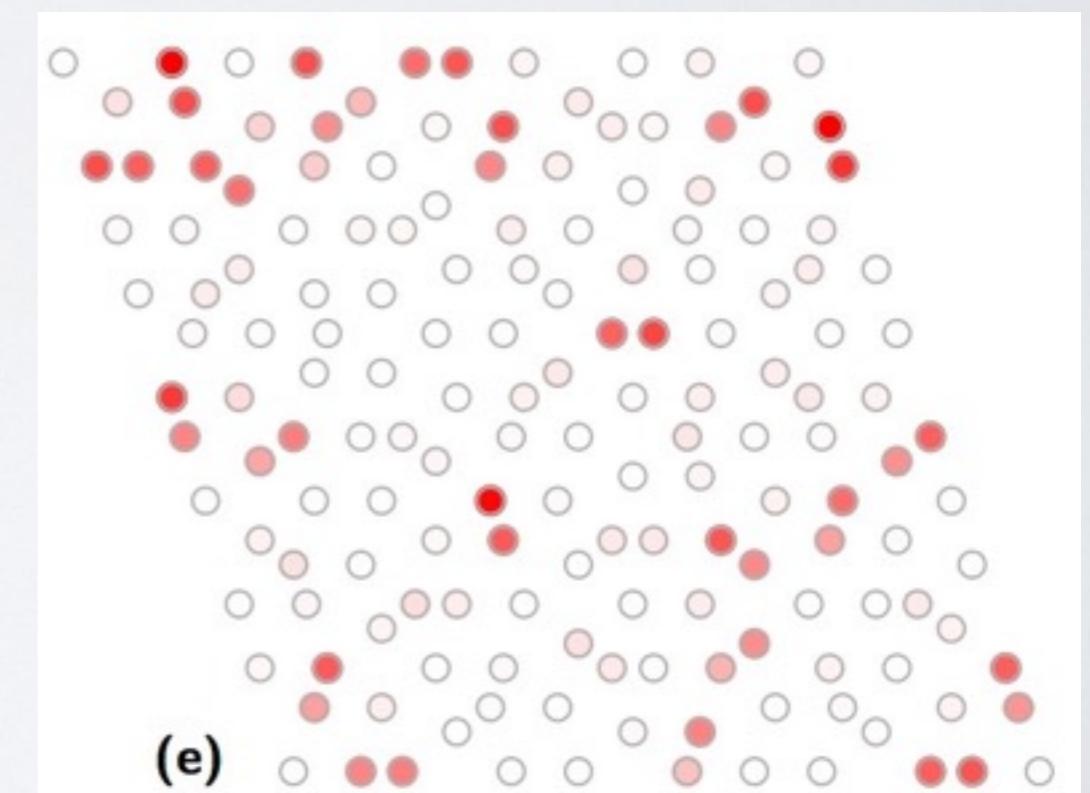


$$E_2 = E_b - t_{\max}$$



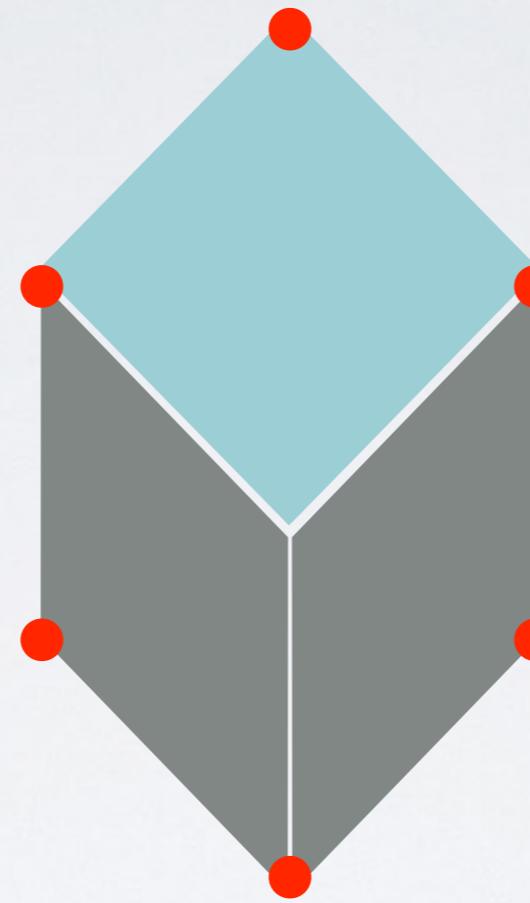
$$|\phi_2\rangle = |1_d\rangle - |2_d\rangle$$

$$E_4 = E_b + t_{\max}$$

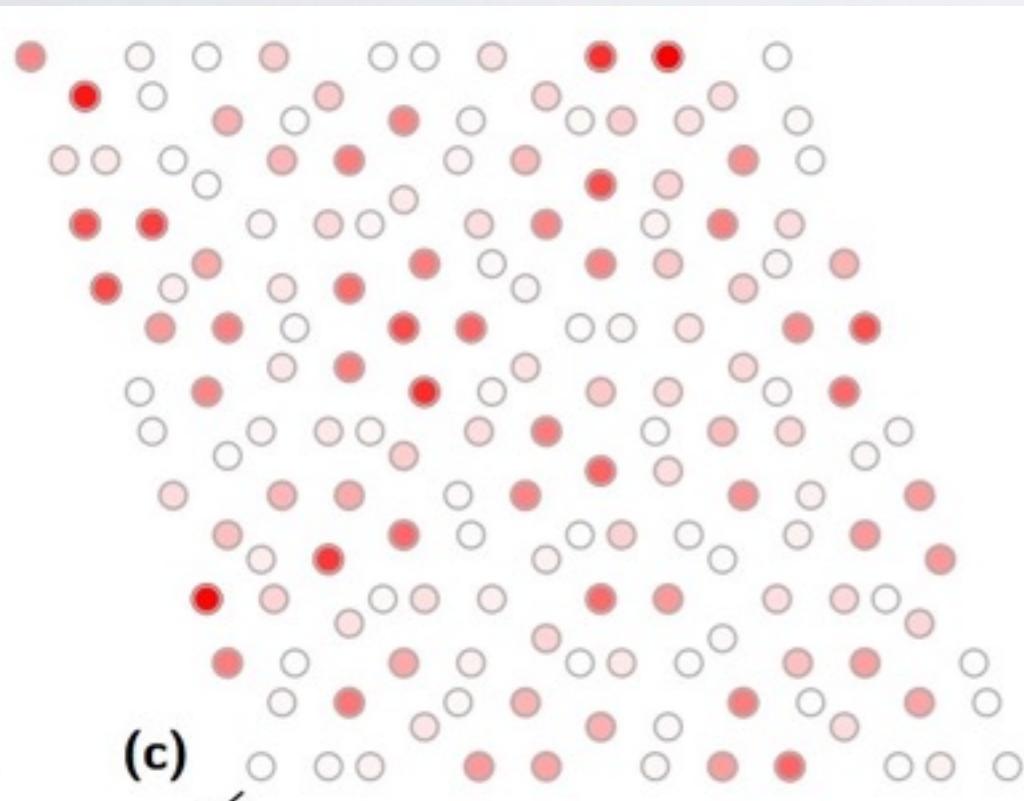


$$|\phi_4\rangle = |1_d\rangle + |2_d\rangle$$

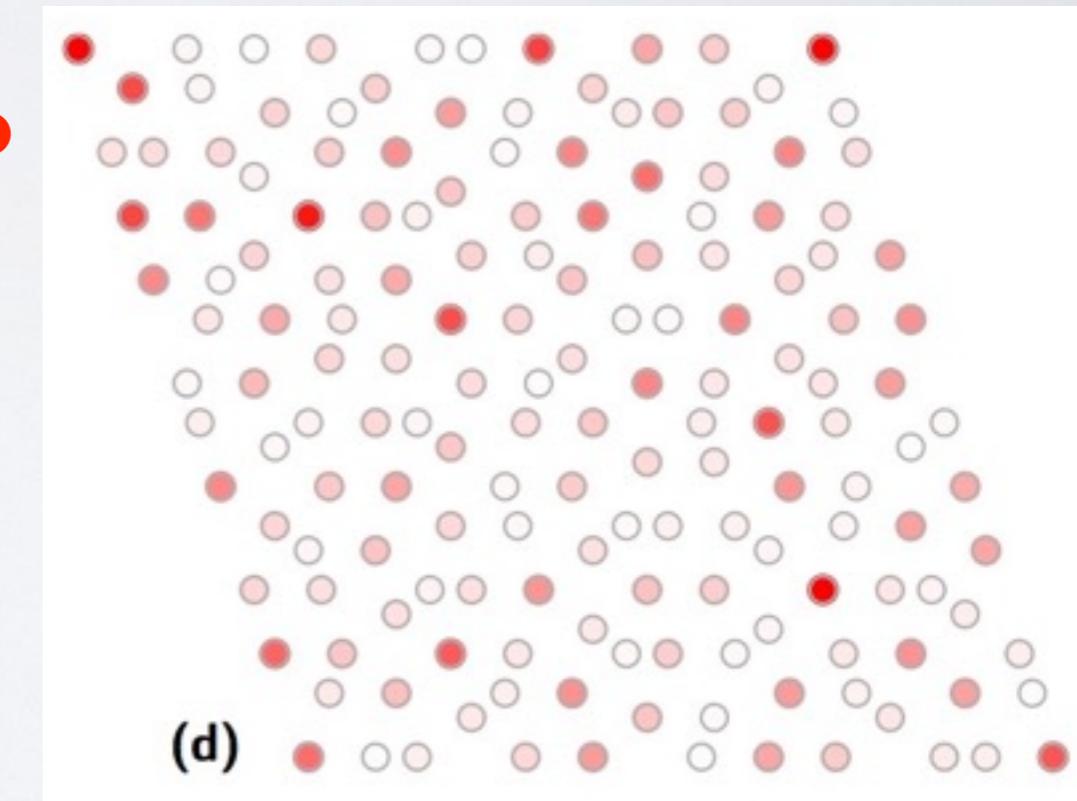
# Isolated sites



(c)



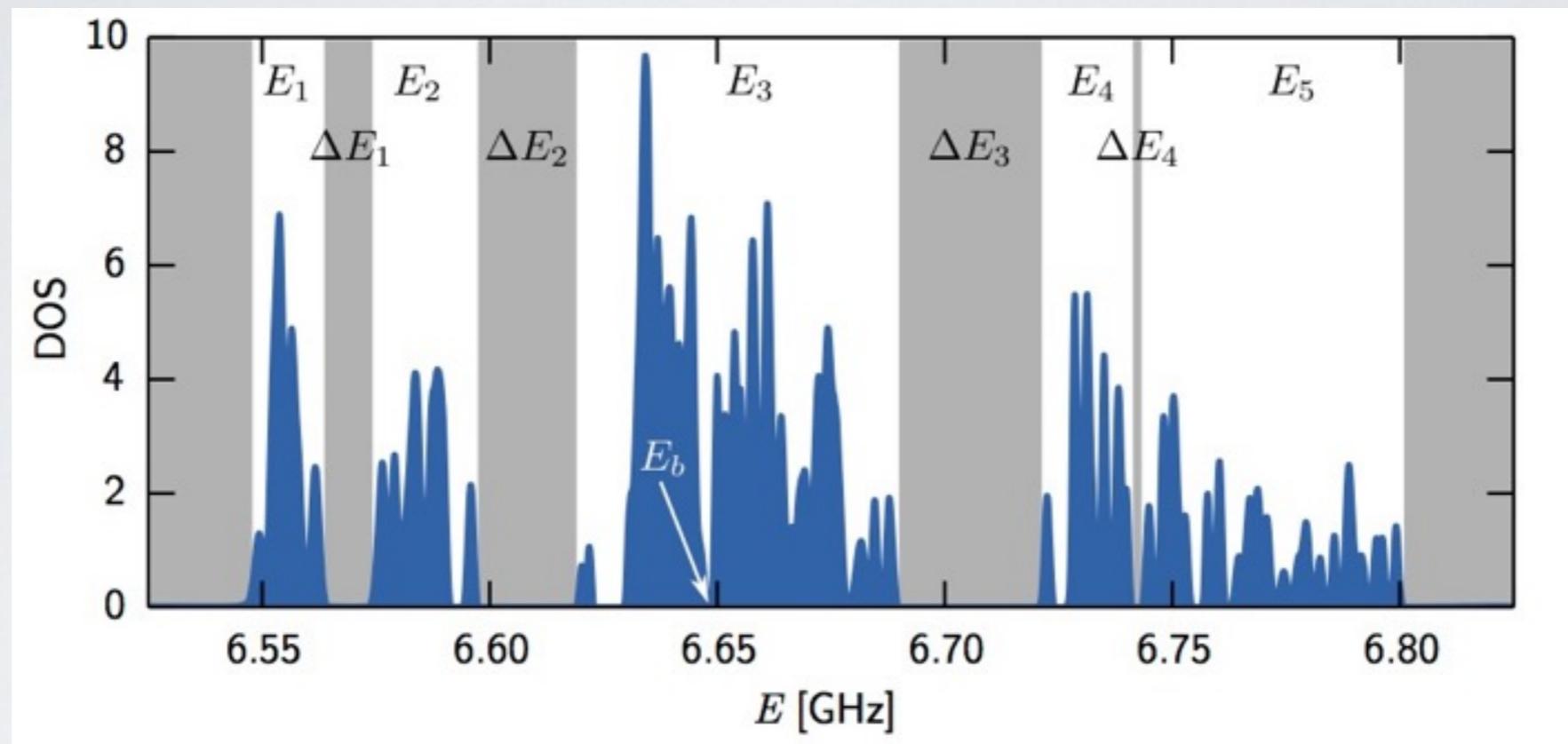
(d)



$$|\phi_{3,a}\rangle = |1_t\rangle - |3_t\rangle$$

$$|\phi_{3,b}\rangle = |1_s\rangle$$

# Band populations

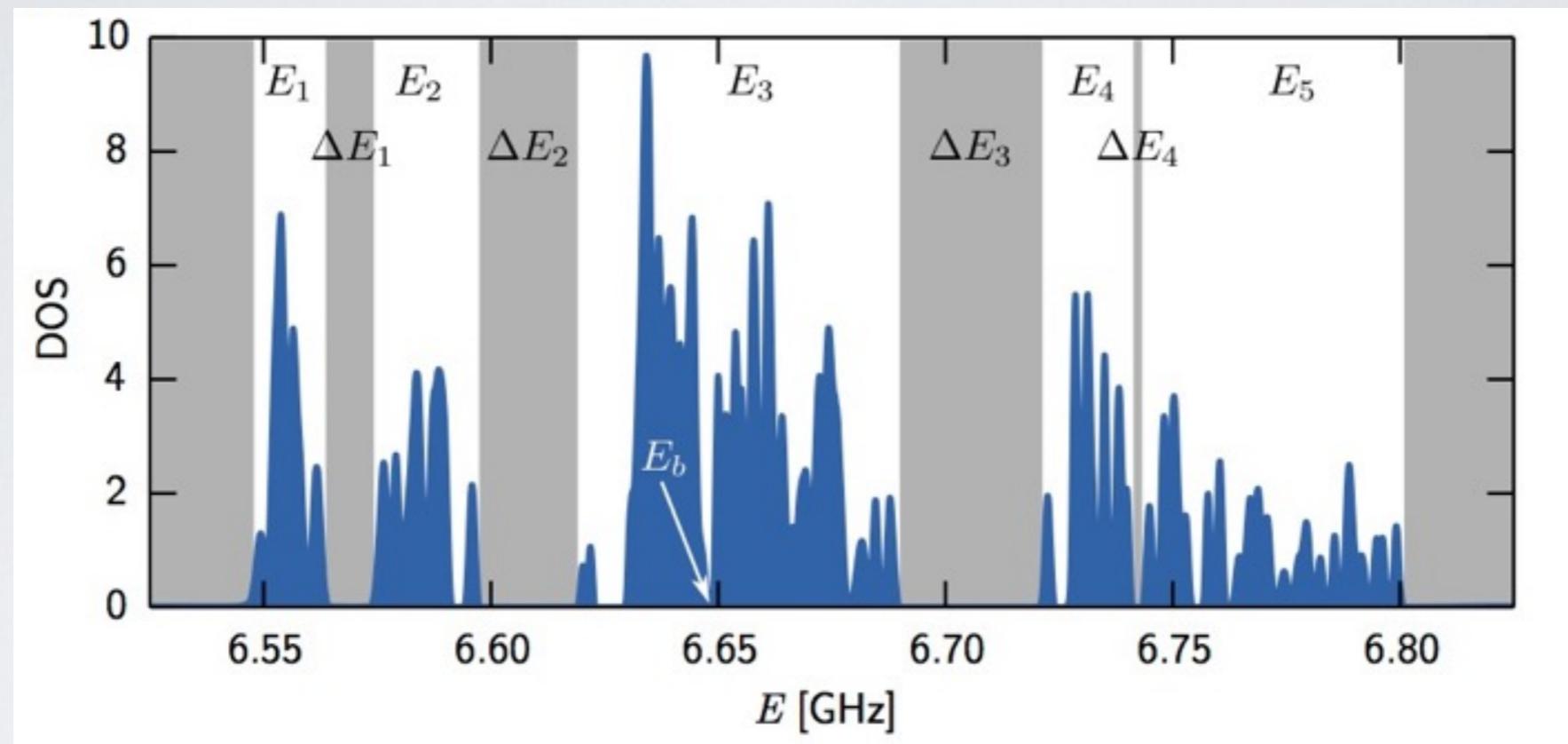


# of dimers:  $\beta_1 = \beta_5 = 5 - 3\lambda$

# of trimers:  $\beta_2 = \beta_4 = 5\lambda - 8$

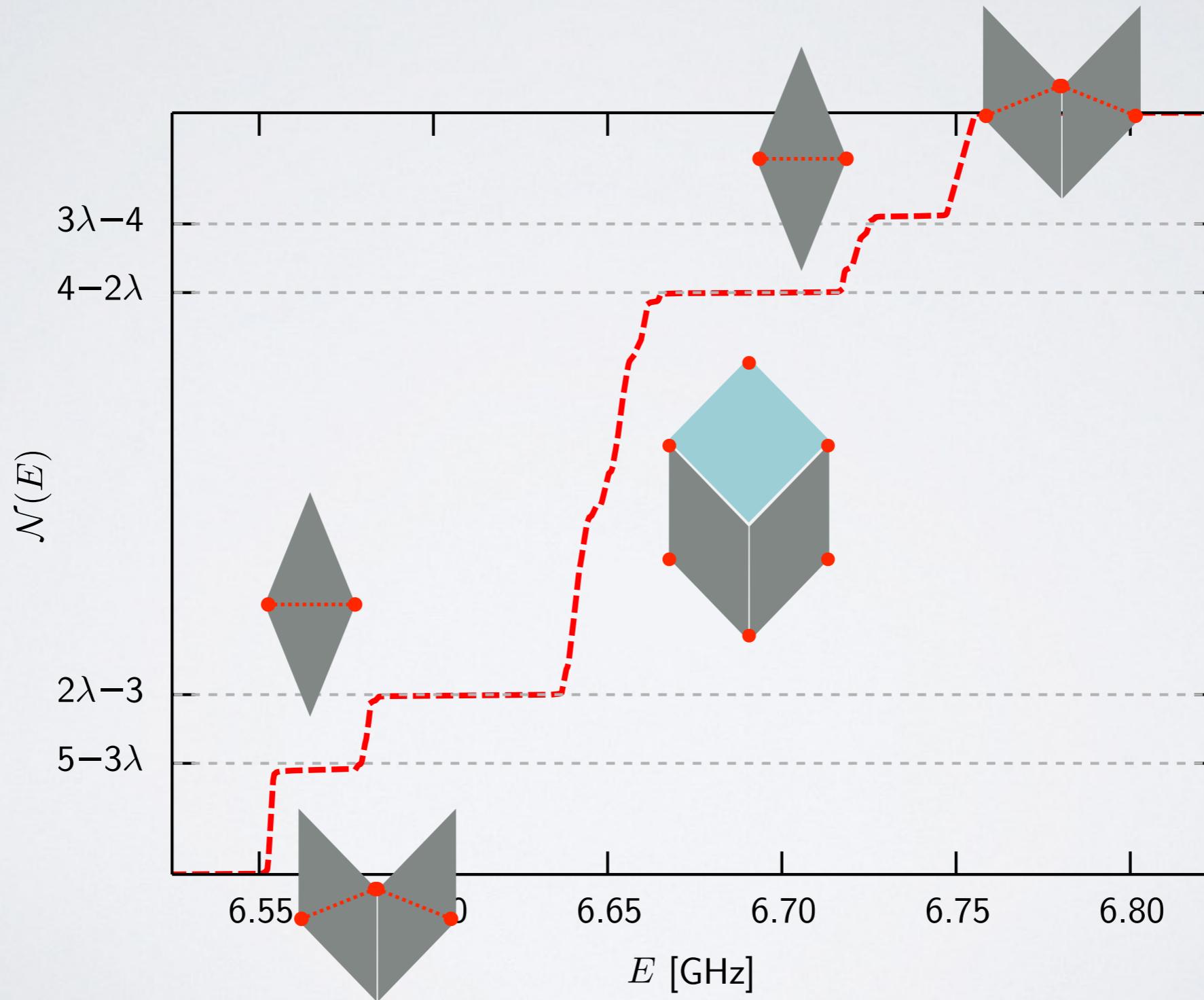
# of others:  $\beta_3 = 1 - 2\beta_1 - 2\beta_2 = 7 - 4\lambda$

# Band populations

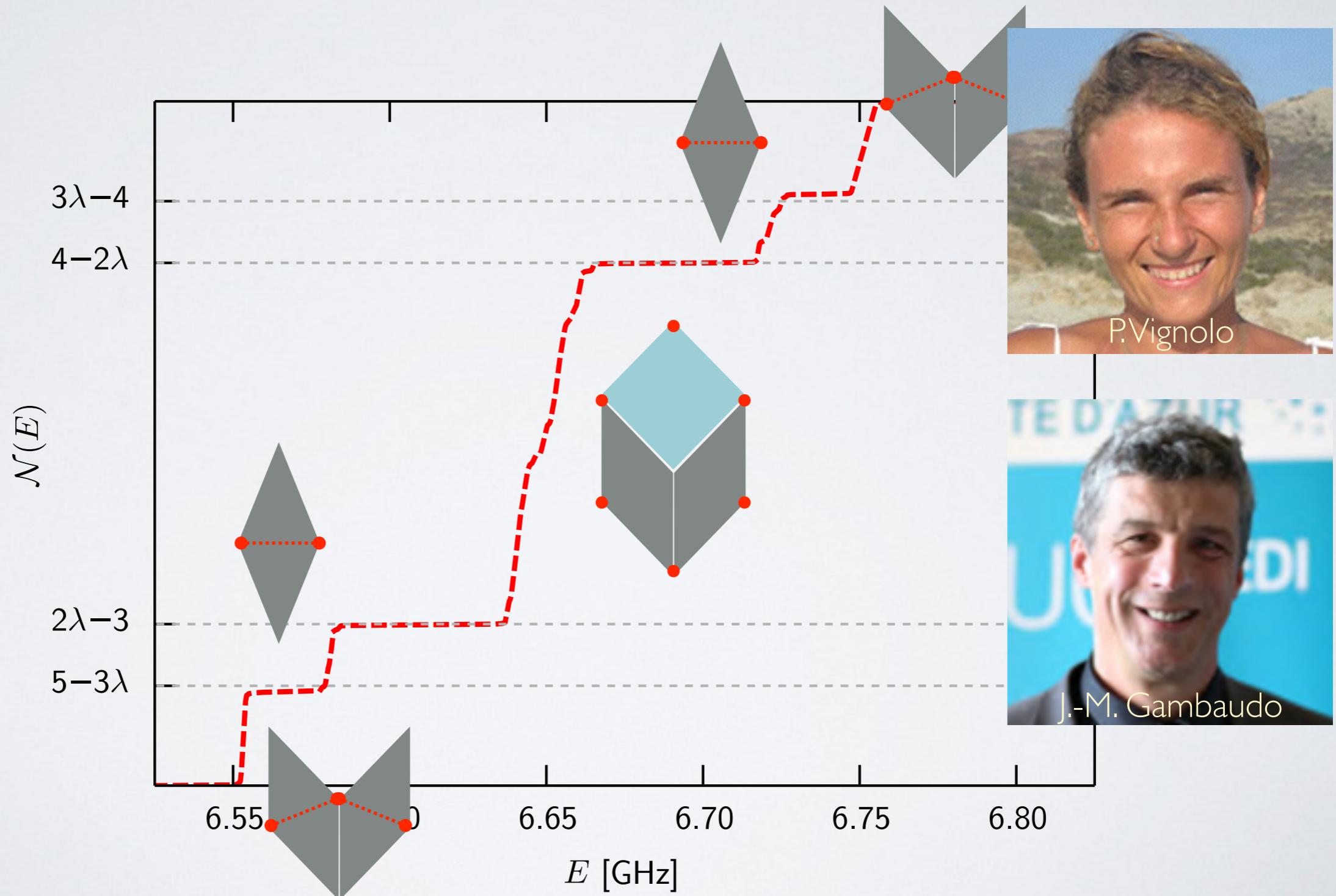


$$\mathcal{N}(E) = \begin{cases} \beta_1 = 5 - 3\lambda, & E \in \Delta E_1 \\ \beta_1 + \beta_2 = 2\lambda - 3, & E \in \Delta E_2 \\ \beta_1 + \beta_2 + \beta_3 = 4 - 2\lambda, & E \in \Delta E_3 \\ \beta_1 + \beta_2 + \beta_3 + \beta_4 = 3\lambda - 4, & E \in \Delta E_4, \end{cases}$$

# Physical picture of the gap labeling



# Physical picture of the gap labeling



# Nice physics 😊

## 2D Materials

*2D Mater.* **4** (2017) 025008

### PAPER

Partial chiral symmetry-breaking as a route to spectrally isolated topological defect states in two-dimensional artificial materials

Charles Poli<sup>1</sup>, Henning Schomerus<sup>1</sup>, Matthieu Bellec<sup>2</sup>, Ulrich Kuhl<sup>2</sup> and Fabrice Mortessagne<sup>2</sup>

Microwave emulations and tight-binding calculations of transport in polyacetylene

Thomas Stegmann<sup>a</sup>, John A. Franco-Villafaña<sup>b,a</sup>, Yenni P. Ortiz<sup>a</sup>, Ulrich Kuhl<sup>c</sup>,  
Fabrice Mortessagne<sup>c</sup>, Thomas H. Seligman<sup>a,d</sup>

PHYSICAL REVIEW B **95**, 035413 (2017)

**Transport gap engineering by contact geometry in graphene nanoribbons:  
Experimental and theoretical studies on artificial materials**

Thomas Stegmann,<sup>1,\*</sup> John A. Franco-Villafaña,<sup>1,2,†</sup> Ulrich Kuhl,<sup>3</sup> Fabrice Mortessagne,<sup>3</sup> and Thomas H. Seligman<sup>1,4</sup>

PHYSICAL REVIEW B **95**, 121409(R) (2017)

RAPID COMM

Waveguide photonic limiters based on topologically protected resonant modes

U. Kuhl,<sup>1</sup> F. Mortessagne,<sup>1</sup> E. Makri,<sup>2</sup> I. Vitebskiy,<sup>3</sup> and T. Kottos<sup>2</sup>

### ARTICLE

Received 29 Jul 2014 | Accepted 19 Feb 2015 | Published 2 Apr 2015

DOI: 10.1038/ncomms7710

OPEN

Selective enhancement of topologically induced interface states in a dielectric resonator chain

Charles Poli<sup>1</sup>, Matthieu Bellec<sup>2</sup>, Ulrich Kuhl<sup>2</sup>, Fabrice Mortessagne<sup>2</sup> & Henning Schomerus<sup>1</sup>

PRL **110**, 033902 (2013)

PHYSICAL REVIEW LETTERS

week ending  
18 JANUARY 2013

**Topological Transition of Dirac Points in a Microwave Experiment**

Matthieu Bellec,<sup>1</sup> Ulrich Kuhl,<sup>1</sup> Gilles Montambaux,<sup>2</sup> and Fabrice Mortessagne<sup>1,\*</sup>

PHYSICAL REVIEW B **93**, 075141 (2016)

**Energy landscape in a Penrose tiling**

Patrizia Vignolo,<sup>1,\*</sup> Matthieu Bellec,<sup>2</sup> Julian Böhm,<sup>2</sup> Abdoulaye Camara,<sup>1</sup> Jean-Marc Gambaudo,<sup>1</sup> Ulrich Kuhl,<sup>2</sup> and Fabrice Mortessagne<sup>2,†</sup>

PRL **111**, 170405 (2013)

PHYSICAL REVIEW LETTERS

week ending  
25 OCTOBER 2013



**First Experimental Realization of the Dirac Oscillator**

J. A. Franco-Villafaña,<sup>1</sup> E. Sadurní,<sup>2</sup> S. Barkhofen,<sup>3</sup> U. Kuhl,<sup>4</sup> F. Mortessagne,<sup>4</sup> and T. H. Seligman<sup>1,5</sup>

**Manipulation of edge states in microwave artificial graphene**

Matthieu Bellec<sup>1</sup>, Ulrich Kuhl<sup>1</sup>, Gilles Montambaux<sup>2</sup> and  
Fabrice Mortessagne<sup>1</sup>